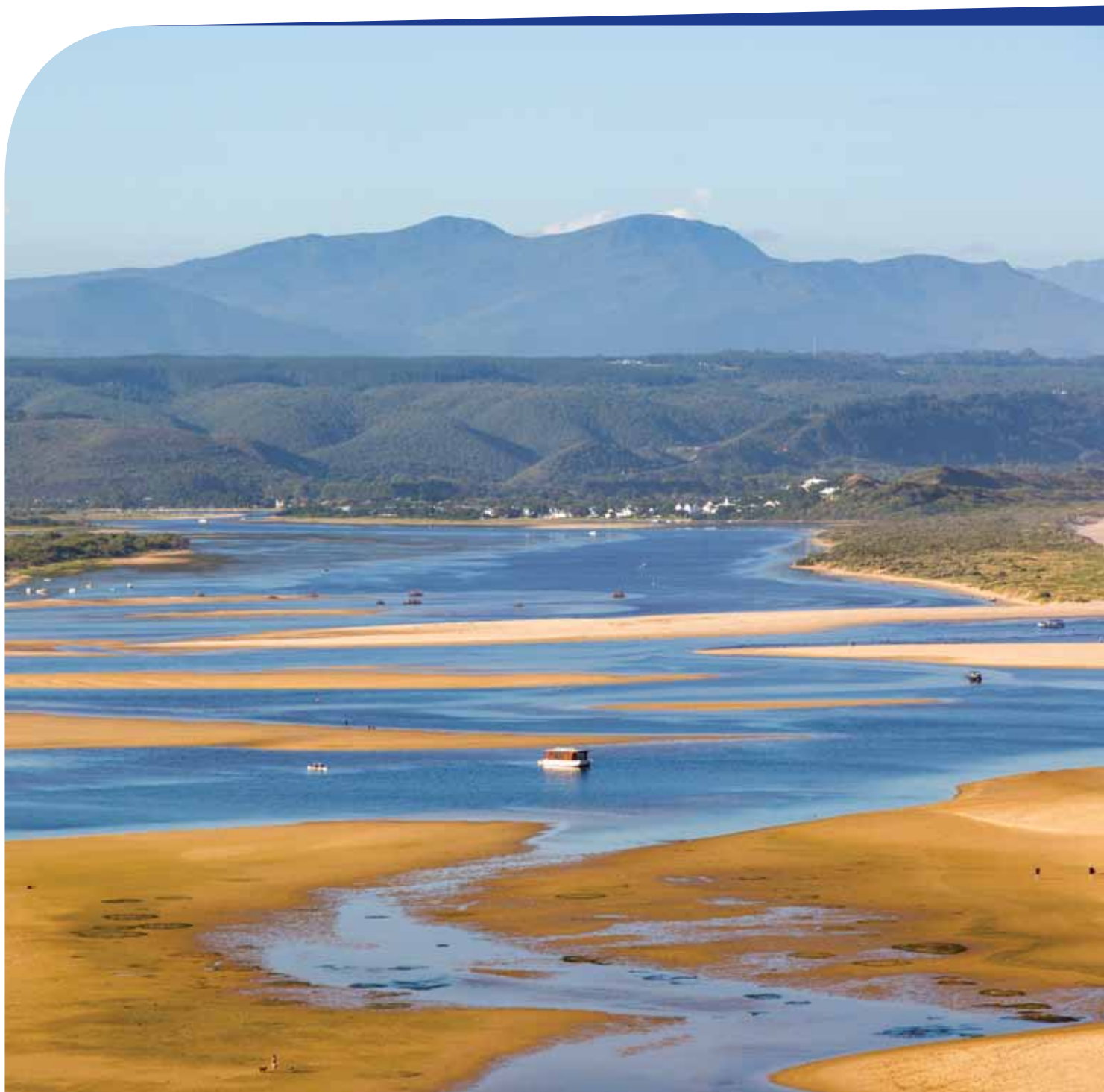




**Western Cape  
Government**

Environmental Affairs &  
Development Planning

**BETTER TOGETHER.**



**Annual Report  
2016/17**

**WESTERN CAPE GOVERNMENT**

**DEPARTMENT OF  
ENVIRONMENTAL AFFAIRS  
AND DEVELOPMENT PLANNING**



**VOTE 9  
ANNUAL REPORT  
2016/17**

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Knysna, Western Cape; South Africa.

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Image source: ©City of Cape Town | Bruce Sutherland  
Winter 2017: Theewaterskloof Dam



# PART A

## GENERAL INFORMATION

### 1. DEPARTMENT GENERAL INFORMATION

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### 2. LIST OF ABBREVIATIONS/ACRONYMS

The following acronyms, with their associated meanings, are used in this report:

<b>AEL</b>	Atmospheric Emission Licence	<b>CBD</b>	Central Business District
<b>AGSA</b>	Auditor-General SouthAfrica	<b>CCRS&amp;AP</b>	Climate Change Response Strategy and Action Plan
<b>AO</b>	Accounting Officer	<b>Ce-I</b>	Centre for e-Innovation
<b>AOS</b>	Accounting Officers System	<b>CFCS</b>	Consumer Formulated Chemical Sector
<b>APP</b>	Annual Performance Plan	<b>CFO</b>	Chief Financial Officer
<b>AQMP</b>	Air Quality Management Plan	<b>CGRO</b>	Corporate Governance Review and Outlook
<b>BBBEE</b>	Broad Based Black Economic Empowerment	<b>CITCOM</b>	Central Information Technology Committee
<b>BCP</b>	Business Continuity Planning	<b>CoCT</b>	City of Cape Town
<b>BESP</b>	Built Environment Support Programme		

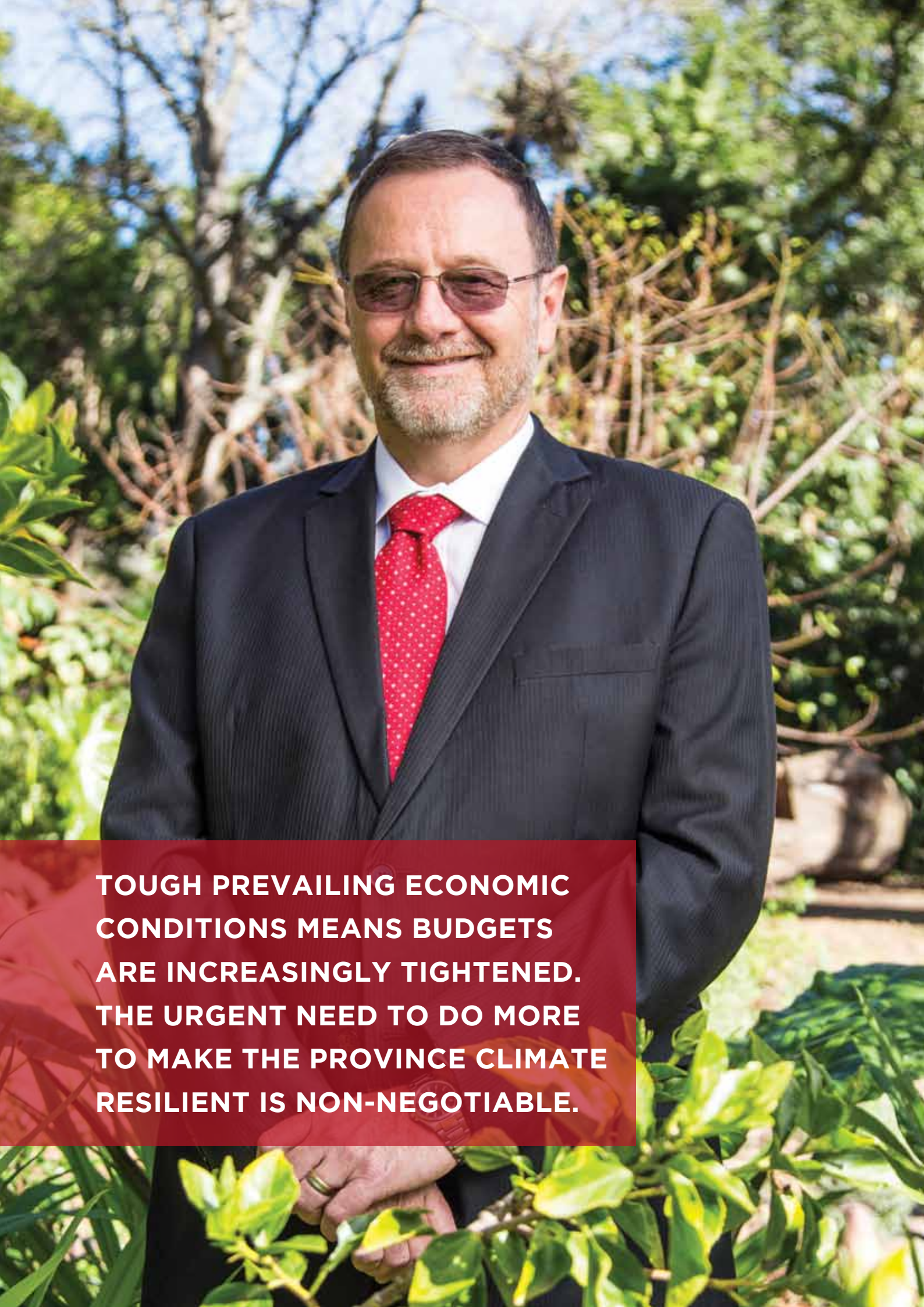
<b>CoE</b>	Compensation of Employees	<b>GDP</b>	Gross Domestic Product
<b>CMP</b>	Coastal Management Programme	<b>GIS</b>	Geographic Information System
<b>CSC</b>	Client Services Centre	<b>GIS</b>	Geographic Information Services
<b>DCF</b>	District Coordinating Forum	<b>GITOC</b>	Government Information Technology Officers Council (South Africa)
<b>DCF Tech</b>	District Coordinating Forum Technical Committee	<b>GMC</b>	Greenest Municipality Competition
<b>DEA</b>	Department of Environmental Affairs	<b>GMT</b>	Government Motor Transport
<b>DEAT</b>	Department of Environmental Affairs and Tourism	<b>GPS</b>	Growth Potential Study
<b>DIMIS</b>	Departmental Integrated Management Information System	<b>HOD</b>	Head of Department
<b>DITCOM</b>	Departmental Information Technology Committee	<b>HRA</b>	Health Risk Assessment
<b>DoTP</b>	Department of the Premier	<b>HSPs</b>	Human Settlement Plans
<b>DP-iMS</b>	Development Planning Intelligence Management Strategy	<b>ICM</b>	Integrated Coastal Management
<b>DPSA</b>	Department of Public Service and Administration	<b>ICMP</b>	Integrated Coastal Management Programme
<b>DRP</b>	Disaster Recovery Plan	<b>ICT</b>	Information Communication Technology
<b>EAC</b>	Environmental and Culture	<b>IDMS</b>	Infrastructure Delivery Management System
<b>ECA</b>	Environment Conservation Act	<b>IDP</b>	Integrated Development Plan
<b>EHW</b>	Employee Health and Wellness	<b>IDZ</b>	Industrial Development Zone
<b>EIA</b>	Environmental Impact Assessment	<b>IEM</b>	Integrated Environmental Management
<b>EIM</b>	Environmental Impact Management	<b>IGPs</b>	Infrastructure and Growth Plans
<b>EIP</b>	Environmental Implementation Plan	<b>IISD</b>	International Institute for Sustainable Development
<b>EMF</b>	Environmental Management Framework	<b>IPWIS</b>	Integrated Pollutant and Waste Information System
<b>EPWP</b>	Expanded Public Works Programme	<b>IWMP</b>	Integrated Waste Management Plan
<b>ERM</b>	Enterprise Risk Management	<b>KM</b>	Knowledge Management
<b>ERMCO</b>	Enterprise Risk Management Committee	<b>LBSAP</b>	Local Biodiversity Strategy and Action Plan
<b>ESSP</b>	Environmental Sector Skills Plan	<b>LGMTEC</b>	Local Government Medium Term Expenditure Committee
<b>EU</b>	European Union	<b>LGTAS</b>	Local Government Turn Around Strategy
<b>ERPP</b>	Environmental Reserve Protection Plans	<b>LiDAR</b>	Light Detection and Ranging
<b>FOSAD</b>	Forum for South African Directors General	<b>LOGIS</b>	Logistical Information System



<b>LPPP</b>	Legislative Public Participation Process	<b>NEM:AQA</b>	National Environmental Management: Air Quality Act
<b>LTMS</b>	Long Term Mitigation Scenarios	<b>NEM:ICMA</b>	National Environmental Management: Integrated Coastal Management Act
<b>LUMS</b>	Land Use Management Systems	<b>NEM:WA</b>	National Environmental Management: Waste Act
<b>LUP</b>	Land Use Planning	<b>NGO</b>	Non-governmental Organisation
<b>LUPA</b>	Land Use Planning Act	<b>NGP</b>	New Growth Path
<b>LUPO</b>	Land Use Planning Ordinance	<b>NO<sub>2</sub></b>	Nitrogen Dioxide
<b>MEC</b>	Member of Executive Council	<b>NO<sub>x</sub></b>	Nitrogen Oxides
<b>METT</b>	Management Effectiveness Tracking Tool	<b>NO<sub>10</sub></b>	National Outcome 10
<b>MFMA</b>	Municipal Financial Management Act	<b>NPA</b>	National Prosecuting Authority
<b>MIG</b>	Municipal Infrastructure Grant	<b>NPC</b>	Not for Profit Company
<b>MIP</b>	Municipal Improvement Plan	<b>NSSD</b>	National Strategy for Sustainable Development
<b>MOA</b>	Memorandum of Agreement	<b>OAG</b>	Office of the Accountant General
<b>MPAT</b>	Management Performance Assessment Tool	<b>OECD</b>	Organisation for Economic Cooperation and Development
<b>MSDF</b>	Municipal Spatial Development Framework	<b>ODI</b>	Organisation Development Institute
<b>MTEF</b>	Medium Term Expenditure Framework	<b>OSD</b>	Occupation Specific Dispensation
<b>MTSF</b>	Medium Term Strategic Framework	<b>PAY</b>	Premier's Advancement of Youth
<b>MSA</b>	Municipal Systems Act	<b>PAELs</b>	Provincial Atmospheric Emission Licences
<b>M&amp;E</b>	Monitoring and Evaluation	<b>PBES</b>	Provincial Biodiversity Economy Strategy
<b>NAAQS</b>	National Ambient Air Quality Standard	<b>PBSAP</b>	Provincial Biodiversity Strategy and Action Plan
<b>NAEIS</b>	National Atmospheric Emissions Inventory System	<b>PBSP</b>	Provincial Biodiversity Spatial Plan
<b>NBSAP</b>	National Biodiversity Strategy and Action Plan	<b>PCMP</b>	Provincial Coastal Management Programme
<b>NCOP</b>	National Council of Provinces	<b>PEPPM</b>	Provincial Environment Programme Performance Measures
<b>NDP</b>	National Development Plan	<b>PERO</b>	Provincial Economic Review Outlook
<b>NEAS</b>	National Environmental Authorisation System	<b>PFMA</b>	Public Finance Management Act
<b>NEM</b>	National Environment Management	<b>PM</b>	Particulate Matter
<b>NEMA</b>	National Environmental Management Act	<b>PPP</b>	Public Participation Process



<b>PSDF</b>	Provincial Spatial Development Framework	<b>SSIS</b>	Sustainable Settlement Innovation Summit
<b>PSG</b>	Provincial Strategic Goal	<b>Stats SA</b>	Statistics South Africa
<b>PSOs</b>	Provincial Strategic Objectives	<b>SWMP</b>	Sustainable Water Management Plan
<b>PSP</b>	Provincial Spatial Plan	<b>TR</b>	Treasury Regulations
<b>PV</b>	Photovoltaic	<b>U-AMP</b>	User Asset Management Plan
<b>RSEP</b>	Regional Socio-Economic Project	<b>UNFCCC</b>	United National Framework Convention of Climate Change
<b>SAAQIS</b>	South African Air Quality Information System	<b>VPUU</b>	Violence Prevention through Urban Upgrading
<b>SAMS</b>	Spatial Application Management System	<b>WAME</b>	Waste Management in Education
<b>SAWIS</b>	South African Waste Information System	<b>WC</b>	Western Cape
<b>SCM</b>	Supply Chain Management	<b>WCCCRS</b>	Western Cape Climate Change Response Strategy
<b>SCOPA</b>	Standing Committee on Public Accounts	<b>WCEEF</b>	Western Cape Environmental Educators Forum
<b>SDF</b>	Spatial Development Framework	<b>WCG</b>	Western Cape Government
<b>SDIP</b>	Service Delivery Improvement Plan	<b>WCNCBA</b>	Western Cape Nature Conservation Board Act
<b>SDBIPs</b>	Service Delivery and Budget Implementation Plan	<b>WCIF</b>	Western Cape Infrastructure Framework
<b>SEA</b>	Strategic Environmental Assessment	<b>WCRAAG</b>	Western Cape Recycling Action Group
<b>SEMA</b>	Specific Environmental Management Act	<b>WCSWMP</b>	Western Cape Sustainable Water Management Plan
<b>SITA</b>	State Information Technology Agency	<b>WMOF</b>	Waste Management Officers' Forum
<b>SMART</b>	Specific, Measurable, Achievable, Relevant, Time-bound	<b>WSDP</b>	Water Services Development Plan
<b>SMME</b>	Small Medium and Micro Enterprises	<b>WWTW</b>	Waste Water Treatment Works
<b>SMS</b>	Senior Management Services	<b>2W2W</b>	2Wise2Waste
<b>SoEOR</b>	State of Environment Outlook Report		
<b>SOP</b>	Standard Operating Procedure		
<b>SO<sub>x</sub></b>	Sulphur Oxides		
<b>SPLUMA</b>	Spatial Planning and Land Use Management Act		
<b>SPLUMB</b>	Spatial Planning Land Use Management Bill		

A middle-aged man with a beard and sunglasses, wearing a dark suit, white shirt, and red patterned tie, stands outdoors in front of a dense background of green trees and foliage. He is smiling slightly and looking towards the camera. A semi-transparent red rectangular box is overlaid on the lower portion of the image, containing white text.

**TOUGH PREVAILING ECONOMIC  
CONDITIONS MEANS BUDGETS  
ARE INCREASINGLY TIGHTENED.  
THE URGENT NEED TO DO MORE  
TO MAKE THE PROVINCE CLIMATE  
RESILIENT IS NON-NEGOTIABLE.**



### 3. FOREWORD BY THE MINISTER

The Western Cape Province is one of the most biodiverse areas in the world. It is also home to some of the driest areas on the planet, which makes the Province vulnerable to climate change and related issues.

During the past period the effects of climate change have been evident, with the Province experiencing a severe drought. The increased pressure on natural resources to meet growing demand amidst these troubling times continues to be a difficult task for the Department to plan and manage accordingly.

Tough prevailing economic conditions means budgets are increasingly tightened. The urgent need to do more to make the Province climate resilient is non-negotiable. This places increased pressure on the Department's continued ability to pro-actively increase the scale of the programmes it is involved in.

As always, we are guided by the Constitution which requires us to take reasonable steps to prevent pollution and ecological degradation, promote conservation and secure sustainable development and use of natural resources. This remains the **first cornerstone** of the work we strive to achieve in the Western Cape.


However, while the Department is continuously driving and developing new programmes towards improving the Western Cape's long-term sustainability, it is imperative to continue to improve the lives of citizens in the Province. By improving their lives, we mean addressing the triple challenges of poverty, unemployment and inequality. This is the **second cornerstone** of the home we are building for people in the Province.

The economic realities are having an effect on the prevalence of illegal activities in the environmental sector and we are seeing increased destruction of our natural resources by illegal means, including poaching and dumping. We are determined to address these issues as best we can, **another cornerstone** of the building. Addressing this issue however, requires all stakeholders, as a collective to take drastic measures to help combat it.

The lack of funding also impacts the availability of skills in critical positions, remains another stumbling block in the Department's path to progress. To address these challenges, the Department strives to develop our staff, enabling and empowering them to improve their service delivery capabilities.

While the challenges are significant, it can no longer be business as usual. We owe it to future generations to do our best today, for us as well as them.

**Anton Bredell**

A handwritten signature in black ink, appearing to read 'Anton Bredell', with a large, stylized loop at the bottom.

Minister of Local Government, Environmental Affairs and Development Planning  
31 May 2017



## **4. REPORT OF THE ACCOUNTING OFFICER**

### **OVERVIEW OF THE OPERATIONS OF THE DEPARTMENT**

The Department has focussed its resources on the key APP priorities, which include the PSG4 priorities and on-going Urban Development Challenges which the Department is mandated to respond to.

The outlook for South Africa over the next few years has not improved much since the last financial year. The period 2016/17 has seen the Department deliver services under budget constraints, which has had an impact on service delivery and the ability of the Department to do more with less, but as a Department we have embraced the patterning model and it has worked well as we have achieved more than 90% of our planned targets.

### **OVERVIEW OF THE RESULTS AND CHALLENGES FOR 2016/17**

The Department is unable to provide the needed professional and technical support to Municipalities in respect of Spatial Planning and Land Use Management, Development Facilitation and Urban Growth Management. This expertise is critical for creating the environment for economic development and growth, urban growth management, municipal financial and environmental sustainability, and redressing the apartheid spatial legacy.

The position of Commissioner for the Environment has been mandatory in the Provincial Constitution since 1997 and there has been an ongoing process to make the Commissioner a discretionary appointment due to the cost implications associated with this appointment. The impact of the budget cuts on the Department over the last two financial years has seen a greater need for funds to be diverted to other areas within the Department and the Department does not have the estimate R3 million required to start up the position of Commissioner for the Environment. The total financial implication has been estimated to cost around R10 million from 2018/19, should the Department proceed with the Commissioner of the Environment.

The impact of the budget cuts on the whole has negatively affected the Department's operational capacity as this had to be reduced in order for the budget to be able to absorb the above inflationary salary increases. This meant that the Department had a challenge of needing to do more with less for this period.

The mandate of the Department is rolled out through a number of programmes and below reflects the work being done in those areas to ensure we can deliver on our mandate.

### **ENVIRONMENTAL POLICY, PLANNING AND COORDINATION AND SUSTAINABILITY**

As the lead Provincial Department for the Environment and Culture Sector of the Expanded Public Works Programme, the Department contributed to job creation through the river rehabilitation work of the Berg River Improvement Plan as well as in partnership with its conservation implementation agency, CapeNature.

### **WATER FOR GROWTH AND DEVELOPMENT**

Water is a key enabler of future Provincial economic growth and environmental sustainability. The availability of water is a major determinant of how intensively land is used and for ecosystem health. Surface water resources, currently the Western Cape's primary source, are unevenly distributed, currently used to their limits, offer few opportunities for more dams and will be under increasing pressure with the expected drying of the Province's climate. There is growing competition for water between the agricultural, industrial and domestic sectors. Escalating demand and finite supply means that protection and rehabilitation of river systems and ground water recharge areas are required.

The current drought has put the spotlight on the important issue of water security and has led to water being identified as a provincial risk. A number of controls have been put in place to address this risk but ongoing focus and awareness is crucial.

The Sustainable Water Management Plan (SWMP) provides the framework for water management within the province. It will also serve as the vehicle for the further development and implementation of the medium to longer term interventions identified as part of the drought disaster task team. The SWMP which has been developed and implemented since 2012 is being reviewed this year in order to reflect on the effectiveness of implementation since its development as well as update it in terms of new environmental and water policy and legislation as well as new priorities and focus areas of the Western Cape Government.

The phased development and implementation of River Improvement Plans (now termed Environmental Resource Protection Plans (ERPP)) has been identified as a priority with the Berg River Improvement Plan (BRIP) being the first. Implementation focused on priority tasks:

- Water Quality monitoring of 20 sites within the Berg River and Estuary is ongoing since 2013 and has proved to be an invaluable resource to address sources of pollution and establish trends, including improvements as a result of interventions.
- The rehabilitation of riparian areas at sites in the Berg and Breede River catchments continues, contributing to improved resilience in terms of water quality, erosion and flood risk. This has also resulted in partnerships being established with the land owners as well as other stakeholders, resulting in a very successful water stewardship program. An important aspect of this work is the EPWP job opportunities created as part of this programme.
- The design and development of bioremediation interventions through the identification and evaluation of innovative technologies in collaboration with communities to improve management of polluted stream flows and reduce the impact on the water quality within the Berg River. The designs are intended to promote social upliftment and improved living conditions through empowering the members of the local community. The Genius of SPACE project in Langrug informal settlement has successfully implemented such systems for treating grey water and wastewater effluent entering the stormwater system, including the management of solid waste (including opportunities for upcycling and entrepreneurial enterprises). In addition to this a business case has been developed for the establishment of a Water Hub on the site of the decommissioned Franschhoek WWTW, which is a multi-disciplinary centre that will provide recreational, educational, research and economic opportunities.
- An economic assessment is being undertaken to establish the costs, benefits and possible opportunities for the re-use of treated wastewater effluent in the Berg River catchment.

The Breede ERPP is being developed in-house this year in collaboration with key stakeholders and implementation will commence in 2017/18. However, monitoring of 10 sites in the Breede estuary has commenced in 2015.

Funding has been made available in the adjustment budget to address water security. This funding is being used for the following:

- To contribute to a focused and coordinated water awareness campaign to drive behavioural changes to conserve water;
- To contribute to a wastewater re-use feasibility study in the Drakenstein Municipal area which could serve as a basis to inform the parameters for further opportunities of wastewater re-use by other municipalities; and
- Protection of water source areas in the upper Breede catchment, contributing to water security through clearing of alien vegetation and rehabilitation of riparian areas and establishing of a water stewardship programme through collaboration with stakeholders.



Other Departmental initiatives undertaken this year to support a resilient and sustainable water supply and demand includes:

- Western Cape Sustainable Settlement Innovation Summit (SSIS 2017) focussed on Water in the municipal environment and water reuse;
- Biodiversity Spatial Plan identified key localities required to ensure functioning ecosystems to enable water catchment;
- Support of the Western Cape Drought Committee;
- 2Wise2Waste internal resource efficiency efforts to raise awareness on water use and wastage, cooperation with the City of Cape Town (CoCT) on dealing with new water technology innovations and facilitation of engagements between, the Department of Public Works and Transport (DTPW) and CoCT on water use reduction opportunities for government facilities;
- H<sub>2</sub>O Hero campaign; and
- Greenest Municipality Competition highlights the importance of good water governance at municipalities.

## **CLIMATE CHANGE**

The failure to appropriately mitigate and ultimately adapt to climate change impacts was acknowledged globally as a major risk to economic development and stability. It is also recognised that the hardest hit by shifting weather patterns and intensified extreme events are the most vulnerable within society including the poor, children and the elderly.

Incorporating the unfolding risk to the Province into our decision making requires holistic thinking and definitive decision-making. The Department's role in this mainstreaming climate change response efforts is two-fold. Firstly, we have a mandate to guide the policy and strategy of our collective response and to track progress of the efforts being made. Secondly, we have the obligation to incorporate climate change responsive decision making into all other aspects of environmental affairs and development planning oversight, management and enforcement.

In response to the policy mandate, the Department is striving to create an enabling environment for climate resilient, low carbon development, by promoting the green economy and consolidating and extending existing initiatives. To this effect, the Western Cape Climate Change Response Strategy 2014 creates the policy platform through which significant new and emerging opportunities related to developing a low carbon, climate resilient Western Cape can be realised.

A Climate Change Response Work Group, which is chaired by this Department, has been established under the Provincial Strategic Goal 4. This Work Group strives to provide a comprehensive overview of climate change response progress and to drive a transversal approach to policy and decision making. This approach is combined with practical implementation efforts to bolster the resilience of the Western Cape to a projected warmer and drier climate.

A comprehensive multi-year Climate Change policy alignment exercise has proven useful in recommending climate change considerations into other WCG policies the most recent of which are the reviews of the Sustainable Water Management Plan and Integrated Waste Management Plan.

The Department has capitalised on the current drought conditions to highlight the need for changes needed to systems and governance to deal with such extreme weather events which are predicted to be exacerbated in future. Some very practical initiatives which enable climate resilience are seen in the Berg River Improvement Plan.

## **SHALE GAS**

Natural gas represents a significant opportunity for economic development in the Western Cape (and South Africa as a whole). However, with South Africa focusing on its climate change commitments, natural gas should only be regarded as a transition fuel on the way to a reliance on increased renewable energy generation. The use of natural gas must occur in support of renewable energy, not at the cost thereof, or as an alternative thereto. Should shale gas prove to be a viable environmentally sustainable source of natural gas, the Western Cape Government will consider both the potential risks and opportunities related to shale gas development, including how these may affect the Karoo environment. It is in the process of evaluating its readiness to respond to shale gas development demands, if exploration goes ahead within the Karoo Basin of South Africa.

## **SANDVELD EMF**

The Sandveld Environmental Management Framework (EMF) provides an important opportunity to give devolved, practical effect to the objectives and adaptive strategies recommended by the draft Climate Change Response Framework for the Agriculture Sector of the Western Cape Province, in that the EMF:

- Sets out to achieve a spatially-defined balance between agricultural production and maintaining ecosystem resilience in a region with high biodiversity importance and vulnerability to climate change;
- Gives farmers, environmental assessment practitioners and officials a reliable basis for informed agricultural planning, environmental assessment and decision-making; and
- Is designed to streamline regulatory decision making through the innovative concept of farm-level planning while safeguarding the natural environment and ecosystem services that underpin human wellbeing and sustainable agriculture in the Sandveld and Agter-Cederberg.

Farm-level management plans will provide the 'cutting edge' of the EMF by guiding agricultural expansion into areas that have been identified as being suitable for farming – thereby protecting priority ecosystems and providing insurance against the impacts of higher temperatures and unpredictable rainfall that are expected to result from climate change.

## **COMPLIANCE AND ENFORCEMENT**

### **COMPLIANCE WITH ENVIRONMENTAL LEGISLATION**

Despite a sound environmental governance regime, there are capacity constraints in compliance monitoring and enforcement. If the current challenges are not effectively addressed, environmental degradation will put the achievement of South Africa's development goals at risk. Constant legislative reforms also affect the capacity to implement environmental legislation. The distribution of the environmental function amongst the spheres of government due to litigation has emerged over the past year and the consequences of these require consideration.

## **ENVIRONMENTAL QUALITY MANAGEMENT**

### **WATER MANAGEMENT**

The current drought has put the spotlight on the important issue of water security and has led to water being identified as a provincial risk. A number of controls have been put in place to address this risk but ongoing focus and awareness is crucial. The Sustainable Water Management Plan (SWMP) provides the framework for water management within the Province. The SWMP, which has been developed and implemented since 2012, is being reviewed this year in order to reflect on the effectiveness of implementation and will be updated in terms of new environmental and water policy and legislation. The Breede Environmental Resource Protection Plans are being developed in-house in collaboration with key stakeholders and implementation will commence in 2017/18.

## **AIR QUALITY MANAGEMENT PLANNING**

The key focus is to ensure effective air quality management institutions and planning/reporting mechanisms, inclusive of cost-effective options and opportunities for decreasing emissions of air pollutants and greenhouse gases in the Province. Included in this are the infrastructure planning in terms of the transport modal shift. The Department has been working closely with the Eden, West Coast, Cape Winelands and Overberg District and Local Municipalities to ensure the development and approval of their Municipal AQMPs; and hence implement the function of air quality management, which is required to be transversally implemented across all three spheres of Government. To date, 27 AQMPs, inclusive of the Department's, have been approved and are currently being implemented in the Western Cape. The Department finalised its 2<sup>nd</sup> Generation WC AQMP 2016 – 2020, through interactive engagements with the general public, industry and industries. Special focus was given to improving the integration of air quality management with that of climate change, spatial planning and development planning, as all contributes towards growth and development in the Province.

## **WASTE MANAGEMENT**

To address waste management challenges, the Department is focussing on improving waste management regulation, planning, information management, regionalisation of waste management services and waste minimisation. The focus is priority waste streams such as organic waste and construction and demolition waste. Food waste as a part of organic waste will also be addressed. Attention is also given to hazardous waste management.

## **BIODIVERSITY MANAGEMENT**

### **COASTAL AND ESTUARY MANAGEMENT**

The Department and CapeNature will implement the Provincial Coastal Management Programme in partnership with other stakeholders, and particularly Local and District Municipalities, thus directly aligning to National and Provincial priorities.

## **DEVELOPMENT PLANNING**

### **REGIONAL SOCIO-ECONOMIC PROJECT/VIOLENCE PREVENTION THROUGH URBAN UPGRADING PROGRAMME**

A Provincial flagship project that the Department is tasked by the Provincial Cabinet to be the project manager and implementing agent, is the RSEP/VPUU Programme. The Programme embraces the “whole-of society” approach and is about partnering with active citizens, communities and stakeholders to promote social and economic inclusion, and reduce poverty. This approach will provide practical application to building safe and sustainable neighbourhoods, reducing social, cultural, economic and institutional exclusion of former townships and improving the quality of life in these areas. The RSEP/VPUU Programme is being rolled out in the following municipalities:

- Saldanha Bay Municipality
- Swartland Municipality
- Drakenstein Municipality
- Breede Valley Municipality
- Theewaterskloof Municipality
- City of Cape Town Metropolitan Municipality

The WCG started implementing the RSEP/VPUU Programme a few years ago and excellent progress has been made. The programme is directly addressing the needs of communities in the Province and it delivers visible service delivery projects in poorer communities. In Worcester (Breede Valley Municipality) alone, some 30 projects have been completed, ranging from multi-purpose sports courts to upgraded facilities

around water points in informal settlements. Many projects, such as youth centres, libraries, recreational nodes, splash parks and safe walkways are being planned and implemented in the towns of Vredenburg (Saldanha Bay Municipality), Malmesbury (Swartland Municipality), Paarl (Drakenstein Municipality), Villiersdorp (Theewaterskloof Municipality) and in certain areas in Cape Town, including Manenberg, Gugulethu-Nyanga and Khayelitsha.

## OVERVIEW OF THE FINANCIAL RESULTS OF THE DEPARTMENT:

### DEPARTMENTAL RECEIPTS

DEPARTMENTAL RECEIPTS	2016/2017			2015/2016		
	Estimate	Actual Amount Collected	(Over)/Under Collection	Estimate	Actual Amount Collected	(Over)/Under Collection
	R'000	R'000	R'000	R'000	R'000	R'000
Sale of goods and services other than capital assets	530	545	(15)	520	451	69
Transfers received	-	50	(50)	-	-	-
Fines, penalties and forfeits	2,880	6,568	(3,688)	1,450	3,520	(2,070)
Interest, dividends and rent on land	-	8	(8)	-	3	(3)
Sale of capital assets	-	68	(68)	-	39	(39)
Financial transactions in assets and liabilities	90	559	(469)	80	155	(75)
<b>Total</b>	<b>3,500</b>	<b>7,798</b>	<b>(4,298)</b>	<b>2,050</b>	<b>4,168</b>	<b>(2,118)</b>

Own revenue collected by the Department is R7,798 million. This represents an over collection of R4,298 million which was primarily in respect of the NEMA Section 24G transgressions. The tariffs in the tariff register, reviewed annually, are done mainly on a variety of principles, i.e. market related, cost recovery and determined by legislation. These include access to information, fines in terms of Section 24G of the National Environmental Management Act and commission on insurance.

No free services were rendered by the Department in the 2016/17 financial year.

### PROGRAMME EXPENDITURE

PROGRAMME NAME	2016/2017			2015/2016		
	Final Appropriation	Actual Expenditure	(Over)/ Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Administration	62,636	62,143	493	60,028	59,271	757
Environmental Policy, Planning and Coordination	17,855	16,970	885	18,524	17,667	857
Compliance and Enforcement	23,744	23,368	376	23,584	23,340	244
Environmental Quality Management	87,913	86,212	1,701	77,288	76,746	542
Biodiversity Management	264,819	264,668	151	265,592	263,570	2,022
Environmental Empowerment Services	2,148	1,851	297	1,417	1,295	122
Development Planning	84,584	82,738	1,846	63,043	60,759	2,284
<b>Total</b>	<b>543,699</b>	<b>537,950</b>	<b>5,749</b>	<b>509,476</b>	<b>502,648</b>	<b>6,828</b>

For the year under review, the Department's original budget amounted to R550,964 million and during the adjusted estimates it was decreased to R543,699 million. The Department spent R537,950 million or 98.9% of its budget and this translates into an underspending of R5,749 million. The underspending is on the earmarked funding in respect of Compensation of Employees and also primarily on projects that were not completed by 31 March 2017.

## **VIREMENTS**

### **VIREMENTS WERE EFFECTED AS FOLLOWS:**

PROGRAMME	TO R'000	FROM R'000
Programme 1	874	-
Programme 3	256	-
Programme 4	-	1,130
<b>Total</b>	<b>1,130</b>	<b>1,130</b>

The virements were approved on 29 May 2017 by the Accounting Officer in terms of Section 43 (1) of the PFMA and the virements were kept within the eight per cent limitation in terms of Section 43 (2) of the PFMA. Virement has been applied from Programme 4 (Goods and Services) to Programmes 1 and 3 (Goods and Services) due to advertising cost for the project: Water for Sustainable Growth and Development and higher than anticipated Legal fees incurred respectively.

Roll-over to the value of R893 thousand and receipts retention to the value of R4,2 million has been requested of which R3,6 million in the 2017/18 and R600 thousand in 2018/19 financial year.

## **UNAUTHORISED, FRUITLESS AND WASTEFUL EXPENDITURE.**

The reasons, amounts and steps taken pertaining to fruitless and wasteful expenditure, is recorded in Part E: Notes 23 and 24 of the Annual Financial Statements.

## **FUTURE PLANS FOR THE DEPARTMENT**

To ensure delivery of the Strategic Five Year Plan 2015 – 2020.

## **PUBLIC PRIVATE PARTNERSHIPS**

No public private partnerships were entered into by the Department during the 2016/17 financial year.

## **DISCONTINUED ACTIVITIES / ACTIVITIES TO BE DISCONTINUED**

No activities were discontinued during the 2016/17 financial year.

## **NEW OR PROPOSED ACTIVITIES**

None.

## **SUPPLY CHAIN MANAGEMENT**

During the period under review the Department did not receive or conclude any unsolicited bid proposals.

The Accounting Officer's System for Supply Chain Management and the Delegations were implemented on 1 April 2014. Processes are reviewed on a continuous basis to strengthen the control environment to ensure compliance with rules and regulations and to detect and prevent irregular, fruitless and wasteful expenditure.



A draft Moveable Asset Management Framework was developed and training initiated during the 2015/16 financial year. This Moveable Asset Management Framework was approved for implementation in the 2017/18 financial year.

Capacity constraints within Supply Chain Management remained a challenge for the Department during the reporting period. Despite efforts to recruit and appoint staff, the mobility of staff hampers continuity and skills development. The threshold on the Compensation of Employee budget introduced at the beginning of 2016 further exacerbates the situation, however the Departmental Management identified the position of Head of Supply Chain Management as a top priority and funding was re-prioritised to fill this post. This post was filled with effect from 1 March 2017.

### **GIFTS AND DONATIONS RECEIVED IN KIND FROM NON-RELATED PARTIES**

Refer to Part B, Section 7 Donor Funding and Part E annexure 1E.

### **EXEMPTIONS AND DEVIATIONS RECEIVED FROM THE NATIONAL TREASURY**

No exemptions or deviations were required or received from National Treasury.

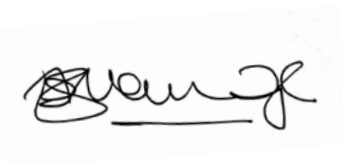
### **EVENTS AFTER THE REPORTING DATE**

None.

### **ACKNOWLEDGEMENT/S OR APPRECIATION AND CONCLUSION**

I would like to take this opportunity to express my sincere gratitude to my Senior Management Team and supporting staff. I wish to thank all our external and internal stakeholders that have been working closely with my Department, to ensure we achieved our targets.

### **APPROVAL AND SIGN OFF**

A handwritten signature in black ink, appearing to read 'Piet van Zyl', is written over a light blue rectangular background.

**Piet van Zyl**  
**Accounting Officer**  
**Department of Environmental Affairs and Development Planning**  
**31 May 2017**

## **5. STATEMENT OF RESPONSIBILITY AND CONFIRMATION OF ACCURACY FOR THE ANNUAL REPORT**

To the best of my knowledge and belief, I confirm the following:

All information and amounts disclosed throughout the Annual Report are consistent.

The Annual Report is complete, accurate and is free from any omissions.

The Annual Report has been prepared in accordance with the Guidelines on the Annual Report as issued by National Treasury.

The Annual Financial Statements (Part E) have been prepared in accordance with the modified cash standard and the relevant frameworks and guidelines issued by the National Treasury.

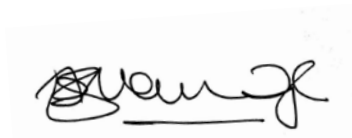
The Accounting Officer is responsible for the preparation of the Annual Financial Statements and for the judgements made in this information.

The Accounting Officer is responsible for establishing, and implementing a system of internal control that has been designed to provide reasonable assurance as to the integrity and reliability of the performance information, the human resources information and the Annual Financial Statements.

The external auditors are engaged to express an independent opinion on the Annual Financial Statements.

In my opinion, the Annual Report fairly reflects the operations, the performance information, the human resources information and the financial affairs of the Department for the financial year ended 31 March 2017.

Yours faithfully



**Piet van Zyl**  
**Accounting Officer**  
**Department of Environmental Affairs and Development Planning**  
**31 May 2017**

## 6. STRATEGIC OVERVIEW

### 6.1 VISION

A resilient, sustainable, quality and inclusive living environment.

### 6.2 MISSION

To promote a resilient, sustainable, quality and inclusive living environment in support of human well-being.

### 6.3 VALUES

The Department endorses the values of the Western Cape Government, and commits itself to delivering services according to the following values.



#### **CARING**

##### **To care for those we serve and work with**

- We value all employees and citizens and treat them with dignity and respect.
- We listen actively and display compassion towards employees and citizens.
- We provide support to – and show interest in each other as employees and the citizens, caring for all our wellbeing.
- We show appreciation and give recognition to employees and citizens.



#### **COMPETENCE**

##### **The ability and capacity to do the job appointed to do**

- We are able to do the job we are appointed to do, and always strive for excellence.
- We develop and grow our people, enabling and empowering them to do their job in support of service delivery.
- We empower employees to – and focus on rendering an excellent service to the people in the Western Cape.



#### **ACCOUNTABILITY**

##### **We take responsibility**

- We have a clear understanding of our vision, mission, strategic objectives, roles, delegations and responsibilities.
- We all deliver on our outcomes and targets with quality, on budget and in time.
- We hold each other accountable as Public Servants and know we can trust each other to deliver.
- We individually take responsibility and ownership for our work, actions and decisions.



#### **INTEGRITY**

##### **To be honest and do the right thing**

- We create an ethical environment by being honest, showing respect and living out positive values.
- We seek the truth and do the right things in the right way in each situation.
- We are reliable and trustworthy and behave consistently in word and in action.
- We act with Integrity at all levels in all instances with zero tolerance for corruption.



## **INNOVATION**

### **To continuously look for better and more cost-effective ways to render services**

- We strive to be innovative in how we render our services.
- We will always be cost-effective in rendering our services.
- We will continuously investigate global and local best practices to enhance our own service delivery.
- We will facilitate a culture of research and development as an integral part of conducting business.
- We will actively integrate intelligence management as part of our business practice.



## **RESPONSIVENESS**

### **To serve the needs of our citizens and employees**

- Our focus is the citizen, building relationships that allow us to anticipate their needs and deal with them proactively.
- We take each other and citizens seriously, being accessible, listening and hearing their voice.
- We respond with timeous action and within agreed timeframes.
- We collaborate with each other and stakeholders, providing appropriate and reliable information and sharing it responsibly.

## **7. LEGISLATIVE AND OTHER MANDATES**

### **CONSTITUTIONAL MANDATES**

Section 24 of the Constitution provides that everyone has the right to an environment that is not harmful to their health or well-being; and to have the environment protected, for the benefit of present and future generations. This is achieved through reasonable legislative and other measures that prevent pollution and ecological degradation; promote conservation; and secure ecologically sustainable development and use of natural resources, while promoting justifiable economic and social development.

The mandate of the Department to conduct provincial spatial planning and land use management emanates from the Constitution. The Provincial powers of “supervision”, “monitoring” and “support” of local government is derived from sections 41, 139 and 154 of the Constitution. The Provincial Government also has a more direct responsibility and mandate in development planning which falls within the ambit of “regional planning and development” (Schedule 4) and “provincial planning” (Schedule 5).

In terms of Part A of Schedule 4 to the Constitution, Environment and Pollution Control is classified as a concurrent National and Provincial legislative competence. Both national and provincial governments therefore have the mandate to make laws on all the areas mentioned in Schedule 4.

Since the drafting of the Constitution, South Africa has undergone a major transformation with regards to environmental management. The policy on Integrated Pollution and Waste Management introduced a major paradigm shift in managing pollution and waste. This policy, together with the National Environmental Management Act (NEMA), the NEM: Air Quality Act and NEM: Waste Act emphasise pollution prevention, waste minimisation, cross-media integration, institutional integration and cooperative governance in pollution and waste management.

## LEGISLATIVE MANDATES

The Western Cape Government Environmental Affairs and Development Planning and its delivery agent CapeNature are responsible for administering the Provincial competencies in terms of the NEMA, as well as other legislative frameworks that include the following:

- Black Communities Development Act (Act No. 4 of 1984)
- Constitution of the Republic of South Africa, 1996
- Constitution of the Western Cape, 1998
- Environment Conservation Act, 1989 (Act No. 73 of 1989)
- Land Use Planning Ordinance, 1985 (Ordinance 15 of 1985)
- Less Formal Township Establishment Act, 1991 (Act No. 113 of 1991)
- Local Government: Municipal Systems Act (Act No. 32 of 2000)
- National Environmental Management Act, 1998 (Act No. 107 of 1998)
- National Environmental Management: Air Quality Act, 2004 (Act No. 39 of 2004)
- National Environmental Management: Bio-diversity Act, 2004 (Act No. 10 of 2004)
- National Environmental Management: Integrated Coastal Management Act, 2008 (Act No. 24 of 2008)
- National Environmental Management: Protected Areas Act, 2003 (Act No. 57 of 2003)
- National Environmental Management: Waste Act, 2008 (Act No. 59 of 2008)
- Promotion of Access to Information Act, 2000 (Act No. 2 of 2000)
- Promotion of Administrative Justice Act, 2000 (Act No. 3 of 2000)
- Public Finance Management Act, 1999 (Act No. 1 of 1999)
- Public Service Act, 1994 (Proclamation No. 103 of 1994)
- Removal of Restrictions Act, 1967 (Act No. 84 of 1967)
- Rural Areas Act (Act No. 9 of 1987)
- Spatial Planning and Land Use Management Act, 2013 (Act No. 16 of 2013)
- Western Cape Land Use Planning Act, 2014 (Act No. 3 of 2014)
- Western Cape Nature Conservation Board Act, 1998 (Act No. 15 of 1998)
- Western Cape Health Care Waste Management Act (Act No. 7 of 2007)
- Western Cape Biosphere Reserves Act, 2011 (Act No. 6 of 2011)
- Western Cape Monitoring and Support of Municipalities Act, 2014 (Act No. 2 of 2014)





## 8. ORGANISATIONAL STRUCTURE

AS AT 31 MARCH 2017



## 9. ENTITIES REPORTING TO THE MINISTER

The table below indicates the entity that reports to the Minister.

NAME OF ENTITY	LEGISLATIVE MANDATE	FINANCIAL RELATIONSHIP	NATURE OF OPERATIONS
Western Cape Nature Conservation Board	Western Cape Nature Conservation Board Act, 1998 (Act 15 of 1998)	Schedule 3C provincial public entity	<p>The objectives of the Western Cape Nature Conservation Board are to:</p> <ol style="list-style-type: none"> <li>1. Promote and ensure nature conservation and related matters in the Province;</li> <li>2. Render services and provide facilities for research and training in connection with nature conservation and related matters in the Province;</li> <li>3. Ensuring the objectives set out in paragraphs (1) and (2), to generate income.</li> </ol>

The table below indicates the entity that has been provided for in the Western Cape Constitution, but not activated as yet:

NAME OF ENTITY	LEGISLATIVE MANDATE	FINANCIAL RELATIONSHIP	NATURE OF OPERATIONS
Commissioner for the Environment	Western Cape Constitution (s71)	Schedule 3C provincial public entity	<p>Although the Commissioner for the Environment was listed as a Schedule 3, Part C (PFMA) public entity, Provincial Cabinet decided not to pursue the establishment of the position of Commissioner for the Environment. The Standing Committee and Provincial Cabinet granted in-principle approval and support for the amendment of the Western Cape Constitution to align it with the National Constitution and to amend the provisions relating to the Commissioner for the Environment, to allow the Premier to appoint a Commissioner, if it is considered desirable to do so. The matter is currently under review.</p>







# PART B

## PERFORMANCE INFORMATION

### 1. AUDITOR GENERAL'S REPORT: PREDETERMINED OBJECTIVES

The AGSA currently performs certain audit procedures on the performance information to provide reasonable assurance in the form of an audit conclusion. The audit conclusion on the performance against predetermined objectives is included in the report to management, with material findings being reported under the Predetermined Objectives heading in the Report on other legal and regulatory requirements section of the auditor's report.

Refer to page 111 of the Report of the Auditor General, published as Part E: Financial Information.

### 2. OVERVIEW OF DEPARTMENTAL PERFORMANCE

The Department is the lead department of the PSG4 for the 2014-2019 term and has the mandate to "Enable a resilient, sustainable, quality and inclusive living environment". The institutionalisation of the PSG4 has taken place and four work groups have been established to operationalise the work of the PSG4. The past year was spent on strategic alignment of the Department's Strategic Plan 2015-2020 to both the PSP and NDP, to ensure that the Department develops projects and programmes that directly contribute to realising the PSGs and NDP. A Provincial flagship project that the Department is tasked by the Provincial Cabinet to be the project manager and implementing agent, is the WCG RSEP/VPUU Programme. The Programme embraces the "whole-of-society" approach and is about partnering with active citizens, communities and stakeholders to promote social and economic inclusion, and reduce poverty. This approach will provide practical application to building safe and sustainable neighbourhoods, reducing social, cultural, economic and institutional exclusion of former townships and improving the quality of life in these areas.

#### 2.1 SERVICE DELIVERY ENVIRONMENT

##### SUSTAINABILITY

The 4<sup>th</sup> successful Western Cape Sustainable Settlement Innovation Summit (SSIS 2017) was held on 20 and 21 February 2017 at Goudini Spa in Rawsonville, Worcester. A key focus of the summit was on the Western Cape's Water System, water reuse and how water is recognised as a critical aspect of the green economy. The 3<sup>rd</sup> annual Green Economy Indicator Report was produced and acts as an important guide to the provinces, as well as external stakeholders, to determine whether the work being undertaken to stimulate the Green Economy is having the desired effect. The United Nations sponsored Sustainable Public Procurement Project had a valuable series of workshops and engagements with Supply Chain

professionals across WCG and the Municipalities to guide the toolkit which will be finalised during 2017/18. The Western Cape Transversal 2Wise2Waste programme released its annual report, which tracks the efforts across the WCG Departments to improve resource efficiency within our operations.

As the lead Provincial Department for the Environment and Culture (EAC) Sector of the Expanded Public Works Programme (EPWP), the Department contributed to environmental outcomes and job creation through an exciting Renewable Energy training programme<sup>1</sup>, the river rehabilitation work of the Berg River Improvement Plan, as well as in partnership with its conservation implementation agency, CapeNature.

## **COMPLIANCE AND ENFORCEMENT**

### **COMPLIANCE WITH ENVIRONMENTAL LEGISLATION**

Despite a sound environmental governance regime, there are capacity constraints in environmental law enforcement in all spheres of government. If the current challenges are not effectively addressed, environmental degradation and/or pollution may jeopardise the achievement of South Africa's development goals. Environmental transgressions range from illegal developments, the degradation of wetlands, air, water and soil pollution and non-compliance with the conditions of authorisations and licenses. The National Environmental Management Amendment Act, 2003 (Act No. 46 of 2003) dealing with compliance and enforcement provides for Environmental Management Inspectors (EMIs) which is a national network of environmental enforcement officials from various government departments at national, provincial and municipal level. Due to financial and capacity constraints no EMI training was presented for Municipalities in 2016/17.

The National Environmental Management Laws Second Amendment Act 30 of 2013 made various amendments to the National Environmental Management Act 107 of 1998 including section 24G of the Act that increased the fine payable in respect of an unlawful commencement of a listed activity from R1 million to R5 million. This resulted in an increase in revenue due to higher administrative fines being issued and subsequently paid.

## **ENVIRONMENTAL QUALITY MANAGEMENT**

### **AIR QUALITY MANAGEMENT**

The key focus is to ensure effective air quality management institutions and planning/reporting mechanisms, inclusive of cost-effective options and opportunities for decreasing emissions of air pollutants and greenhouse gases in the Province. Included in this are the infrastructure planning in terms of the transport modal shift. The Department maintained the 11 ambient air quality monitoring stations located in Dana Bay, George, Hermanus, Hout Bay, Khayelitsha, Malmesbury, Oudtshoorn, St Helena Bay, Stellenbosch, Visserhok and Worcester. The Department also continued to work closely with the Eden, West Coast, Cape Winelands, Central Karoo and Overberg District and Local Municipalities to ensure the development and approval of their Municipal Air Quality Management Plans (AQMPs); and hence implement the function of air quality management, which is required to be transversally implemented across all three spheres of Government. To date, 27 AQMPs were adopted (viz. 26 Municipalities and 1 Provincial) and are currently being implemented in the Western Cape.

The review of the Western Cape AQMP 2010, which was embarked upon in 2015, was finalised through the final phase of Public Participation Process (PPP) workshops held in Beaufort West, George, Bredasdorp, Langebaan, Worcester and Parow during 17 – 24 August 2016. The Department conducted the PPP workshops and amongst others, assess progress made in air quality management in the Province; establish whether the identified goals and targets have been effectively implemented; establish whether the goals and targets were still valid in terms of new developments and economic growth in the Province; and identify potential air quality risks and interventions that can be translated into new goals and objectives, where required.

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1 <http://www.iol.co.za/vukani/news/ngo-equips-unemployed-youth-with-critical-skills-8204958>



The review informed the development of the 2<sup>nd</sup> Generation Western Cape AQMP, which was adopted for implementation. In implementing the 2<sup>nd</sup> Generation AQMP, the Department aims to assess and link the current air quality status or “air carrying capacity” vs future air quality requirements with spatial planning, as well as growth and development in the Province during the next few years. This will be integrally linked to air quality management planning and the atmospheric emission licensing system, which is being implemented by the Department, City of Cape Town and District Municipalities in the Province. Moreover, the integral linkages to climate change, through ambient air quality monitoring, as well as greenhouse gas emissions monitoring and reporting, aims to strengthen climate change mitigation interventions so that, as a country, we can meet our international agreements, as per the NEM:AQA.

The review of the Western Cape AQMP 2010 also informed aspects of the Western Cape State of Air Quality Management Report 2015, which was finalised. An overview of the state of air quality management in the Province during the period 2010 – 2015, since the adoption and implementation of the Western Cape AQMP 2010, is also included. Overall, the Western Cape boasts some of the best air quality in South Africa, except for some areas where multiple sources of air pollution and inherently poor atmospheric dispersion, particularly in winter, likely result in some exceedances of the South African Ambient Air Quality Standards for Particulate Matter (PM) less than 10 micron (PM10). Exceedances of PM10 have mostly been measured at the City of Cape Town’s Khayelitsha monitoring station; however, the levels have been declining over the years. The PM10 levels in this area are mostly associated with residential wood burning, refuse burning and dust from unpaved roads, etc.

The Department also finalised the Western Cape Human Health Risk Assessment Study, which aimed to identify the potential for detrimental health effects that would be caused by a hazard (e.g. air pollutant) in identified areas of the Province. When taking both the exposures to air pollution and population characteristics into account, some areas located in the Cape Winelands District Municipality (Paarl), the Eden District Municipality (Oudtshoorn), and the City of Cape Town (Bluedowns, greater Milnerton, Elsies River and Fisantekraal) were identified for undertaking more detailed human health risk assessment studies, in conjunction more focused air quality monitoring. Such air quality monitoring data from the areas identified will strengthen the outcomes of future health risk assessments and epidemiological studies.

Air pollutants are able to move over great distances in moving air masses. Therefore, the long-range transport of air pollutants may result in transboundary air quality impacts, with their impacts being felt in another region or country, some distance away. However, as the air quality is generally good in the Western Cape, the air that we breathe can be regarded as a provincial, national and international asset that must continue to be protected and managed, to ensure that air pollution does not impact on our communities, other provinces, regions and countries.

## **WASTE MANAGEMENT**

The development of the 2<sup>nd</sup> generation Western Cape Integrated Waste Management Plan has revealed a number of challenges that relate to the rapid increase in urbanisation, limited institutional capacity, financial sustainability, coordination and participation, stakeholder inclusivity, resource inefficiency and limited integrated waste management infrastructure. These challenges are exacerbated by some waste legislation having unintended consequences of placing significant financial and concomitant environmental consequences on municipalities to be compliant. Littering, illegal dumping and inappropriate waste disposal practices still remain a challenge, contributing to climate change and impacting negatively on our scarce water resources

The governance of waste management has improved through active engagements at the Waste Management Officers’ Forum, the district waste forums, and local government structure engagements such as the Municipal Infrastructure Grant, Integrated Development Plans, Local Government Medium Term Expenditure Committee and the South African Local Government Association, specifically to address waste services, budgeting and infrastructure requirements. Partnerships were also strengthened through the engagement with industry via the Western Cape Recycling Action Group (WCRAAG), Industry Waste Management Forum, the Western Cape Institute for Waste Management, GreenCape and academia.

The Department focussed on organic waste and construction waste. A guideline for abattoir waste was developed to compliment the Status Quo Analysis Report on Abattoir Waste which was developed in the previous year. This guideline was workshopped in two regions with the abattoir industry to assist with providing solutions for this waste type. A Green Waste Status Quo Report was also developed. Attention was also given to hazardous waste management and Hazardous Waste Status Quo Report was developed to get a better understanding of this waste type and guide future interventions.

A detailed integrated waste management infrastructure assessment for the five District Municipalities excluding the City of Cape Town, was finalised which identified and costed the integrated infrastructure needs. This study focussed on the compliance of existing waste management facilities, and also determined the additional infrastructure required to achieve the country's waste diversion target of 20% by 2019 as well as the additional infrastructure required to remain compliant until 2013. According to the study more than two billion Rand is needed for municipal integrated waste management infrastructure to service the population of the five District Municipalities until 2030. The outcomes of the study will add a lot of value to municipal waste management planning in the province. A State of Waste Management Report was also developed to determine the status of waste management in the province.

Recognising the contribution of small and micro waste enterprises to the Green Economy in the Western Cape and their potential for job creation and diverting waste from landfill to protect the limited available airspace, the Department embarked on a support programme for small and micro waste enterprises in the Western Cape. The enterprises identified to be part of the project received entrepreneurial skills training and onsite business mentorship and support in the 2016/17 financial year. Further support to these enterprises will be extended into the 2017/18 financial year. The support programme will be further rolled out to waste enterprises in the Eden District, in the next financial year.

## **POLLUTION AND CHEMICALS MANAGEMENT**

The overall focus is on water for growth and development in the Province, whilst ensuring long term sustainability of the environment. This is done through the continued coordination and implementation of the Sustainable Water Management Plan (SWMP) for the Western Cape Province, as a pro-active and collaborative approach to water management.

The Berg River Improvement Plan (BRIP) is a key project linked to the SWMP. This very successful water stewardship programme is focused on the Berg River Catchment, and aims to address the water security concerns (quality and quantity) in this catchment through the implementation of innovative solutions to improve the health of the river, in order to ensure sustainable use of the water by all sectors. This project has a significant transversal element to it, with a number of provincial departments providing good support through their own projects.

This concept of River Improvement Plans, now termed Environmental Resource Protection Plans (ERPP), is being extended to other catchments as well, notably the Breede River catchment with the development of the Breede ERPP which is to be followed by the Olifants River catchment in future. The Breede ERPP is being developed in-house this year, in collaboration with key stakeholders and implementation will commence in 2017/18. However, monitoring of 10 sites in the Breede estuary has commenced in 2015.

Integrated Pollution Management is also a key focus and includes pro-active measures to prevent pollution and also responding to complaints and incidents in order to rectify any pollution. The management and control of the remediation of contaminated land also forms an important part of this function.

## **BIODIVERSITY MANAGEMENT**

In order to negate the continued loss of critical biodiversity and ecological infrastructure, there has been a progressive focus on improved spatial planning and decision support through the launch of the Provincial Biodiversity Spatial Plan (PBSP) in March 2017. Biodiversity responsibility has also been leveraged through an Ecological Infrastructure Investment Framework approach. This flows from the Provincial Biodiversity Strategy and Action Plan (PBSAP) of 2015/16 and its subsequent implementation plan submitted in March 2017.

The Provincial Biodiversity Economy Strategy (PBES) and its associated programme completed in March 2017 aligns with national and global strategies. This aims to strengthen and expand the biodiversity economy, while recognising and valuing the economic contribution of ecological services sustainably and inclusively to the Province and its inhabitants.

## **COASTAL AND ESTUARY MANAGEMENT**

Adopted in March 2016, the Western Cape Provincial Coastal Management Programme (PCMP) sets out priorities for coastal management in the Western Cape and provides a five-year prioritised programme of implementation for the 2015/16 to 2020/21 financial years. The PCMP and its Priority Area 7: Estuaries Management, are aligned to the national environmental sector and provincial medium and long-term strategies, and enable a coordinated strategic and operational response to the implementation of the legal mandate and roles and responsibilities of the Province.

The Estuary Management Programme in the Western Cape forms a priority area within the Provincial Coastal Management Programme and Municipal Coastal Management Programmes. Sections 38 (2) (a), (b), (g) and (h) of the NEM: ICMA further empower the Provincial lead agency to ensure the enforcement of the provisions of the Act, among other things. The Department and CapeNature work closely together in the development and implementation of the Western Cape Estuary Management Programme, in partnership with the National Department of Environmental Affairs: Oceans and Coasts Branch, South African National Parks, Municipalities, Non-Government Organisations and Estuary Advisory Forums.

The Coastal Economy continues to be a focus of international and national economic development strategies. This is mirrored at a National level in the identification of the Coastal Economy, as a focus of Phase 1 of Operation Phakisa, undertaken by the Presidency and the National Department of Environmental Affairs in 2014.

## **DEVELOPMENT PLANNING**

During 2016/17 the Department continued to roll-out its Departmental Spatial Planning and Land Use Management Support and Capacity Building Strategy, Departmental Environmental Support and Capacity Building Strategy and its Departmental Municipal Support and Capacity Building Strategy. The Department worked closely with the Western Cape municipalities and provided support in terms of implementation readiness, in terms of the new planning dispensation. By November 2016, all the Western Cape Municipalities had published their Municipal Planning By-Laws and the Western Cape Land Use Planning Act had been brought into effect in all the Western Cape municipalities. Since then, the Department have been providing ongoing support in terms of the implementation of the new spatial planning and land use management dispensation. During the year, the Department successfully partnered with SALGA, to roll out the special Municipal Councillor Training Programme on the implementation of the new spatial planning and land use management dispensation. In celebration of the fact that all municipalities had transitioned to the new spatial planning and land use management, the Department published a peer reviewed book on the spatial planning and land use management law reform process.

In terms of Development Facilitation, the Department, amongst other things, continued to during 2016/17 actively participate in the Municipal Integrated Development Planning (IDP) and Local Government Medium Term Expenditure Committee (LGMTEC) processes of all 30 Western Cape Municipalities.

## RSEP/VPUU PROGRAMME

The WCG started implementing the RSEP/VPUU Programme three years ago and excellent progress has been made. The Programme is directly addressing the needs of communities in the Province and it delivers visible service delivery projects, especially in poorer communities. In Worcester (Breede Valley Municipality) alone, some 30 projects have been completed, ranging from multi-purpose sports courts to upgraded water points in informal settlements. Many projects, such as youth centres, libraries, recreational nodes, splash parks and safe walkways, are being planned and implemented in the towns of Vredenburg (Saldanha Bay Municipality), Malmesbury (Swartland Municipality), Paarl (Drakenstein Municipality), Villiersdorp (Theewaterskloof Municipality) and in certain areas in Cape Town, including Manenberg, Hanover Park, Gugulethu-Nyanga and Khayelitsha.

The Programme is actively involved with various 'whole-of-government' initiatives, including the PSG3 Integrated Service Delivery Model and PSG4-related alignment of planning initiatives.

In terms of a February 2017 Cabinet decision, the Programme will be extended to seven new municipalities, namely Bergrivier, Witzenberg, Cape Agulhas, Stellenbosch, Prince Albert, Mossel Bay and Bitou. The Programme is also being rolled out in three additional towns within the existing RSEP municipalities, namely Darling (Swartland Municipality), Touwsrivier (Breede Valley Municipality) and Saldanha (Saldanha Bay Municipality). Aligning with the extension of the programme, the Programme budget has been extended to March 2020.

## 2.2 SERVICE DELIVERY IMPROVEMENT PLAN

The Department has completed a Service Delivery Improvement Plan (SDIP). The tables below highlight the service delivery plan and the achievements to date.

### MAIN SERVICES AND STANDARDS

MAIN SERVICES	BENEFICIARIES	CURRENT/ACTUAL STANDARD OF SERVICE	DESIRED STANDARD OF SERVICE	ACTUAL ACHIEVEMENT
Conduct Waste Management Officers' Forum Meetings.	30 Municipalities.	3 Waste Management Officers' Forum Meetings.	3 Waste Management Officers' Forum Meetings.	4 Waste Management Officers' Forum Meetings.
Develop Municipal Support Plans.	All 30 Western Cape Municipalities.	30 Municipal Support Plans developed. 100% of Municipal Support Plans implemented.	30 Municipal Support Plans developed. 100% of Municipal Support Plans implemented.	30 Municipal Support Plans developed. The 30 municipal support plans were developed in Q1. 100% of Municipal Support Plans implemented. (100% of targets as set in the Municipal Support Plans were implemented). Overall Performance for 2016/17. Based on performance in all 4 quarters an average of the percentage implementation was calculated. Therefore, the percentage implementation for 2016/17 was 100%.

## BATHO PELE ARRANGEMENTS WITH BENEFICIARIES (CONSULTATION ACCESS ETC.)

CURRENT/ACTUAL ARRANGEMENTS	DESIRED ARRANGEMENTS	ACTUAL ACHIEVEMENTS
<b>Conduct Waste Management Officers' Forum Meetings</b>		
<b>Consultation</b>		
Clients are consulted through: <ul style="list-style-type: none"> <li>E-mail</li> <li>Telephonic</li> <li>Official letter</li> </ul>	Clients are consulted through: <ul style="list-style-type: none"> <li>E-mail</li> <li>Telephonic</li> <li>Official letter</li> </ul>	Clients are consulted through: <ul style="list-style-type: none"> <li>E-mail</li> <li>Telephonic</li> <li>Official letter</li> </ul>
<b>Access</b>		
The service is accessible: <ul style="list-style-type: none"> <li>At 1 Dorp Street, Cape Town, Operating times: Mon-Fri, 07:30 – 16:00</li> <li>Via visits to the office</li> <li>Via telephone</li> <li>Via e-mail: enquiries.eadp@westerncape.gov.za</li> <li>Via departmental website: www.westerncape.gov.za/eadp</li> </ul>	The service is accessible: <ul style="list-style-type: none"> <li>At 1 Dorp Street, Cape Town, Operating times: Mon-Fri, 07:30 – 16:00</li> <li>Via visits to the office</li> <li>Via telephone</li> <li>Via e-mail: enquiries.eadp@westerncape.gov.za</li> <li>Via departmental website: www.westerncape.gov.za/eadp</li> </ul>	The service is accessible: <ul style="list-style-type: none"> <li>At 1 &amp; 3 Dorp Street, Cape Town, Operating times: Mon-Fri, 07:30 – 16:00</li> <li>Via visits to the office</li> <li>Via visits to Municipalities</li> <li>Via telephone</li> <li>Via e-mail: enquiries.eadp@westerncape.gov.za</li> <li>Via departmental website: www.westerncape.gov.za/eadp</li> </ul>
<b>Courtesy</b>		
Courtesy is measured and reported via: <ul style="list-style-type: none"> <li>E-mail</li> <li>Telephonic</li> <li>Official letter</li> </ul>	Courtesy is measured and reported via: <ul style="list-style-type: none"> <li>E-mail</li> <li>Telephonic</li> <li>Official letter</li> </ul>	Courtesy is measured and reported via: <ul style="list-style-type: none"> <li>E-mail</li> <li>Telephonic</li> <li>Official letter</li> </ul>
<b>Openness and transparency</b>		
The following mechanisms are in place: <ul style="list-style-type: none"> <li>Written feedback from Officials, minutes of meetings are made available along with Agendas</li> </ul> Openness and transparency is further achieved through: <ul style="list-style-type: none"> <li>The publication/ distribution (in 3 Official languages of the Province and obtainable via the Client Service Centre and the departmental website) of the: <ul style="list-style-type: none"> <li>Department's Annual Citizen's Report</li> <li>Service Access Booklet</li> <li>Service Charter</li> <li>Service Standard Schedule</li> </ul> </li> </ul>	The following mechanisms are in place: <ul style="list-style-type: none"> <li>Written feedback from Officials, minutes of meetings are made available along with Agendas</li> </ul> Openness and transparency is further achieved through: <ul style="list-style-type: none"> <li>The publication/ distribution (in 3 Official languages of the Province and obtainable via the Client Service Centre and the departmental website) of the: <ul style="list-style-type: none"> <li>Department's Annual Citizen's Report</li> <li>Service Access Booklet</li> <li>Service Charter</li> <li>Service Standard Schedule</li> </ul> </li> </ul>	The following mechanisms are in place: <ul style="list-style-type: none"> <li>Written feedback from Officials, minutes of meetings are made available along with Agendas</li> </ul> Openness and transparency is further achieved through: <ul style="list-style-type: none"> <li>The publication/ distribution (in 3 Official languages of the Province and obtainable via the Client Service Centre and the departmental website) of the: <ul style="list-style-type: none"> <li>Department's Annual Citizen's Report</li> <li>Service Access Booklet</li> <li>Service Charter</li> <li>Service Standard</li> <li>Schedule</li> </ul> </li> </ul>
<b>Value for money</b>		
Clients get useful and useable information at no cost. The component is constantly examining ways to continuously improve its services and be innovative about saving costs.	Clients get useful and useable information at no cost. The component is constantly examining ways to continuously improve its services and be innovative about saving costs.	Clients get useful and useable information at no cost. The component is constantly examining ways to continuously improve its services and be innovative about saving costs.
<b>Develop Municipal Support Plans</b>		
<b>Consultation</b>		
The strategic priorities in terms of Municipal support and capacity building are identified during the IDP and LG MTEC engagements, with the consultation happening through these and other Intergovernmental Relations (IGR) platforms.	The strategic priorities in terms of Municipal support and capacity building are identified during the IDP and LG MTEC engagements, with the consultation happening through these and other Intergovernmental Relations (IGR) platforms.	Consultation was provided and addressed through the following in 2016/17: <ul style="list-style-type: none"> <li>Strategic priorities were identified during the IDP/LGMTEC 3 assessments.</li> <li>Other IGR forums included the DEA&amp;DP-DEA-SALGA Municipal Support Coordination Committee meeting, the tabling of the collated Departmental inputs in terms of the performance information at the DEA Local Government Support Forum and the DEA&amp;DP Municipal Support and Capacity Building Committee meeting.</li> </ul> No additional channels were used. There were no deviations.

CURRENT/ACTUAL ARRANGEMENTS	DESIRED ARRANGEMENTS	ACTUAL ACHIEVEMENTS
<b>Access</b>		
<p>The service is accessible:</p> <ul style="list-style-type: none"> <li>At 1 Dorp Street, Cape Town, Operating times: Mon-Fri, 07:30 – 16:00</li> <li>Via visits to the office</li> <li>Via telephone</li> <li>Via e-mail: enquiries.eadp@westerncape.gov.za</li> <li>Via departmental website: www.westerncape.gov.za/eadp</li> </ul>	<p>The service is accessible:</p> <ul style="list-style-type: none"> <li>At 1 Dorp Street, Cape Town, Operating times: Mon-Fri, 07:30 – 16:00</li> <li>Via visits to the office</li> <li>Via telephone</li> <li>Via e-mail: enquiries.eadp@westerncape.gov.za</li> <li>Via departmental website: www.westerncape.gov.za/eadp</li> </ul>	<p>In 2016/17 the service was made accessible via the following channels:</p> <ul style="list-style-type: none"> <li>At 1 Dorp Street, Cape Town, Operating times: Mon-Fri, 07:30 – 16:00</li> <li>Via visits to the office</li> <li>Via telephone</li> <li>Via e-mail: enquiries.eadp@westerncape.gov.za</li> <li>Via departmental website: www.westerncape.gov.za/eadp</li> </ul> <p>These locations remain relevant. There were no deviations.</p>
<b>Courtesy</b>		
<p>Courtesy is measured and reported via:</p> <ul style="list-style-type: none"> <li>E-mail</li> <li>Telephonic</li> <li>Official letter</li> </ul>	<p>Courtesy is measured and reported via:</p> <ul style="list-style-type: none"> <li>E-mail</li> <li>Telephonic</li> <li>Official letter</li> </ul>	<p>Courtesy was measured and reported in 2016/17 via:</p> <ul style="list-style-type: none"> <li>E-mail</li> <li>Telephonic</li> <li>Official letter</li> </ul> <p>No other channels were used. There were no deviations.</p>
<b>Openness and transparency</b>		
<p>The following mechanisms are in place:</p> <ul style="list-style-type: none"> <li>Written feedback from Officials, minutes of meetings are made available along with Agendas</li> </ul> <p>Openness and transparency is further achieved through:</p> <ul style="list-style-type: none"> <li>The publication/ distribution (in 3 Official languages of the Province and obtainable via the Client Service Centre and the departmental website) of the: <ul style="list-style-type: none"> <li>Department's Annual Citizen's Report</li> <li>Service Access Booklet</li> <li>Service Charter</li> <li>Service Standard Schedule</li> </ul> </li> </ul>	<p>The following mechanisms are in place:</p> <ul style="list-style-type: none"> <li>Written feedback from Officials, minutes of meetings are made available along with Agendas</li> </ul> <p>Openness and transparency is further achieved through:</p> <ul style="list-style-type: none"> <li>The publication/ distribution (in 3 Official languages of the Province and obtainable via the Client Service Centre and the departmental website) of the: <ul style="list-style-type: none"> <li>Department's Annual Citizen's Report</li> <li>Service Access Booklet</li> <li>Service Charter</li> <li>Service Standard Schedule</li> </ul> </li> </ul>	<p>The following mechanisms for openness and transparent were put in place in 2016/17:</p> <ul style="list-style-type: none"> <li>Written feedback from Officials, minutes of meetings are made available along with Agendas</li> </ul> <p>Openness and transparency is further achieved through:</p> <ul style="list-style-type: none"> <li>The publication/ distribution (in 3 Official languages of the Province and obtainable via the Client Service Centre and the departmental website) of the: <ul style="list-style-type: none"> <li>Department's Annual Citizen's Report</li> <li>Service Access Booklet</li> <li>Service Charter</li> <li>Service Standard Schedule</li> </ul> </li> </ul> <p>No other channels were used. There were no deviations.</p>
<b>Value for money</b>		
<p>Clients get useful and useable information at no cost.</p> <p>The component is constantly examining ways to continuously improve its services and be innovative about saving costs.</p>	<p>Clients get useful and useable information at no cost</p> <p>The component is constantly examining ways to continuously improve its services and be innovative about saving costs.</p>	<p>In terms of value for money in 2016/17:</p> <ul style="list-style-type: none"> <li>Clients got useful and useable information at no cost.</li> <li>The component (DDF) constantly examining ways to continuously improve its services and be innovative about saving costs.</li> <li>The function was performed in house and no additional costs were incurred.</li> </ul> <p>No other mechanisms were used. There were no deviations.</p>



## SERVICE DELIVERY INFORMATION TOOL

CURRENT/ACTUAL ARRANGEMENTS	DESIRED ARRANGEMENTS	ACTUAL ACHIEVEMENTS
<b>Conduct Waste Management Officers' Forum Meetings</b>		
Information is communicated through: <ul style="list-style-type: none"> <li>• Web services</li> <li>• Direct contact via telephone, fax and e-mail</li> <li>• Social media</li> <li>• Publications: Green Ambassador</li> <li>• Annual Report</li> <li>• One-on-one meetings</li> <li>• Media reports</li> <li>• Quarterly Meetings</li> <li>• Department's Service Charter</li> <li>• Circulars</li> </ul>	Information is communicated through: <ul style="list-style-type: none"> <li>• Web services</li> <li>• Direct contact via telephone, fax and e-mail</li> <li>• Social media</li> <li>• Publications: Green Ambassador</li> <li>• Annual Report</li> <li>• One-on-one meetings</li> <li>• Media reports</li> <li>• Quarterly Meetings</li> <li>• Department's Service Charter</li> <li>• Circulars</li> </ul>	Information was communicated through: <ul style="list-style-type: none"> <li>• Web services</li> <li>• Direct contact via telephone, fax and e-mail</li> <li>• Social media</li> <li>• Publications: Green Ambassador</li> <li>• Annual Report</li> <li>• One-on-one meetings</li> <li>• Media reports</li> <li>• Quarterly Meetings</li> <li>• Department's Service Charter</li> <li>• Circulars</li> </ul>
<b>Develop Municipal Support Plans</b>		
Information is communicated through: <ul style="list-style-type: none"> <li>• Web services</li> <li>• Direct contact via telephone, fax and email</li> <li>• Publications: Green Ambassador</li> <li>• Annual Report</li> <li>• One-on-one meetings</li> <li>• Media reports</li> <li>• Quarterly Meetings (e.g. Municipal Coordination Committee and SALGA Work-groups)</li> <li>• IGR forums (e.g. IDP/LGMTEC)</li> <li>• Department's Service Charter</li> <li>• Circulars</li> </ul>	Information is communicated through: <ul style="list-style-type: none"> <li>• Web services</li> <li>• Direct contact via telephone, fax and email</li> <li>• Publications: Green Ambassador</li> <li>• Annual Report</li> <li>• One-on-one meetings</li> <li>• Media reports</li> <li>• Quarterly Meetings (e.g. Municipal Coordination Committee and SALGA Work-groups)</li> <li>• IGR forums (e.g. IDP/LGMTEC)</li> <li>• Department's Service Charter</li> <li>• Circulars</li> </ul>	Information was communicated in 2016/17 through: <ul style="list-style-type: none"> <li>• Direct contact via telephone, fax and email</li> <li>• Publications: 30 Municipal Support Plans and the LGMTEC Assessment Reports</li> <li>• One-on-one meetings</li> <li>• Annual Report</li> <li>• Quarterly Meetings</li> <li>• IGR forums: DEA Local Government Support Forum, DEA&amp;DP-DEA-SALGA MSCC, MSCBC</li> <li>• Department's Service Charter</li> </ul>

## COMPLAINTS MECHANISM

CURRENT/ACTUAL ARRANGEMENTS	DESIRED ARRANGEMENTS	ACTUAL ACHIEVEMENTS
<b>Conduct Waste Management Officers' Forum Meetings</b>		
Redress is offered via responses to the following channels: <ul style="list-style-type: none"> <li>• Contact Centre</li> <li>• Phone, fax and email</li> <li>• Social media</li> <li>• Written feedback</li> <li>• Online (website) feedback mechanisms</li> <li>• Log formal complaints to Senior Managers, HOD</li> <li>• Appeal processes i.t.o PAJA and other legislation</li> </ul>	Redress is offered via responses to the following channels: <ul style="list-style-type: none"> <li>• Contact Centre</li> <li>• Phone, fax and email</li> <li>• Social media</li> <li>• Written feedback</li> <li>• Online (website) feedback mechanisms</li> <li>• Log formal complaints to Senior Managers, HOD</li> <li>• Appeal processes i.t.o PAJA and other legislation</li> </ul>	Redress is offered via responses to the following channels: <ul style="list-style-type: none"> <li>• Contact Centre</li> <li>• Phone, fax and email</li> <li>• Social media</li> <li>• Written feedback</li> <li>• Online (website) feedback mechanisms</li> <li>• Log formal complaints to Senior Managers, HOD</li> <li>• Appeal processes i.t.o PAJA and other legislation</li> </ul>
<b>Develop Municipal Support Plans</b>		
Redress is offered via responses to the following channels: <ul style="list-style-type: none"> <li>• Contact Centre</li> <li>• Phone, fax and e-mail</li> <li>• Social media</li> <li>• Written feedback</li> <li>• Online (website) feedback mechanisms</li> <li>• Log formal complaints to Senior Managers, HOD</li> <li>• Appeal processes i.t.o PAJA and other legislation</li> </ul>	Redress is offered via responses to the following channels: <ul style="list-style-type: none"> <li>• Contact Centre</li> <li>• Phone, fax and e-mail</li> <li>• Social media</li> <li>• Written feedback</li> <li>• Online (website) feedback mechanisms</li> <li>• Log formal complaints to Senior Managers, HOD</li> <li>• Appeal processes i.t.o PAJA and other legislation</li> </ul>	Redress was offered via responses to the following channels in 2016/17: <ul style="list-style-type: none"> <li>• Phone, fax and email</li> <li>• Written feedback</li> <li>• No complaints received.</li> </ul> <p>No other channels were used. There were no deviations.</p>

## 2.3 ORGANISATIONAL ENVIRONMENT

The Department's modernisation organisational structure was approved and implemented in 2009/10. Subsequent adjustments to the organisation and establishment were required to respond to law reform and various organisational challenges. This was in line with general organisational redesign interventions to review the actual implementation and adequacy of the implemented structure and establishment. The outcomes of the reviews have largely been implemented. It is, however, acknowledged that compensation of employee limitations will present a challenge to fully implement and staff the approved structure. The establishment comprises of 545 posts with 195 unfunded.

The Department's operational capacity was reduced, in order for the budget to be able to absorb the above inflationary salary increases and budget cuts. However, despite the capacity and budgetary constraints the Department managed to fully achieve more than 90% of its planned targets during the 2016/17 financial year.

## 2.4 KEY POLICY DEVELOPMENTS AND LEGISLATIVE CHANGES

- National Environmental Management: Protected Areas Act: Regulations: Management of Tsitsikamma National Park Marine Protected Area (G.40511 RG10676GoN 1579 19 December 2016)
- National Environmental Management: Protected Areas Act: Declaration of Tsitsikamma National Park Marine Protected Areas (G.40510GoN 1578 19 December 2016)
- Amendments to the Waste Tyre Regulations, 2009, published in terms of the National Environmental Management Waste Act, 59 of 2008 (G.40470 RG10670 GoN1493 2 December 2016)
- Amendments to Financial Provisioning Regulations, 2015, published under the National Environmental Management Act, 107 of 1998 (G.40371 GoN1314 26 October 2016)
- National Pricing Strategy for Waste Management, published in terms of the National Environmental Management Waste Act, 59 of 2008 (G.40200 GoN904 11 August 2016)
- Alien and Invasive Species List, 2016, published in terms of the National Environmental Management: Biodiversity Act, 10 of 2004 (G.40166GoN 864 29 July 2016)
- Section 24H Registration Authority Regulations, published in terms of the National Environmental Management Act, 107 of 1998 (G.40154GoN 849 22 July 2016)
- Integrated Coastal Management Act Appeal Regulations, 2016, published in terms of the National Environmental Management Integrated Coastal Management Act, 24 of 2008 (G.40128RG10618GoN 815 8 July 2016)
- Identification of Minister as competent authority for consideration and processing of environmental authorisations and amendments for activities to Integrated Resource Plan 2010-2030, published in terms of the National Environmental Management Act, 107 of 1998
- Information Requirements for submission of environmental assessment application related to shale gas, published in terms of the National Environmental Management Act, 107 of 1998 (G.40041GoN 619 of 3 June 2016)

### 3. STRATEGIC OUTCOMES-ORIENTATED GOALS OF THE DEPARTMENT

The table below outline the Department's Strategic Outcome Orientated Goals:

<b>Strategic Outcome Orientated Goal 1</b>	Sustaining the Ecological and Agricultural Resource-bases.
<b>Goal Statement</b>	To enable and promote growth and sustainable development in the Western Cape through sustaining the ecological and agricultural resource bases.
<b>Strategic Outcome Orientated Goal 2</b>	Sustainable and Integrated Urban and Rural Settlements.
<b>Goal Statement</b>	Enhance service delivery through the development and use of innovative systems and processes in environmental management and integrated development planning within the Province that are effective and efficient.
<b>Strategic Outcome Orientated Goal 3</b>	Good Governance and Integrated Management.
<b>Goal Statement</b>	<p>The Department will enable and promote growth and sustainable development in the Western Cape through:</p> <ul style="list-style-type: none"> <li>• Efficient, effective and responsive Provincial governance.</li> <li>• Strategic partnerships – nationally, internationally and inter-sectorally.</li> <li>• Facilitating accessibility for the public, with effective community engagement processes.</li> <li>• Providing transversal leadership for policy alignment and integrated planning, budgeting and implementation.</li> <li>• Spatial governance targeting and performance.</li> </ul>
<b>Strategic Outcome Orientated Goal 4</b>	Increased Economic Opportunity through Low-carbon Development, Resource Efficiency and the Biodiversity Economy.
<b>Goal Statement</b>	<p>To increase opportunities for resource efficient and low-carbon development and to establish a viable Biodiversity Economy that enables investment for the restoration, conservation, and sustainable use of ecosystem goods and services, and ecological infrastructure.</p> <p>The Department will undertake this through:</p> <ul style="list-style-type: none"> <li>• Leadership and participation in the EPWP Environment and Culture Sector.</li> <li>• Research to support Green Economy expansion within the areas of the Department's mandates.</li> <li>• Formulating the emissions mitigation scenarios for the Western Cape Province.</li> <li>• Coordinating efforts to establish a Western Cape Biodiversity Economy inter-governmental structure.</li> <li>• Coordinating support to the Waste Recovery Economy.</li> <li>• Promoting and facilitating Sustainable Public Procurement mainstreaming efforts.</li> </ul>

#### PROGRESS MADE TOWARDS ACHIEVING THE STRATEGIC OUTCOME ORIENTATED GOALS

The midterm targets which inform these outcome oriented goals has been reviewed and the Department is on track to meet these outcomes.

The following achievements contributed towards the Department achieving the **National Outcome 10 Delivery Agreement** during 2016/17:

#### WESTERN CAPE ENVIRONMENTAL EDUCATION FORUM (WCEEF)

Through its Sustainable Awareness mandate, the Directorate: Sustainability has formalised Environmental Education strategic discussions and interventions by establishing the Western Cape Environmental Educators' Forum (WCEEF), which meets quarterly. DEA&DP WCEEF, functions to strengthen efforts of cooperative governance, minimising duplication of environmental education projects, programmes and various activities across the Province. Driven by the Intergovernmental Relations Framework Act, No.13 of 2005, the forum has expanded to embody a network of intergovernmental entities that have environmental education as their mandate. For 2016/17, two initiatives were supported in the Overberg and Eden districts, reaching about 1,500 school learners through these initiatives.

#### GREENEST MUNICIPALITY COMPETITION (GMC)

The Directorate: Sustainability, coordinates the Western Cape Greenest Municipality Competition (GMC) and hosts the annual GMC Award Ceremony. Celebrating its 6<sup>th</sup> year in the 2016/17 financial year, this flagship programme aims to promote improved service delivery that restores community pride, commitment to a caring environment and also focuses on improved integrated sustainable development strategies. Participation of municipalities increased again in the year under review. Of the four district municipalities and thirteen local municipalities that participated in 2016/17, Hessequa Local Municipality was declared

the winning Local Municipality and Eden District Municipality won the District Municipality category. The winners at provincial level are escalated to compete at the National GMC level, with the Metropole competing with other National metro-counterparts.

### **WOMEN IN THE GREEN ECONOMY WORKSHOPS**

DEA&DP in collaboration with DEA undertook a “Women in Green Economy” workshop, with the objective to increase the participation and also to enhance the leadership of business women in the Green Economy. The target audience were Expanded Public Works Programme beneficiaries, working for CapeNature and other non-governmental organisations in the Province working with the various directorates within DEA&DP. The beneficiaries comprised of 18 women that gained practical skills around sustainable green business management and environmental management.

### **JOB CREATION - EXPANDED PUBLIC WORKS PROGRAMME**

The Department contributed to environmental outcomes and job creation through an EPWP Renewable Energy training programme and river rehabilitation work of the Berg River Improvement Plan, as well as in partnership with its conservation implementation agency, CapeNature.

### **CLIMATE CHANGE**

The Climate Change Municipal Support Programme focused on the Overberg District during this period. The Directorate Climate Change, worked with representatives from the district and local municipalities as well as other stakeholders in the area, to develop a climate change response framework, which outlined potential climate risks and vulnerabilities and appropriate response options to be pursued within the region. Additional ad hoc support was provided to other municipalities in the Province as requested.

A third and final review exercise of three Western Cape Government policy/strategy documents was concluded for alignment to the WCCCRS, highlighting the key areas and recommendations to address any gaps or areas of misalignment. These documents included the Integrated Waste Management Plan, the Western Cape Provincial Strategic Plan and the Western Cape Disaster Management Framework. The recommendations coming out of the review will be used to direct key climate change response actions in these sectors.

The Western Cape Government joined The Climate Group States & Regions Alliance in 2016 and this was officially announced at The Climate Group General Assembly, that took place in parallel to the United Nations Framework Convention of Climate Change (UNFCCC) COP22 in Marrakesh, Morocco. The Climate Group States & Regions Alliance brings together sub-national government leaders from around the world, to be part of a powerful, high-profile network that shares policy expertise and influences the international dialogue on climate action and to make the “clean revolution” commercially viable.

An Assessment of the Economic Risks and Opportunities of Climate Change Resilience in the Western Cape was initiated by the Directorate Climate Change. This project investigated the future economic risks and opportunities of climate change resilience in the Western Cape, based on projected impacts from climate change and different levels of potential adaptation to these impacts. The project focusses on five sectors; water, transport, construction, agriculture and energy, and how climate change impacts and resilience in these sectors will affect the overall Western Cape economy in the next 23 years (up to 2040). A cost benefit analysis of climate change responses will also be undertaken. This project will be completed in March 2018.

## **BIODIVERSITY AND COASTAL MANAGEMENT**

The key achievements which have contributed towards the delivery on Outcome 10, have included a range of legislative, policy, programme and strategy developments, including improving oversight mechanisms for our Public Entity, CapeNature. The legal reform process has seen the development of the Biodiversity Bill, which has been submitted to the State Legal Advisor by 31 March 2017 and is in the process of systematic vetting.

The PBSAP continued the drive of key strategic objectives and saw the implementation of a Provincial Biodiversity Spatial Plan (PBSP) and its associated handbook, to guide land-use planning and ensure that biodiversity is duly considered. An Ecological Infrastructure Investment Framework as a critical response to Water Risk has been prioritised. This Framework will be both the basis for spatial prioritisation and strategic investment strategies, to secure the ecosystem provisioning services of key water catchments of the Province.

The PBES on the other hand, is seen as a transversal initiative which is driving a programme of implementation of priority value chains under several pilot projects. A community of practice (CoP) was established by the end of March 2017 for alien clearing, restoration and biomass economies (ACRABE) which aims to coordinate all relevant national, provincial and municipal role players and sectors through a coordinated, strategic approach and the sharing of information.

A further achievement was the establishment of a Honeybush Community of Practice spanning the Eastern and Western Cape role players and the launch of “Guidelines for the Sustainable Harvesting of Wild Honeybush”. Lastly the Biodiversity unit drove the development of the Bitou Agroforestry business concepts which have during this period become trade ready with significant benefits to local community members.

Key achievements during the 2016/17 financial year included the implementation of projects and programmes as set out in the PCMP. The publication of the PCMP, as well as a summary version of the PCMP, where the five-year implementation programme was introduced as well as the Provincial vision and mission for coastal management to our state and civil stakeholders was achieved as per the APP deliverable. Other projects initiated during the 16/17 financial year include the determination of the Eden Coastal Management Line, the development of a Western Cape Coastal Access Strategy and Plan and the development of a Coastal Access Model By-law.

One of the most important activities within the PCMP, is the provincial support provided in the form of the Western Cape Estuaries Management Programme, which has a three-year programme of work and has produced sixteen updated Estuary Management Plans and ten Mouth Management Plans, as its first year deliverables. The development and implementation of the Western Cape Estuaries Management Programme has a significant scope within a challenging legal and institutional context. The Programme is responding effectively within current capacity constraints.

## **POLLUTION AND WASTE MANAGEMENT**

The waste management licensing efficiency sector target of National Outcome 10 to finalise 80% of the waste licence applications within the legislative timeframe was surpassed. Twelve of the thirteen waste management licence applications received were finalised within the legislative timeframes. This equates to 93% of the waste management applications finalised within legislative timeframes, which is well above the sector target.

The compliance monitoring methodology of the waste management facilities was improved to assist in getting these facilities to compliance. An internal auditing protocol for waste disposal facilities was developed, which aligns with the departmental compliance monitoring methodology which will assist facility owners with compliance monitoring. To assist clients with obtaining waste management licences, a Waste Licensing Guideline was developed.



## AIR QUALITY MANAGEMENT

Capacity building courses were provided to municipalities on air quality management planning and atmospheric emission licensing throughout the financial year. Active engagement with authorities took place during the air quality management planning sessions, resulting in an additional two municipal AQMPs being adopted and implemented by municipalities (viz. Cederberg and Laingsburg Local Municipalities). To date, a total of 27 AQMPs were adopted (viz. 26 Municipalities and 1 Provincial) and are being implemented in the Province.

Since 2010, the Provinces, Metropolitan and District Municipalities have regulated a total of 43 Provisional Atmospheric Emission Licences (PAELs) and 73 Atmospheric Emission Licenses (AELs), which were granted to facilities that operate Section 21 Listed Activities in the Western Cape. The Western Cape Air Pollutant and Greenhouse Gas Emissions Inventory and AELs granted, were used to inform the National Atmospheric Emissions Inventory System (NAEIS). All four Municipal facilities with Section 21 Listed Activities that have been granted AELs by the Department have complied with the NEM: AQA by reporting to the NAEIS; the NAEIS provided the ability for authorities to manage the reporting of air emissions online. The aim is to use the Western Cape Emissions Inventory and NAEIS as a decision support tool for air quality management planning and air shed planning, to inform sustainable economic growth and development within the Province. Therefore, emission inventories remain an important tool that link air quality regulatory systems and climate management.

The Department's Ambient Air Quality Monitoring Network, which comprises of 11 ambient air quality monitoring stations, located across the Province, contributed towards informing the National Air Quality Index. Air quality monitoring data collected at the stations was verified and assessed before it was reported to the South African Air Quality Information System. Overall, the air quality in the Province is of a good quality. All annual average concentrations of sulphur dioxide ( $\text{SO}_2$ ) were significantly below the annual National Ambient Air Quality Standard (NAAQS) of  $50 \mu\text{g}/\text{m}^3$ ; while similarly for nitrogen dioxide ( $\text{NO}_2$ ), all annual average concentrations were significantly below the annual NAAQS of  $40 \mu\text{g}/\text{m}^3$ ; and while for annual average ozone ( $\text{O}_3$ ), the concentrations were significantly below the 8-hour NAAQS, at all monitoring locations. All annual average concentrations of Particulate Matter of particle size 10 ( $\text{PM}_{10}$ ) were below the annual NAAQS of  $40 \mu\text{g}/\text{m}^3$  at all stations, except in Khayelitsha, where residential wood burning, refuse burning and dust from unpaved roads, etc. likely contributed to exceedances observed.

Potential sources such as industry, vehicle emissions, electricity generation and domestic fuel use (heating and cooking) will likely increase and contribute to air pollution in the Cape Metropolitan area. Moreover, a combination of local topography (mountain range forms a basin that traps pollution) and climate, cause the region to be susceptible to increased air pollution during autumn and winter inversions. For example, windblown dust as a result of a dry climate across the Western Cape, will likely contribute to elevated levels of  $\text{PM}_{10}$  at all monitoring locations. Furthermore, summers are marked by a generally high potential for air pollution dispersion across the City of Cape Town Metropolitan area, due to the constant South-Easter or 'Cape Doctor'.

## COMPLIANCE AND ENFORCEMENT

The progress with regards to the National Outcome 10, Sub-output 1: Environmental legislation compliance and enforcement is as follows:

- Number of enforcement actions finalised taken for non-compliance with environmental management legislation.
- 201 actions were taken for non-compliance with environmental legislation during the reporting period. This exceeds the MTSF annual target of 190 by 11. This achievement is due to the appointment of additional contract workers to assist with the investigation of cases.

- Number of criminal investigations finalised.
- Criminal investigations finalised were finalised during the reporting period. This exceeds the MTSF annual target of 15 by 1.
- Number of compliance inspections conducted.
- 72 Waste, 4 Air Quality, 23 Section 24G and 7 Appeals Management compliance inspections were conducted by the Department, totalling 106. This exceeds the MTSF annual target by 13.

## ENVIRONMENTAL IMPACT ASSESSMENT

In terms of the Provincial Environmental Impact Assessment System the annual target was exceeded, 98% of EIA applications were finalised within legislated timeframes.

## 4. PERFORMANCE INFORMATION BY PROGRAMME

### 4.1 PROGRAMME 1: ADMINISTRATION

#### PURPOSE

To provide overall management of the Department and centralised support services.

#### LIST OF SUB-PROGRAMMES

**Sub-programme 1.1:** Office of the Provincial Minister of Local Government, Environmental Affairs and Development Planning

**Sub-programme 1.2:** Senior Management

**Sub-programme 1.3:** Corporate Services

**Sub-programme 1.4:** Financial Management

#### STRATEGIC OBJECTIVES

Efficient, Effective and Responsive Governance

#### SIGNIFICANT ACHIEVEMENTS OF TARGETS FOR STRATEGIC OBJECTIVES AND PERFORMANCE INDICATORS

The Department has achieved an unqualified audit report for the 2015/16 financial year.

#### PERFORMANCE INDICATORS

PROGRAMME 1: ADMINISTRATION								
Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Efficient, Effective and Responsive Governance	<b>1.1</b> Approved Departmental Communication Plan	Not reported on during this period	Not reported on during this period	1	1	1	0	No deviation
	<b>1.2</b> Audit opinion obtained in respect of previous financial year*	Not reported on during this period	Not reported on during this period	Unqualified audit report	Unqualified audit report	Unqualified audit report	0	No deviation

\* Actual achievements on this performance indicator was unqualified audit outcomes for the 2013/14 and 2014/15 financial year

## STRATEGY TO OVERCOME AREAS OF UNDER PERFORMANCE

Not applicable

## CHANGES TO PLANNED TARGETS

No changes to planned targets

## LINKING PERFORMANCE WITH BUDGETS

The expenditure recorded within this Programme is predominantly in respect of Compensation of Employees which equated to 74.5%. Other cost drivers included audit fees, computer services in respect of the Departmental Integrated Management Information System (DIMIS) and the daily tariffs of the GG vehicles. The unspent funding in Programme 1 relates mainly to Compensation of Employees due to the slower than anticipated filling of vacant posts.

PROGRAMME 1: ADMINISTRATION						
SUB-PROGRAMME NAME	2016/2017			2015/2016		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Office of the Provincial Minister of Local Government, Environmental Affairs and Development Planning	7,348	7,275	73	7,339	7,339	-
Senior Management	20,141	19,932	209	19,219	18,986	233
Corporate Services	19,980	19,779	201	19,636	19,383	253
Financial Management	15,167	15,157	10	13,834	13,563	271
<b>TOTAL</b>	<b>62,636</b>	<b>62,143</b>	<b>493</b>	<b>60,028</b>	<b>59,271</b>	<b>757</b>

## 4.2 PROGRAMME 2: ENVIRONMENTAL POLICY, PLANNING AND CO-ORDINATION PURPOSE

To ensure the integration of environment objectives in national, provincial and local government planning, including provincial growth and development strategies, local economic development plans and integrated development plans. This programme includes cross-cutting functions, such as research, departmental strategy, information management and climate change management.

### LIST OF SUB-PROGRAMMES

**Sub-programme 2.1:** Intergovernmental Coordination, Spatial and Development Planning

**Sub-programme 2.2:** Legislative Development

**Sub-programme 2.3:** Research and Development Support

**Sub-programme 2.4:** Environmental Information Management

**Sub-programme 2.5:** Climate Change Management

### STRATEGIC OBJECTIVES

- Efficient, Effective and Responsive Governance.
- Maintenance and sustainable use of agricultural and ecological resources and infrastructure.
- Improved climate change resilience and lower carbon province.

## SIGNIFICANT ACHIEVEMENTS OF TARGETS FOR STRATEGIC OBJECTIVES AND PERFORMANCE INDICATORS

The Department has:

- Reviewed the IDPs of the 30 municipalities.

### PERFORMANCE INDICATORS

#### NATIONALLY PRESCRIBED ENVIRONMENTAL SECTOR INDICATORS (PEPPM)

SUB-PROGRAMME 2.1: INTERGOVERNMENTAL CO-ORDINATION, SPATIAL AND DEVELOPMENT PLANNING								
Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Efficient, Effective and Responsive Governance.	<b>2.1.1</b> Number of inter-governmental sector tools reviewed	Reviewed City of Cape Town Integrated Spatial Development Plans and Environmental Management Frameworks	1	1	1	1	0	No deviation

### STRATEGY TO OVERCOME AREAS OF UNDER PERFORMANCE

Not applicable.

### CHANGES TO PLANNED TARGETS

No changes to planned targets.

### PERFORMANCE INDICATORS

#### NATIONALLY PRESCRIBED ENVIRONMENTAL SECTOR INDICATORS (PEPPM)

SUB-PROGRAMME 2.2: LEGISLATIVE DEVELOPMENT								
Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Efficient, Effective and Responsive Governance	<b>2.2.1</b> Number of legislative tools developed	6	3	3	0	0	0	No deviation

### STRATEGY TO OVERCOME AREAS OF UNDER PERFORMANCE

Not applicable.

### CHANGES TO PLANNED TARGETS

No changes to planned targets.

## PERFORMANCE INDICATORS

SUB-PROGRAMME 2.3: RESEARCH AND DEVELOPMENT SUPPORT								
Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Maintenance and sustainable use of agricultural and ecological resources and infrastructure	<b>2.3.1</b> Number of Environmental Implementation Plan (EIP) Compliance Reports Approved	1 (EIP Review Report finalised)	1 (EIP Review Report compiled)	Environmental Implementation Plan (EIP) 2015 – 2020 Gazetted	1	1	0	No deviation
	<b>2.3.2</b> Number of Sustainable Settlement Innovation Summits hosted	Not reported on during this period	Not reported on during this period	1	1	1	0	No deviation
	<b>2.3.3</b> Number of Western Cape Green Economy Reports compiled	Not reported on during this period	1	1	1	1	0	No deviation

## NATIONALLY PRESCRIBED ENVIRONMENTAL SECTOR INDICATORS (PEPPM)

Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Maintenance and sustainable use of agricultural and ecological resources and infrastructure	<b>2.3.4</b> Number of environmental research projects undertaken	3	2	2	1	1*	0	N/A

\*Sustainable Public Procurement Research Project

## STRATEGY TO OVERCOME AREAS OF UNDER PERFORMANCE

Not applicable.

## CHANGES TO PLANNED TARGETS

No changes to planned targets.



## PERFORMANCE INDICATORS

SUB-PROGRAMME 2.4: ENVIRONMENTAL INFORMATION MANAGEMENT								
Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Efficient, Effective and Responsive Governance	<b>2.4.1</b> Number of Geographic Information Services (GIS) departmental products maintained	Energy GIS products developed Climate Change GIS data products developed Waste Management data products developed Light Detection and Ranging (LiDAR) data disseminated and extracted for Coastal Management studies	<b>1.</b> West Coast coastal setback line product developed <b>2.</b> Enhancing and placement of Departmental Boat launching sites on GIS Website product developed <b>3.</b> Waste sites spatially mapped <b>4.</b> Berg River Improvement plan products developed	4	3	2*	-1	The Eden Coastal Management Lines must go through a public participation process, whereby it must first be published temporarily on a GIS website for the public to provide comment on. Only after this process are the Coastal Management Lines promulgated/ approved by the Minister.

\* SAMS (Spatial Application Management System) & SDF Spatial Data

## NATIONALLY PRESCRIBED ENVIRONMENTAL SECTOR INDICATORS (PEPPM)

Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Efficient, Effective and Responsive Governance	<b>2.4.2</b> Number of functional environmental information management systems	1	1	2	2	2*	0	No deviation

\* WebGIS & IPWIS

## STRATEGY TO OVERCOME AREAS OF UNDER PERFORMANCE

**2.4.1:** The temporary placement of the Eden Coastal Management Lines will be on Google Earth. The (temporary) lines are not completed yet, and will only be ready for publication on Google Earth during May 2017. The public participation process will take place during June 2017, thereafter, the promulgated lines will be provided to Department of the Premier for placement on the DEA&DP GIS Website and the Eden Coastal Management Website.

## CHANGES TO PLANNED TARGETS

No changes to planned targets.

## PERFORMANCE INDICATORS

SUB-PROGRAMME 2.4: ENVIRONMENTAL INFORMATION MANAGEMENT								
Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Improved climate change resilience and lower carbon province.	<b>2.5.1</b> Climate change re-sponse frameworks developed for district municipalities	1 municipal climate change adaptation plan developed Eden district 1 municipal sustainable energy plan developed Drakenstein 1 district municipal climate change plan developed (both adaptation and sustainable energy) West Coast district	High level assessment completed for all (30) municipalities. West Coast District Municipality Climate Change Response Framework	1  (Climate change status quo assessment and response framework completed for the Central Karoo district)	1	1*	0	No deviation
	<b>2.5.2</b> Number of WCG policies and strategies reviewed for WCCRS alignment completed	Not reported on during this period	Review with recommendations made on 3 key WCG policies and strategies	3	3	3**	0	No deviation

\* Climate change status quo assessment and response framework completed for the Overberg district

\*\* Western Cape Integrated Waste Management Plan (2011), Western Cape Provincial Strategic Plan (2014-2019) & Western Cape Disaster Management Framework (2010)

## NATIONALLY PRESCRIBED ENVIRONMENTAL SECTOR INDICATORS (PEPPM)

Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Improved climate change resilience and lower carbon province.	<b>2.5.3</b> Number of climate change response tools developed	3	2	1	0	0	0	No deviation

## STRATEGY TO OVERCOME AREAS OF UNDER PERFORMANCE

Not applicable.

## CHANGES TO PLANNED TARGETS

No changes to planned targets.

## LINKING PERFORMANCE WITH BUDGETS

Expenditure within Programme 2 relates primarily to Sustainability and Climate Change projects. The underspending reflected in this Programme relates mainly to projects and Compensation of Employees due to the slower than anticipated filling of vacant posts. The costing on a Climate Change project was lower than initially budgeted and a Sustainability project was not finalised by 31 March 2017.

PROGRAMME 2: ENVIRONMENTAL POLICY, PLANNING AND COORDINATION						
SUB-PROGRAMME NAME	2016/2017			2015/2016		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Intergovernmental Co-ordination, Spatial and Development Planning	3,410	3,348	62	3,064	3,024	40
Legislative Development	-	-	-	499	499	-
Research and Development Support	5,800	5,371	429	8,342	7,775	567
Environmental Information Management	3,393	3,390	3	3,274	3,096	178
Climate Change Management	5,252	4,861	391	3,345	3,273	72
<b>TOTAL</b>	<b>17,855</b>	<b>16,970</b>	<b>885</b>	<b>18,524</b>	<b>17,667</b>	<b>857</b>

## 4.3 PROGRAMME 3: COMPLIANCE AND ENFORCEMENT

### PURPOSE

To ensure that environmental compliance monitoring systems are established and implemented, enforce legislation and environmental authorisations, building compliance monitoring and enforcement capacity through the establishment, training of environmental management inspectorates, acting on complaints and notifications of environmental infringements and acting to monitor these complaints and enforce environmental compliance where required.

### LIST OF SUB-PROGRAMMES

**Sub-programme 3.1:** Environmental Quality Management Compliance and Enforcement

### STRATEGIC OBJECTIVE

- Efficient, Effective and Responsive Governance.
- Maintenance and sustainable use of agricultural and ecological resources and infrastructure.

### SIGNIFICANT ACHIEVEMENTS OF TARGETS FOR STRATEGIC OBJECTIVES AND PERFORMANCE INDICATORS

- 201 actions were taken for non-compliance with environmental legislation during the reporting period. This exceeds the MTSF annual target of 190 by 11.
- The number of S24G administrative fines paid exceeded the planned target. The amount of revenue collected for S24G administrative fines amounted to R6,568 million.

## PERFORMANCE INDICATORS

PROGRAMME 3: COMPLIANCE AND ENFORCEMENT								
Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Maintenance and sustainable use of agricultural and ecological resources and infrastructure	<b>3.1.1</b> Number of administrative investigations finalised	Not reported on during this period	Not reported on during this period	182	130	184	+54	Additional capacity to the component was provided by graduate interns and volunteers (job-shadowing).
Maintenance and sustainable use of agricultural and ecological resources and infrastructure	<b>3.1.2</b> Number of intergovernmental compliance and enforcement operations conducted.	8	12	6	6	9	+3	The target was exceeded due to 3 additional operations conducted in the Sandveld area that focused on the unlawful transformation and clearing of agricultural land.
Efficient, Effective and Responsive Governance	<b>3.1.3</b> Number of litigation cases actively managed	68	44	55	50	53	+3	Demand-driven. The number of litigation matters is dependent on the number of matters in which the Minister/Department is cited as a party.
Efficient, Effective and Responsive Governance	<b>3.1.4</b> Number of appeals and objections finalised	Not reported on during this period	Not reported on during this period	49	40	58	+18	Demand-driven indicator. The number of appeals and objections finalised is dependent on the number of received by the Department.
Maintenance and sustainable use of agricultural and ecological resources and infrastructure	<b>3.1.5</b> Number of S24G applications finalised	85	90	65	40	52	+12	Demand-driven. The number of section 24G applications finalised is dependent on the number of administrative fines paid.
Maintenance and sustainable use of agricultural and ecological resources and infrastructure	<b>3.1.6</b> Number of administrative enforcement notices issued for non-compliance with environmental legislation	Not reported on during this period	Not reported on during this period	177	120	194	+74	Demand-driven. The number of enforcement notices issued for non-compliance with environmental legislation is dependent on the number of complaints received. Graduate interns and volunteers (job-shadowing) provided additional capacity to the component.

PROGRAMME 3: COMPLIANCE AND ENFORCEMENT								
Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Maintenance and sustainable use of agricultural and ecological resources and infrastructure	<b>3.1.7</b> Number of criminal investigations finalised	Not reported on during this period	Not reported on during this period	18	12	16	+4	Demand-driven. An experienced graduate intern was appointed to provide additional capacity to the component.

## NATIONALLY PRESCRIBED ENVIRONMENTAL SECTOR INDICATORS (PEPPM)

Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
	<b>3.1.8</b> Number of enforcement actions finalised for non-compliance with environmental management legislation	Not reported on during this period	Not reported on during this period	195	128	201	+73	Demand-driven. The number of enforcement actions finalised for non-compliance with environmental legislation is dependent on the number of complaints received. Additional capacity was provided from graduate interns and volunteer workers assigned to the component.
	<b>3.1.9</b> Number of compliance inspections conducted	Not reported on during this period	Not reported on during this period	127	93	106	+13	Due to fewer S24G applications received, resources were directed at this indicator.
	<b>3.1.10</b> Number of S24G applications received	Not reported on during this period	Not reported on during this period	39	50	26	-24	Demand driven. The submission of S24G application is voluntary.
	<b>3.1.11</b> Number of S24G fines paid	Not reported on during this period	Not reported on during this period	41	35	41	+6	Demand driven. The number of fines paid is dependent on the applicants paying the fine.



## STRATEGY TO OVERCOME AREAS OF UNDER PERFORMANCE

**3.1.10:** Number of S24G applications received: This is a demand driven indicator. Applications for the unlawful commencement of activities are voluntarily submitted. Where transgressions are identified, transgressors are encouraged to apply through the administrative enforcement measures available to the Department in terms of section 31 of NEMA. Due to changes in NEMA EIA Regulations, fewer listed activities have been promulgated and therefore fewer unlawful commencement activities are occurring.

## CHANGES TO PLANNED TARGETS

No changes to planned targets.

## LINKING PERFORMANCE WITH BUDGETS

The Compensation of Employees which is the major cost driver tally to 73.1% of the programme's expenditure. The unspent funding in Programme 3 relates mainly to Compensation of Employees due to the slower than anticipated filling of vacant posts.

PROGRAMME 3: COMPLIANCE AND ENFORCEMENT						
SUB-PROGRAMME NAME	2016/2017			2015/2016		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Environmental Quality Management Compliance and Enforcement	23,744	23,368	376	23,584	23,340	244
<b>TOTAL</b>	<b>23,744</b>	<b>23,368</b>	<b>376</b>	<b>23,584</b>	<b>23,340</b>	<b>244</b>

## 4.4 PROGRAMME 4: ENVIRONMENTAL QUALITY MANAGEMENT

### PURPOSE

To implement legislation, policies, norms, standards and guidelines for environmental impact management, air quality management and management of waste and pollution at provincial and local spheres of government.

### LIST OF SUB-PROGRAMMES

**Sub-programme 4.1:** Impact Management

**Sub-programme 4.2:** Air Quality Management

**Sub-programme 4.3:** Pollution and Waste Management

### STRATEGIC OBJECTIVES

- Efficient, Effective and Responsive Governance.
- Maintenance and sustainable use of agricultural and ecological resources and infrastructure.
- Improved climate change resilience and lower carbon province.
- Improved settlement functionality, efficiencies and resilience.

## SIGNIFICANT ACHIEVEMENTS OF TARGETS FOR STRATEGIC OBJECTIVES AND PERFORMANCE INDICATORS

98% of EIA applications finalised within legislated time-frames was achieved which was higher than the planned target of 95%.

### PERFORMANCE INDICATORS

SUB-PROGRAMME 4.1: IMPACT MANAGEMENT								
Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Efficient, Effective and Responsive Governance	<b>4.1.1</b> Number of Provincial Environmental Impact Management System evaluation reports	Not reported on during this period	Not reported on during this period	1	1	1	0	No deviation

### NATIONALLY PRESCRIBED ENVIRONMENTAL SECTOR INDICATORS (PEPPM)

Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Efficient, Effective and Responsive Governance	<b>4.1.2</b> Percentage of EIA applications finalised within legislated time-frames	549 (89%)	606 (90%)	98% (175)	95%	98%	+3%	Demand driven.130 applications were finalised, of which 127 were finalised within timeframe. More EIA applications were at a stage where a final decision could be made within legislated timeframe.

### STRATEGY TO OVERCOME AREAS OF UNDER PERFORMANCE

Not applicable.

### CHANGES TO PLANNED TARGETS

No changes to planned targets.

## PERFORMANCE INDICATORS

SUB-PROGRAMME 4.2: AIR QUALITY MANAGEMENT								
Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Improved settlement functionality, efficiencies and resilience	<b>4.2.1</b> Report on the Annual State of Air Quality Management	1	1 (2013)	1 (2014)	1 (2015)	1 (2015)	0	No deviation
Maintenance and sustainable use of agricultural and ecological resources and infrastructure	<b>4.2.2</b> Number of stations monitoring ambient air quality	11	11	11	11	11	0	No deviation
Improved climate change resilience and lower carbon province	<b>4.2.3</b> Report of Air Quality Health Risk Assessment	1	1	Progress Report of Air Quality Health Risk Assessment	1	1	0	No deviation

## NATIONALLY PRESCRIBED ENVIRONMENTAL SECTOR INDICATORS (PEPPM)

Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Maintenance and sustainable use of agricultural and ecological resources and infrastructure	<b>4.2.4</b> Number of designated organs of state with approved and implemented AQMP's	8	3	3	2	2	0	No deviation
	<b>4.2.5</b> Percentage of Atmospheric Emission Licenses with complete applications issued within legislated timeframes	Not reported on during this period	1 (100%)	100% (4)	80%	100% (1)	+20%	This is a demand driven target. One request for transfer from a PAEL to AEL received and was issued.
	<b>4.2.6</b> Percentage of facilities with Atmospheric Emission Licenses reporting to the National Atmospheric Emissions Inventory System (NAEIS)	Not reported on during this period	Not reported on during this period	100% (3)	100%	100%(4)	0	No deviation

SUB-PROGRAMME 4.3: POLLUTION AND WASTE MANAGEMENT								
Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Maintenance and sustainable use of agricultural and ecological resources and infrastructure	<b>4.3.1</b> Number of river and estuarine sites monitored in respect of pollution control	20	20	30	30	30	0	No deviation
	<b>4.3.2</b> Annual Report on Sustainable Water Management Plan	1	1	1	1	1	0	No deviation
	<b>4.3.3</b> Number of riverine sites targeted for rehabilitation	Not reported on during this period	Not reported on during this period	4	4	7	+3	Additional opportunities with land owners resulted in additional sites being included.
	<b>4.3.4</b> Number of Bio-remediation interventions implemented for BRIP	Not reported on during this period	Not reported on during this period	N/A	2	2	0	No deviation
	<b>4.3.5</b> Number of inspections in respect of pollution control	Not reported on during this period	Not reported on during this period	7	5	5	0	No deviation
	<b>4.3.6</b> Number of River Improvement Plans developed	Not reported on during this period	Not reported on during this period	N/A	1	1	0	No deviation
	<b>4.3.7</b> Number of S30 cases responded to	45	37	27	24	42	+18	This is a demand-driven target and more cases were received for processing.
	<b>4.3.8</b> Number of remediation cases responded to	83	59	36	48	20	-28	This is a demand-driven target and fewer cases were received for processing.
	<b>4.3.9</b> Waste minimisation intervention(s) undertaken for priority waste streams	Not reported on during this period	Not reported on during this period	1	3	3	0	No deviation
	<b>4.3.10</b> Municipal integrated waste management infrastructure assessment report	Not reported on during this period	0	Phase 1 report	1	1	0	No deviation

SUB-PROGRAMME 4.3: POLLUTION AND WASTE MANAGEMENT								
Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
	<b>4.3.11</b> Western Cape 2nd generation IWMP (Integrated Waste Management Plan) developed	Not reported on during this period	Not reported on during this period	Review of Western Cape 1st generation IWMP	1	1	0	No deviation
	<b>4.3.12</b> Hazardous waste intervention(s) undertaken	Not reported on during this period	Not reported on during this period	1	1	1	0	No deviation
	<b>4.3.13</b> Waste management planning interventions undertaken	Not reported on during this period	Not reported on during this period	1	1	1	0	No deviation
	<b>4.3.14</b> Annual state of waste management report developed	Not reported on during this period	Not reported on during this period	1	1	1	0	No deviation

## STRATEGY TO OVERCOME AREAS OF UNDER PERFORMANCE

Not Applicable.

## CHANGES TO PLANNED TARGETS

No changes to planned targets.

## PERFORMANCE INDICATORS

### NATIONALLY PRESCRIBED ENVIRONMENTAL SECTOR INDICATORS (PEPPM)

Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Maintenance and sustainable use of agricultural and ecological resources and infrastructure	<b>4.3.15</b> Percentage of waste licence applications finalised within legislated timeframes	21	83 (95%)	91%	95%	93%	-2%	Finalised 13 waste management licences. One waste management licence was not finalised within legislative timeframe due to delays caused by applicant in providing further information which was needed to finalise the licence.



## STRATEGY TO OVERCOME AREAS OF UNDER PERFORMANCE

**4.3.15:** The Waste Licence Application was not at a stage where a final decision could be made within the legislated timeframe, as the information was not complete and forthcoming from the applicant. It should be noted that there is no legislated timeframe for the applicant to provide required information. The Department will encourage the applicants to provide the required information, whereby the Department can make the decision within its statutory timeframes.

## CHANGES TO PLANNED TARGETS

No changes to planned targets.

## LINKING PERFORMANCE WITH BUDGETS

Expenditure in Programme 4 relates mainly to air quality projects and the Berg River Improvement project. The underspending in respect of this Programme relates to air quality projects that were conducted in-house, software that did not materialise and projects under the umbrella of the River Improvement Plans, that were not completed by 31 March 2017, have been rolled over to 2017/18. Compensation of Employees also reflected underspending, as a resultant of slower than anticipated filling of vacant posts.

PROGRAMME 4: ENVIRONMENTAL QUALITY MANAGEMENT						
SUB-PROGRAMME NAME	2016/2017			2015/2016		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Impact Management	24,167	24,167	-	24,523	24,523	-
Air Quality Management	17,996	17,325	671	15,713	15,340	373
Pollution and Waste Management	45,750	44,720	1,030	37,052	36,883	169
<b>TOTAL</b>	<b>87,913</b>	<b>86,212</b>	<b>1,701</b>	<b>77,288</b>	<b>76,746</b>	<b>542</b>

## 4.5 PROGRAMME 5: BIODIVERSITY MANAGEMENT

### PURPOSE

To promote equitable and sustainable use of ecosystem goods and services to contribute to economic development, by managing biodiversity, and its components, processes, habitats and functions.

### LIST OF SUB-PROGRAMMES

**Sub-programme 5.1:** Biodiversity and Protected Area Planning and Management

**Sub-programme 5.2:** Western Cape Nature Conservation Board

**Sub-programme 5.3:** Coastal Management

### STRATEGIC OBJECTIVES

- Opportunities for the green economy and biodiversity economy established.
- Efficient, Effective and Responsive Governance.
- Maintenance and sustainable use of agricultural and ecological resources and infrastructure.

## SIGNIFICANT ACHIEVEMENTS OF TARGETS FOR STRATEGIC OBJECTIVES AND PERFORMANCE INDICATORS

An additional 69 338 Ha were added to the conservation estate during 2016/17

### PERFORMANCE INDICATORS

SUB-PROGRAMME 5.1: BIODIVERSITY AND PROTECTED AREA PLANNING AND MANAGEMENT								
Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Efficient, Effective and Responsive Governance	<b>5.1.1</b> Western Cape Provincial Biodiversity Strategy and Action Plan (PBSAP) implemented	Not reported on during this period	1 Draft completed	Final Draft signed off by the HOD	PBSAP implementation plan developed	PBSAP implementation plan developed	0	No deviation
Opportunities for the green economy and biodiversity economy established	<b>5.1.2</b> Biodiversity Economy Programme developed	Not reported on during this period	Not reported on during this period	1	1	1	0	N/A

### NATIONALLY PRESCRIBED ENVIRONMENTAL SECTOR INDICATORS (PEPPM)

Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
	<b>5.1.3</b> Number of hectares in the conservation estate*	Not reported on during this period	Not reported on during this period	*894 346 Ha (12 492 Ha were added during 2015/16)	+9 000 Ha	963 684 Ha (69 338 Ha were added during 2016/17)	+60 338 Ha	The extent of the agreements concluded resulted in an over achievement.

\*The performance for this indicator is provided by CapeNature as they fulfil this role for the Department as its implementing agent

### STRATEGY TO OVERCOME AREAS OF UNDER PERFORMANCE

Not Applicable.

### CHANGES TO PLANNED TARGETS

No changes to planned targets.

### PERFORMANCE INDICATORS

SUB-PROGRAMME 5.2: WESTERN CAPE NATURE CONSERVATION BOARD								
Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Efficient, Effective and Responsive Governance	<b>5.2.1</b> Compile oversight report on the performance of CapeNature	1	1	1	1	1	0	No deviation

## NATIONALLY PRESCRIBED ENVIRONMENTAL SECTOR INDICATORS (PEPPM)

Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
	<b>5.2.2</b> Percentage of area of state managed protected areas assess with a METT score above 67%*	Not reported on during this period	Not reported on during this period	74%	N/A**	N/A	N/A	No deviation
	<b>5.2.3</b> Number of permits issued within legislated timeframes*	Not reported on during this period	Not reported on during this period	4 864	5 100	4 716	-384	This target is demand driven and therefore unpredictable

\*The performance for this indicator is provided by CapeNature as they fulfil this role for the Department as its implementing agent

\*\*The reporting cycle for this performance indicator is biennial

## STRATEGY TO OVERCOME AREAS OF UNDER PERFORMANCE

**5.2.3:** In the ensuing year the target was adjusted downwards because historical trends suggest 4 800 is potentially the maximum number of permits.

## CHANGES TO PLANNED TARGETS

No changes to planned targets.

## PERFORMANCE INDICATORS

SUB-PROGRAMME 5.3: COASTAL MANAGEMENT								
Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Maintenance and sustainable use of agricultural and ecological resources and infrastructure	<b>5.3.1</b> Finalise and implement the Provincial Coastal Management Programme	The drafting process is still under-way	Draft Western Cape Coastal Management Programme completed	Provincial Coastal Management Programme approved by Provincial Minister	Provincial Coastal Management Programme Summary published	Provincial Coastal Management Programme Summary published	0	No deviation
	<b>5.3.2</b> Develop and implement the Western Cape Estuary Management Programme	Not reported on during this period	Not reported on during this period	0	Report on the development and implementation of the Western Cape Estuary Management Programme	Report on the development and implementation of the Western Cape Estuary Management Programme compiled	0	No deviation

## STRATEGY TO OVERCOME AREAS OF UNDER PERFORMANCE

Not Applicable.

## CHANGES TO PLANNED TARGETS

No changes to planned targets.

## LINKING PERFORMANCE WITH BUDGETS

Expenditure recorded within this Programme relates to projects, such as Estuary Management projects, Coastal Access By-Law and Strategy and Plan project. The transfer to the provincial public entity, CapeNature also resides within this Programme. The underspending relates primarily to projects that were not completed by 31 March 2017.

PROGRAMME 5: BIODIVERSITY MANAGEMENT						
SUB-PROGRAMME NAME	2016/2017			2015/2016		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Biodiversity and Protected Area Planning and Management	5,122	5,050	72	7,043	5,070	1,973
Western Cape Nature Conservation Board	249,717	249,717	-	253,392	253,392	-
Coastal Management	9,980	9,901	79	5,157	5,108	49
<b>TOTAL</b>	<b>264,819</b>	<b>264,668</b>	<b>151</b>	<b>265,592</b>	<b>263,570</b>	<b>2,022</b>

## 4.6 PROGRAMME 6: ENVIRONMENTAL EMPOWERMENT SERVICES

### PURPOSE

To implement and enhance programmes to interact with stakeholders and empower communities to partner with government in implementing environmental and social economic programmes.

### LIST OF SUB-PROGRAMMES

**Sub-programme 6.1:** Environmental Capacity Development and Support

**Sub-programme 6.2:** Environmental Communications and Awareness

### STRATEGIC OBJECTIVES

- Efficient, Effective and Responsive Governance.
- Maintenance and sustainable use of agricultural and ecological resources and infrastructure.
- Opportunities for the green economy and biodiversity economy established.

### SIGNIFICANT ACHIEVEMENTS OF TARGETS FOR STRATEGIC OBJECTIVES AND PERFORMANCE INDICATORS

- More work opportunities were created as planned during the financial year which contributed towards achieving the national target.

## PERFORMANCE INDICATORS

SUB-PROGRAMME 6.1: ENVIRONMENTAL CAPACITY DEVELOPMENT AND SUPPORT								
Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Efficient, Effective and Responsive Governance	<b>6.1.1</b> Review Departmental Environmental Support and Capacity Building Strategy	Not reported on during this period	Not reported on during this period	Reviewed Departmental Environmental Capacity Building Strategy	1	1	0	No deviation
	<b>6.1.2</b> Percentage implementation of the local government support strategy	Not reported on during this period	Not reported on during this period	98%	100%	100%	0	No deviation

## NATIONALLY PRESCRIBED ENVIRONMENTAL SECTOR INDICATORS (PEPPM)

Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Efficient, Effective and Responsive Governance	<b>6.1.3</b> Number of work opportunities created through environmental programmes	1241 (Cap-eNature performance)	1 233 (Cape-Nature performance)	51	35	87	+52	The additional targeted rehabilitation site resulted in more work opportunities created.
	<b>6.1.4</b> Number of environmental capacity building activities conducted	64	95	73	57	63	+6	Additional capacity building sessions were held in respect of ICM, Atmospheric Emission Licensing systems and Air Quality Management Planning processes.

## STRATEGY TO OVERCOME AREAS OF UNDER PERFORMANCE

Not Applicable.

## CHANGES TO PLANNED TARGETS

No changes to planned targets.



SUB-PROGRAMME 6.2: ENVIRONMENTAL COMMUNICATIONS AND AWARENESS								
Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Maintenance and sustainable use of agricultural and ecological resources and infrastructure	<b>6.2.1</b> Number of Greenest Municipality Competitions hosted	Greenest Municipality Competition hosted and finalised	1	1	1	1	0	No deviation

## PERFORMANCE INDICATORS

### NATIONALLY PRESCRIBED ENVIRONMENTAL SECTOR INDICATORS (PEPPM)

Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Maintenance and sustainable use of agricultural and ecological resources and infrastructure	<b>6.2.2</b> Number of environmental awareness activities conducted	14	22	16	19	20	+1	The Department responded to an invitation to attend and present an awareness-raising event.
Opportunities for the green economy and biodiversity economy established								
	<b>6.2.3</b> Number of quality environmental education resources materials developed	Not reported on during this period	Not reported on during this period	5	1	1	0	No deviation

## STRATEGY TO OVERCOME AREAS OF UNDER PERFORMANCE

Not applicable.

## CHANGES TO PLANNED TARGETS

No changes to planned targets.

## LINKING PERFORMANCE WITH BUDGETS

Expenditure in Programme 6 relates mainly to capacity development and environmental communication and awareness raising activities, which includes the Greenest Municipality Competition and supporting the waste entrepreneurs in the Western Cape. The savings within this Programme, is due to lower than anticipated costs, related to mainly capacity building events.

PROGRAMME 6: ENVIRONMENTAL EMPOWERMENT SERVICES						
SUB-PROGRAMME NAME	2016/2017			2015/2016		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Environmental Capacity Development and support	1,321	1,048	273	563	441	122
Environmental Communication and Awareness Raising	827	803	24	854	854	-
<b>TOTAL</b>	<b>2,148</b>	<b>1,851</b>	<b>297</b>	<b>1,417</b>	<b>1,295</b>	<b>122</b>

## 4.7 PROGRAMME 7: DEVELOPMENT PLANNING

### PURPOSE

To implement national and provincial spatial planning and land use management legislation, policies, norms and standards at the provincial sphere of government and to assist and support the municipal sphere of government with the implementation thereof. The programme further provides for a regional planning and management service and a development facilitation service so as to ensure provincial and municipal coherence and logic in terms of development planning through the inter-governmental and inter-sectoral coordination of plans, programmes and projects and the provision of project specific facilitation services and the provision of a development planning intelligence management service.

### LIST OF SUB-PROGRAMMES

**Sub-programme 7.1:** Development Facilitation

**Sub-programme 7.2:** Spatial Planning and Land Use Management

**Sub-programme 7.3:** Regional Planning and Management and Special Projects

### STRATEGIC OBJECTIVES

- Efficient, Effective and Responsive Governance
- Improved settlement functionality, efficiencies and resilience

### SIGNIFICANT ACHIEVEMENTS OF TARGETS FOR STRATEGIC OBJECTIVES AND PERFORMANCE INDICATORS

- All Western Cape Municipalities have published their Municipal Planning By-Laws and the Western Cape Land Use Planning Act has been brought into effect in all the Western Cape municipalities and the first in the country.

## PERFORMANCE INDICATORS

SUB-PROGRAMME 7.1: DEVELOPMENT FACILITATION								
Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Efficient, Effective and Responsive Governance	<b>7.1.1</b> Number of Land Assembly, Catalytic Initiatives and Regeneration Programme evaluation reports	Not reported on during this period	Not reported on during this period	1	1	1	0	No deviation
	<b>7.1.2</b> Number of Department Municipal Support and Capacity Building Strategy evaluation reports	Not reported on during this period	Not reported on during this period	1	1	1	0	No deviation
	<b>7.1.3</b> Number of Provincial Development Planning Intelligence Management Strategy evaluation reports	Not reported on during this period	Not reported on during this period	1	1	1	0	No deviation

## STRATEGY TO OVERCOME AREAS OF UNDER PERFORMANCE

Not applicable.

## CHANGES TO PLANNED TARGETS

No changes to planned targets.

## PERFORMANCE INDICATORS

SUB-PROGRAMME 7.2: SPATIAL PLANNING AND LAND USE MANAGEMENT								
Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Efficient, Effective and Responsive Governance	<b>7.2.1</b> Number of Provincial Spatial Planning and Land Use Management Governance and Performance Management System evaluation reports	Not reported on during this period	Not reported on during this period	1	1	1	0	No deviation
	<b>7.2.2</b> Review Departmental Spatial Planning and Land Use Management Support and Capacity Building Strategy	Not reported on during this period	Not reported on during this period	Reviewed Departmental Spatial Planning and Land Use Management Support and Capacity Building Strategy	1	1	0	No deviation

## STRATEGY TO OVERCOME AREAS OF UNDER PERFORMANCE

Not applicable.

## CHANGES TO PLANNED TARGETS

No changes to planned targets.

## PERFORMANCE INDICATORS

SUB-PROGRAMME 7.3: REGIONAL PLANNING AND MANAGEMENT AND SPECIAL PROJECTS								
Strategic objectives	Programme Performance Indicator	Actual Achievement 2013/2014	Actual Achievement 2014/2015	Actual Achievement 2015/2016	Planned Target 2016/2017	Actual Achievement 2016/2017	Deviation from planned target to Actual Achievement for 2016/2017	Comment on deviations
Improved settlement functionality, efficiencies and resilience	<b>7.3.1</b> Number of Regional Planning and Management Implementation Strategy evaluation reports	Not reported on during this period	Not reported on during this period	1	1	1	0	No deviation
	<b>7.3.2</b> Number of Municipalities within which the WCG RSEP/VPUU Programme is implemented according to approved project lists	Not reported on during this period	Not reported on during this period	6 (Theewaterskloof; Drakenstein; Saldanha Bay; Swartland; Breede Valley; Cape Town)	6	6	0	No deviation
	<b>7.3.3</b> Number of RSEP/VPUU Programme annual review reports	Not reported on during this period	Not reported on during this period	1	1	1	0	No deviation

## STRATEGY TO OVERCOME AREAS OF UNDER PERFORMANCE

Not applicable.

## CHANGES TO PLANNED TARGETS

No changes to planned targets.

## LINKING PERFORMANCE WITH BUDGETS

The RSEP/VPUU programme is housed in this Programme. The Human Settlement Plan and Growth Potential of Town study review as well as unspent earmarked funding of the RSEP/VPUU, contributed to the under expenditure reflected against this Programme.

PROGRAMME 7: DEVELOPMENT PLANNING						
SUB-PROGRAMME NAME	2016/2017			2015/2016		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Development Facilitation	18,714	17,782	932	17,558	16,660	898
Spatial Planning, Land Use Management and Municipal Support	27,070	26,621	449	24,185	23,542	643
Regional Planning and Management and Special Programmes	38,800	38,335	465	21,300	20,557	743
<b>TOTAL</b>	<b>84,584</b>	<b>82,738</b>	<b>1,846</b>	<b>63,043</b>	<b>60,759</b>	<b>2,284</b>

## 5 TRANSFER PAYMENTS

### 5.1 TRANSFER PAYMENTS TO PUBLIC ENTITIES

In terms of the Constitution, the Department is a provincial executive organ of state, which is responsible for environmental and development planning matters in the Province, whilst CapeNature is a provincial organ of state with the primary responsibility for promoting and ensuring conservation and related matters in the Province. R 3,5 million was transferred to Casidra (Pty) Ltd as part of the implementation of the water stewardship for water security.

NAME OF PUBLIC ENTITY	SERVICES RENDERED BY THE PUBLIC ENTITY	AMOUNT TRANSFERRED TO THE PUBLIC ENTITY	AMOUNT SPENT BY THE PUBLIC ENTITY	ACHIEVEMENTS OF THE PUBLIC ENTITY
Western Cape Nature Conservation Board	<p>The objectives of the Western Cape Nature Conservation Board are to:</p> <ol style="list-style-type: none"> <li>1. Promote and ensure nature conservation and related matters in the Province</li> <li>2. Render service and provide facilities for research and training in connection with nature conservation and related matters in the Province</li> <li>3. Ensuring the objectives set out in paragraphs (1) and (2) to generate income</li> </ol>	R 249 717 000	R 249 717 000	During the 2016/17 reporting period, CapeNature responded to delivery targets as per the Annual Performance Plan.

Funding in respect of the transfer to CapeNature, includes allocations for disaster prevention measures, infrastructure upgrades and related capital needs.

### 5.2 TRANSFER PAYMENTS TO ALL ORGANISATIONS OTHER THAN PUBLIC ENTITIES

Total transfer payments over the financial year period were R287,078 million of which R249,717 million was in respect of CapeNature. The remainder of R37,361 million was transferred to municipalities, public corporations, non-profit institutions and households.



The table below reflects the transfer payments made for the period 1 April 2016 to 31 March 2017:

NAME OF TRANSFEREE	TYPE OF ORGANISATION	PURPOSE FOR WHICH THE FUNDS WERE USED	DID THE DEPT. COMPLY WITH S 38 (1) (J) OF THE PFMA	AMOUNT TRANSFERRED (R'000)	AMOUNT SPENT BY THE ENTITY	REASONS FOR THE FUNDS UNSPENT BY THE ENTITY
Hessequa Municipality	Municipality	Greenest Municipality Competition 1 <sup>st</sup> place	Yes	130	-	Funds were paid to the municipality during the last quarter of 2016/17. The municipality's financial year (July - June) does not coincide with the Department's financial year
Swartland Municipality	Municipality	Greenest Municipality Competition 2 <sup>nd</sup> place	Yes	70	-	Funds were paid to the municipality during the last quarter of 2016/17. The municipality's financial year (July - June) does not coincide with the Department's financial year
Overstrand Municipality	Municipality	Greenest Municipality Competition 3 <sup>rd</sup> place	Yes	50	-	Funds were paid to the municipality during the last quarter of 2016/17. The municipality's financial year (July - June) does not coincide with the Department's financial year
Eden District Municipality	District Municipality	Greenest Municipality Competition 1 <sup>st</sup> place	Yes	130	110	Funds were paid to the municipality during the last quarter of 2016/17. The municipality's financial year (July - June) does not coincide with the Department's financial year
Overberg District Municipality	District Municipality	Greenest Municipality Competition 2 <sup>nd</sup> place	Yes	70	26	Funds were paid to the municipality during the last quarter of 2016/17. The municipality's financial year (July - June) does not coincide with the Department's financial year
West Coast District Municipality	District Municipality	Greenest Municipality Competition 3 <sup>rd</sup> place	Yes	50	-	Funds were paid to the municipality during the last quarter of 2016/17. The municipality's financial year (July - June) does not coincide with the Department's financial year
Swartland Municipality	Municipality	Implementation of the RSEP/VPUU programme	Yes	7,500	2,346	Funds were transferred to the municipality during the 3 <sup>rd</sup> and 4 <sup>th</sup> quarter. The municipality could not start the procurement process until the funds were secured in the bank accounts.

NAME OF TRANSFEREE	TYPE OF ORGANISATION	PURPOSE FOR WHICH THE FUNDS WERE USED	DID THE DEPT. COMPLY WITH S 38 (1) (J) OF THE PFMA	AMOUNT TRANSFERRED (R'000)	AMOUNT SPENT BY THE ENTITY	REASONS FOR THE FUNDS UNSPENT BY THE ENTITY
Breede Valley Municipality	Municipality	Implementation of the RSEP/VPUU programme	Yes	9,500	931	Funds were transferred to the municipality during the 3rd and 4th quarter. The municipality could not start the procurement process until the funds were secured in the bank accounts.
Saldanha Bay Municipality	Municipality	Implementation of the RSEP/VPUU programme	Yes	9,500	2,088	Funds were transferred to the municipality during the 3 <sup>rd</sup> and 4 <sup>th</sup> quarter. The municipality could not start the procurement process until the funds were secured in the bank accounts.
Stellenbosch Municipality	Municipality	Municipal Spatial Development Framework	Yes	400	-	Funds were paid to the municipality during the last quarter of 2016/17. The municipality's financial year (July - June) does not coincide with the Department's financial year
Drakenstein Municipality	Municipality	Water for Sustainability Growth and Development	Yes	500	-	Funds were transferred to the municipality in the last week of March 2017. No funds have been spent to date. Tenders have been invited and the municipality will appoint a service provider in June 2017.
Kogelberg Biosphere Reserve Company	Non Profit Institution	Operational expenses for the Biosphere Reserve Management entity	N/A	200	200	The allocated amount was spent
Cape West Coast Biosphere Reserve Company	Non Profit Institution	Operational expenses for the Biosphere Reserve Management entity	N/A	200	200	The allocated amount was spent
Cape Winelands Biosphere Reserve Company	Non Profit Institution	Operational expenses for the Biosphere Reserve Management entity	N/A	200	200	The allocated amount was spent
Gouritz Cluster Biosphere Reserve Company	Non Profit Institution	Operational expenses for the Biosphere Reserve Management entity	N/A	200	200	The allocated amount was spent

NAME OF TRANSFEREE	TYPE OF ORGANISATION	PURPOSE FOR WHICH THE FUNDS WERE USED	DID THE DEPT. COMPLY WITH S 38 (1) (J) OF THE PFMA	AMOUNT TRANSFERRED (R'000)	AMOUNT SPENT BY THE ENTITY	REASONS FOR THE FUNDS UNSPENT BY THE ENTITY
Casidra (Pty) Ltd	Private Corporation	Water for Sustainability Growth and Development	N/A	3,500	-	Funds were transferred to the municipality in the last week of March 2017. No funds have been spent to date. Tenders have been invited and the municipality will appoint a service provider in June 2017.
VPUU NPC	Non Profit Institution	Technical Assistance and Co-funding of German Funding, for VPUU programme implementation	Yes	4,989	4,989	The allocated amount was spent
Various officials	N/A	Leave Gratuity	N/A	172	172	More funds were spent than estimated due to unforeseen resignations and promotions towards the end of the financial year

Besides transfers in respect of households that are mainly of an ad-hoc nature, all other transfer payments are done in terms of a Memorandum of Agreement. All transfers that are linked to MoA's also have reporting prescripts, including spending for monitoring by the Department. In cases where full spending did not take place, the funds are expected to be fully spent by 30 June 2017.

## 6. CONDITIONAL GRANTS

### 6.1 CONDITIONAL GRANTS AND EARMARKED FUNDS RECEIVED

The table/s below details the conditional grants and ear marked funds received during for the period 1 April 2016 to 31 March 2017.

#### CONDITIONAL GRANT - EXPANDED PUBLIC WORKS PROGRAMME:

DEPARTMENT WHO TRANSFERRED THE GRANT	National Department of Public Works
PURPOSE OF THE GRANT	To create 24 employment opportunities that will contribute in the biodiversity conservation initiatives
EXPECTED OUTPUTS OF THE GRANT	Employment opportunities created for unemployed individuals
ACTUAL OUTPUTS ACHIEVED	90 full time equivalent participants benefitted. The grant created 101 work opportunities.
AMOUNT PER AMENDED DORA	R3,5 million
AMOUNT RECEIVED (R'000)	R3,5 million
REASONS IF AMOUNT AS PER DORA WAS NOT RECEIVED	n/a
AMOUNT SPENT BY THE DEPARTMENT (R'000)	R3,5 million
REASONS FOR THE FUNDS UNSPENT BY THE ENTITY	n/a
REASONS FOR DEVIATIONS ON PERFORMANCE	The Conditional Grant required appointment of 24 FTEs, CapeNature overachieved the target and employed more people. The entire grant was spent directly on beneficiaries in terms of creating more work opportunities and giving training. And less on operational cost.
MEASURES TAKEN TO IMPROVE PERFORMANCE	n/a
MONITORING MECHANISM BY THE RECEIVING DEPARTMENT	<ul style="list-style-type: none"> <li>Internal financial controls are in place for tracking of expenditure</li> <li>Quarterly project audits, in some cases, they are done in collaboration with the National Department of Public works</li> </ul>

<b>DEPARTMENT WHO TRANSFERRED THE GRANT</b>	National Department of Public Works
<b>PURPOSE OF THE GRANT</b>	Supplement the work opportunity offering as part of the riparian rehabilitation project being undertaken by the Department of Environmental Affairs and Development Planning.
<b>EXPECTED OUTPUTS OF THE GRANT</b>	Employment opportunities created for unemployed individuals.
<b>ACTUAL OUTPUTS ACHIEVED</b>	18.5 full time equivalent participants benefitted. The grant created 127 work opportunities.
<b>AMOUNT PER AMENDED DORA</b>	R315,000
<b>AMOUNT RECEIVED (R'000)</b>	R315,000
<b>REASONS IF AMOUNT AS PER DORA WAS NOT RECEIVED</b>	n/a
<b>AMOUNT SPENT BY THE DEPARTMENT (R'000)</b>	R315,000
<b>REASONS FOR THE FUNDS UNSPENT BY THE ENTITY</b>	n/a
<b>REASONS FOR DEVIATIONS ON PERFORMANCE</b>	The EPWP conditional grant was used to supplement the existing project budget in terms of ensuring the project was to maximise the opportunity and benefit local communities with regards to work opportunities as they relate to EPWP. As a result, the combination of the two budgets enabled the project to overachieve and extend beyond the planned target to the benefit of the local communities.
<b>MEASURES TAKEN TO IMPROVE PERFORMANCE</b>	n/a
<b>MONITORING MECHANISM BY THE RECEIVING DEPARTMENT</b>	Data received from the service provider is collated and input made onto the MIS online platform for EPWP.

## 7 DONOR FUNDS

### 7.1 DONOR FUNDS RECEIVED

Local and foreign aid assistance was received either cash or in kind as follows:

<b>NAME OF DONOR</b>	City of Cape Town
<b>FULL AMOUNT OF THE FUNDING</b>	R75,000
<b>PERIOD OF THE COMMITMENT</b>	2016/17 financial year
<b>PURPOSE OF THE FUNDING</b>	Smart Driver Training Programme
<b>EXPECTED OUTPUTS</b>	N/A
<b>ACTUAL OUTPUTS ACHIEVED</b>	N/A
<b>AMOUNT RECEIVED (R'000)</b>	N/A
<b>AMOUNT SPENT BY THE DEPARTMENT (R'000)</b>	N/A
<b>REASONS FOR THE FUNDS UNSPENT</b>	N/A
<b>MONITORING MECHANISM BY THE DONOR</b>	N/A

<b>NAME OF DONOR</b>	Late JJC Gerber
<b>FULL AMOUNT OF THE FUNDING</b>	R 50,000
<b>PERIOD OF THE COMMITMENT</b>	2016/17 financial year
<b>PURPOSE OF THE FUNDING</b>	Bequest from Estate for Marine Conservation
<b>EXPECTED OUTPUTS</b>	N/A
<b>ACTUAL OUTPUTS ACHIEVED</b>	N/A
<b>AMOUNT RECEIVED (R'000)</b>	N/A
<b>AMOUNT SPENT BY THE DEPARTMENT (R'000)</b>	N/A
<b>REASONS FOR THE FUNDS UNSPENT</b>	N/A
<b>MONITORING MECHANISM BY THE DONOR</b>	N/A

<b>NAME OF DONOR</b>	International Ocean Institute (IOI)
<b>FULL AMOUNT OF THE FUNDING</b>	R38,000
<b>PERIOD OF THE COMMITMENT</b>	2016/17 financial year
<b>PURPOSE OF THE FUNDING</b>	Governance for Africa training and capacity building programmes
<b>EXPECTED OUTPUTS</b>	N/A
<b>ACTUAL OUTPUTS ACHIEVED</b>	N/A
<b>AMOUNT RECEIVED (R'000)</b>	N/A
<b>AMOUNT SPENT BY THE DEPARTMENT (R'000)</b>	N/A
<b>REASONS FOR THE FUNDS UNSPENT</b>	N/A
<b>MONITORING MECHANISM BY THE DONOR</b>	N/A

<b>NAME OF DONOR</b>	Drakenstein Trust
<b>FULL AMOUNT OF THE FUNDING</b>	R50,000 (Total of R150,000 over the 2016/17 – 2018/19 financial years)
<b>PERIOD OF THE COMMITMENT</b>	2016/17 financial year
<b>PURPOSE OF THE FUNDING</b>	Implementation of the Berg and Breede river riparian rehabilitation programme,
<b>EXPECTED OUTPUTS</b>	N/A
<b>ACTUAL OUTPUTS ACHIEVED</b>	N/A
<b>AMOUNT RECEIVED (R'000)</b>	N/A
<b>AMOUNT SPENT BY THE DEPARTMENT (R'000)</b>	N/A
<b>REASONS FOR THE FUNDS UNSPENT</b>	N/A
<b>MONITORING MECHANISM BY THE DONOR</b>	N/A

<b>NAME OF DONOR</b>	ICLEI
<b>FULL AMOUNT OF THE FUNDING</b>	R7,000
<b>PERIOD OF THE COMMITMENT</b>	2016/17 financial year
<b>PURPOSE OF THE FUNDING</b>	Travelling and registration costs of officials to attend the Local Climate Solutions for Africa Congress in Johannesburg.
<b>EXPECTED OUTPUTS</b>	N/A
<b>ACTUAL OUTPUTS ACHIEVED</b>	N/A
<b>AMOUNT RECEIVED (R'000)</b>	N/A
<b>AMOUNT SPENT BY THE DEPARTMENT (R'000)</b>	N/A
<b>REASONS FOR THE FUNDS UNSPENT</b>	N/A
<b>MONITORING MECHANISM BY THE DONOR</b>	N/A

<b>NAME OF DONOR</b>	Government of the Federal Republic of Germany (Through the German Development Bank - KfW)
<b>FULL AMOUNT OF THE FUNDING</b>	R10,957,000
<b>PERIOD OF THE COMMITMENT</b>	2016/17 financial year
<b>PURPOSE OF THE FUNDING</b>	Violence Prevention through Urban Upgrading Programme.
<b>EXPECTED OUTPUTS</b>	N/A
<b>ACTUAL OUTPUTS ACHIEVED</b>	N/A
<b>AMOUNT RECEIVED (R'000)</b>	N/A
<b>AMOUNT SPENT BY THE DEPARTMENT (R'000)</b>	N/A
<b>REASONS FOR THE FUNDS UNSPENT</b>	N/A
<b>MONITORING MECHANISM BY THE DONOR</b>	N/A

## 8 CAPITAL INVESTMENT

### 8.1 CAPITAL INVESTMENT, MAINTENANCE AND ASSET MANAGEMENT PLAN

The Department has no capital assets of its own as these are managed by the Department of Transport and Public Works (DTPW). Therefore, no fixed asset plan exists.

All maintenance for the Department is generally undertaken by DTPW and in cases where that Department does not provide the requested services, approval is obtained from them to undertake smaller projects such as refurbishing of selected offices and the upgrading of video conferencing facilities. The refurbishment of the Property Centre (3 Dorp Street) office space, is currently underway by DTPW and staff has been decanted to Atterbury House in May 2017.



Image source: ©City of Cape Town | Bruce Sutherland  
Glencairn, Western Cape; South Africa.



# PART C

## GOVERNANCE

### 1. INTRODUCTION

The Department is committed to uphold the requirements set out in the PFMA and the King III Report on Corporate Governance. This is to provide Management with reasonable assurance that the internal controls are adequate and effective. This is achieved by a risk-based Internal Audit plan, Internal Audit assessing the adequacy of controls mitigating the risks and the Audit Committee monitoring implementation of corrective action. Progress has been made with the implementation of the Enterprise Risk Management Strategy, which was approved on 22 April 2016 and the strategic programme risks were rearticulated based on the Department's Strategic Objectives. Compliance with the Department's policies and procedures, laws and regulations, strong and efficient governance is considered key to the Department's success.

### 2. RISK MANAGEMENT

The Accounting Officer (AO) for the Department of Environmental Affairs and Development Planning takes responsibility for implementing Enterprise Risk Management (ERM) in accordance with the National Treasury Public Sector Risk Management Framework (PSRMF) and the Directorate Enterprise Risk Management (D:ERM), in the Department of the Premier (DotP), provides a centralised strategic support service to the Department.

In compliance with the National Treasury Public Sector Risk Management Framework (PSRMF) and to further embed risk management within the Department, the Western Cape Government (WCG) has adopted an ERM Policy Statement which sets out the WCG's overall intention with regard to ERM. The Department adopted an ERM Policy and Strategy 2016/17 – 2019/20, approved by the Accounting Officer on 22 April 2016; and an ERM Strategy and Implementation Plan for 2016/17. The ERM Implementation Plan gave effect to the Departmental ERM Policy and Strategy and outlines the roles and responsibilities of management and staff in embedding risk management in the Department.

In further preservation of the highest standard of governance, the Department applied the bow-tie methodology. This methodology provides a structured, systematic way of analysing the risks by firstly identifying all the contributing factors that could cause a risk to arise and secondly, the associated impacts or consequences should the risk materialise.

The Department assessed significant risks that could have an impact on the achievement of its objectives, both strategically and on a programme level, on a quarterly basis. Risks were prioritised based on its likelihood and impact (inherently and residually) and additional mitigations were agreed upon to reduce risks to acceptable levels. New/emerging risks were identified during the quarterly review processes.

The Audit Committee provided the independent oversight of the Department's system of risk management. The Audit Committee was furnished with Quarterly ERM progress reports and departmental risk profiles and registers to execute their independent oversight role. The Audit Committee's evaluation of the risk management process is in relation to the progress of implementation of the ERM Implementation Plan and risks faced by the Department and their relevant risk response/treatment strategies.

## ENTERPRISE RISK MANAGEMENT COMMITTEE

The Department established an Enterprise Risk Management Committee (ERMCOM) to assist the Accounting Officer in executing his responsibilities relating to risk management. The Committee operated under a Terms of Reference approved by the Accounting Officer on 8 April 2016. ERMCOM mainly evaluated the effectiveness of the mitigating strategies implemented to address the risks of the Department and recommended further action where relevant. The Committee comprises of select members of the Department's senior management team. As per its terms of reference the Committee should meet four (4) times a year (Quarterly). The Committee meetings during the financial year under review were attended as follows:

MEMBER	POSITION	SCHEDULED MEETINGS	ATTENDED
<b>Piet van Zyl</b>	Accounting Officer/Chairperson	4	4
<b>Anwaar Gaffoor</b>	Director: Strategic and Operational Support/Risk Champion	4	4
<b>Theo Gildenhuys</b>	Chief Director: Management Support/Chief Financial Officer	4	3*
<b>Anthony Barnes</b>	Chief Director: Development Planning	4	4
<b>Karen Shippey</b>	Chief Director: Environmental Sustainability	4	2*
<b>Gottlieb Arendse</b>	Chief Director: Environmental Quality	4	3*
<b>Ayub Mohamed</b>	Chief Director: Environmental Governance, Policy Coordination and Planning and Compliance and Enforcement	4	2*
<b>Johannes Fritz</b>	Director: Financial Management	4	3*
<b>Jenine Smith</b>	Assistant Director: Internal Control/ERMCOM Secretariat	4	4
<b>Directorate: ERM</b>	Director: Enterprise Risk Management	4	4

\*Where the appointed member could not attend a meeting, a delegated secundus attended as per the approved Terms of Reference

## RISK MANAGEMENT PROCESS

During the period under review, the Department of Environmental Affairs and Development Planning assessed its risks relative to its strategic and annual performance plans. Risk assessments are conducted by ERMCOM at a strategic level on an annual basis and updated quarterly. At a programme level, the risk assessments are conducted by each programme management team on a quarterly basis, in order to review and update the existing risks and to identify emerging risks. Significant risks relevant to objectives were assessed in terms of its likelihood and impact; risk treatment plans are developed and managed by allocated risk owners. Programme risk registers are approved by the respective programme manager.

The ERMCOM ratifies, prioritises and further recommends to the Accounting Officer, which significant risks are mitigated with an appropriate risk response/treatment in order to meet the departmental strategic objectives.



### 3. FRAUD AND CORRUPTION

Fraud and corruption represent significant potential risks to the Department's assets and can negatively impact on service delivery efficiency and the Department's reputation.

The Western Cape Government adopted an Anti-Corruption Strategy, which confirms the Province's zero tolerance stance towards fraud and corruption. The Department has a Fraud Prevention Implementation Plan which gives effect to the approved Fraud Prevention Plan.

Various channels for reporting allegations of fraud and corruption exist and these are described in detail in the Provincial Anti-Corruption Strategy and the Departmental Fraud Prevention Plan. Each allegation received by the Provincial Forensic Services (PFS) is recorded in a Case Management System, which is used as a management tool, to report on progress made with Departmental cases and generating statistics for the Province and Department. Employees who blow the whistle on suspicions of fraud, corruption and theft are protected if the disclosure is a protected disclosure (i.e. meets statutory requirements, e.g. was made in good faith). In this regard, a transversal Whistleblowing Policy was approved on 24 February 2016 to provide guidelines to employees on how to raise concerns with the appropriate line management, specific designated persons in the WCG or external institutions where they have reasonable grounds for believing that offences or improprieties have been or are being perpetrated within the WCG. The opportunity to remain anonymous is afforded to any person who would like to report acts of fraud, theft and corruption and should they do so in person, their identities are kept confidential by the person to whom they are reporting.

Once fraud or corruption is confirmed, after completion of an investigation, the relevant employee who participated in these acts is subjected to a disciplinary hearing. In all such instances, the WCG representative initiating the disciplinary proceedings is required to recommend dismissal of the employee concerned. Where prima facie evidence of criminal conduct is detected, a criminal matter is reported at the South African Police Services.

For the year under review, PFS issued a case movement certificate reflecting the following movement of cases for the Department during this financial year.

Open cases as at 1 April 2016	0
New cases reported during 2016/17	0
Closed cases (2016/17)	(0)
Referred cases (2016/17)	(0)
Incorporated cases (2016/17)	0
Open cases as at 31 March 2017	0

### 4. MINIMISING CONFLICT OF INTEREST

The Department's 2016/17 Enterprise Risk Management Implementation Plan was fully implemented. Financial disclosures of Senior Management Services members were completed and submitted to the Public Service Commission (PSC) and the Department of Public Service and Administration (DPSA). The financial interest of staff members on PERSAL were regularly reconciled to the Western Cape Supplier Database. The policy on Remunerative Work Outside of the Public Service (RWOPS) was circulated to all staff. Staff members were required to apply for approval to the HOD for any remunerative work they may perform outside of the workplace. They were further cautioned to ensure that they have the necessary approval, and failing which, will result in disciplinary action.

To achieve conflict of interest coverage and prevention thereof, the Department cemented its stance in terms of ethical service delivery practices and ensured the following:

All departmental bid committee members sign a declaration of interest for each bid/quotation and if any conflict may exist, the relevant members are required to recuse themselves from the meeting. This declaration also mitigates any potential confidentiality issues that may arise.

- Suppliers are required to complete a WCBD4 document, which requires them to disclose any family members that are involved in the procurement process or employed in the Department. The information on the WCBD 4 is verified by the Department prior to the evaluation of a bid or quotation.
- Declarations of interest completed by all staff inviting quotations for all procurement.
- Ensure bidders are not listed on the register of tender defaulters.

The Department reviewed its Gift Policy and an approved Gift and Donation Policy was implemented on 31 March 2017, which states that under no circumstances may an employee accept a gift where he/she could be compromised or be bound in his/her work or where his/her judgement could be influenced.

## **5. CODE OF CONDUCT**

The Code of Conduct should act as a guideline to employees as to what is expected of them from an ethical point of view, both in their individual conduct and in their relationships with others. Compliance with the Code can be expected to enhance professionalism and help to ensure confidence in the Public Service.

The primary purpose of the Code is a positive one, viz. to promote exemplary conduct. Notwithstanding this, an employee shall be guilty of misconduct in terms of Section 20 (t) of the Public Service Act, 1994, and may be dealt with in accordance with the relevant sections of the Act if an employee contravenes any provision of the Code of Conduct or fails to comply with any provision thereof.

The Induction programme of the WCG includes training on the Code of Conduct. The induction programme is compulsory for all new employees on probation in the WCG.

The Department ensures that the Code of Conduct is circulated to all employees on an annual basis. If an employee breaches the Code of Conduct, such employee shall be disciplined in terms of the relevant resolutions and transversal WCG policies.

The Department strives to promote high standards of professional ethics within the organisation. In pursuing an ethical environment, the Accounting Officer appointed Ethics Officers to promote integrity and ethical behaviour in the Department. The Department also has a position paper on Ethics which was approved by the Accounting Officer and implemented on 28 January 2016. The position paper focuses on maintaining a high standard of ethical behaviour within the Department and amongst others also emphasizes on SCM governance, specifically on principles such as compliance with ethical standards, confidentiality, conflict of interest and gratifications, hospitality and gifts. The position paper was reviewed and discussed with the Audit Committee before approval and then distributed to all officials within the Department.

The Public Service Regulations 2016 were implemented with effect from 1 August 2016. The Department will strive in implementing all standards relating to the regulations.

## **6. HEALTH SAFETY AND ENVIRONMENTAL ISSUES**

In terms of Section 8 (1) of the Occupational Health and Safety Act, it is the responsibility of the employer to provide and maintain, as far as is reasonably practical, a working environment that is safe and without risk to the health of its employees.

In order to comply with the above provision, the Department implemented an Occupational Health and Safety Policy, to address the aspects pertaining to the employees' health and safety in the Department.

Emergency personnel have been appointed and trained to perform specific roles during an evacuation, real or simulated. Regular health and safety inspections are being conducted and status reports are submitted to the Head of Department. Most issues identified in these inspections are dependent on the cooperation of two external departments, namely the Department of Transport and Public Works and the Department of Community Safety.

Occupational Health and Safety matters are reported to the Department of the Premier on a quarterly basis as part of the Employee Health and Wellness reporting tool.

Regular occupational health and safety awareness sessions were conducted in order to capacitate staff members.

## 7. PORTFOLIO COMMITTEES

DATE	SUBJECT	RESPONSE FROM DEPARTMENT
26 Oct 2016	<b>Agenda item:</b> Discussion of the Annual Reports of the Department of Environmental Affairs and Development Planning and CapeNature for the period 2015/2016	
	The Committee resolved to invite the Department at a future date to brief it on the results of the Feasibility Study for Alternative and Sustainable Infrastructure and Services for Settlements.	Open Date to be confirmed by the Committee
	The Committee resolved to invite the Department at a future date to brief it on Programme 3: Compliance and Enforcement, with specific reference to the role of the Green Scorpions, Litigation cases, Section 24G Applications and the Biodiversity Crime Unit.	Open Date to be confirmed by the Committee
	The Committee resolved that it would undertake an oversight visit at a future date to the newly completed Grootvadersbos Nature Reserve to gain an understanding of the biodiversity and conservation issues linked to the Reserve and its impact on economic growth and tourism in the area.	Completed
	The Committee resolved to undertake a visit to visit to Petro SA in Mossel Bay in relation to Air Quality Management.	Open Visit planned for 6 February 2017 but was postponed due to an explosion at the PetroSA plant resulting in the demise of two employees. New date to be confirmed by the Committee.
25 Nov 2016	<b>Agenda item:</b> 1. Consideration of the Western Cape Adjustments Appropriation Bill, 2016 for Vote 9- Environmental Affairs and Development Planning in the Schedule to the Western Cape Adjustments Appropriation Bill, 2016. 2. Adoption of the Committee Report on the Deliberations on Vote 9 – Environmental Affairs and Development Planning in the Schedule to the Western Cape Adjustments Appropriation Bill, 2016. 3. Consideration and adoption of draft Committee minutes of 15 and 25 November 2016.	
	The Committee resolved that it would invite the Department, at a later date, to brief it on: The outcomes and successes of the Pilot Waste Entrepreneurs project; and the new Expanded Public Works Programme that focuses on Waste Pickers.	Date to be confirmed by the Committee
	The Committee requested that the Department provides it with a written update on the completion of Climate Change Adaptation Plans of Municipalities on a monthly basis.	The Department has informed the Committee that the frequency of these reports are becoming cumbersome as a monthly update is not practical. The Chairperson agreed to quarterly updates.
1 Mar 2017	<b>Agenda item:</b> Briefing by the Department of Environmental Affairs and Development Planning on progress made on the adjusted vote of R5 million from the Provincial Revenue Fund to Programme 4: Environmental Quality Management in respect of the Water for Sustainable Growth and Development Plan Briefing by the City of Cape Town and the Department of Environmental Affairs and Development Planning on the management of water resources with specific reference to: Sustainability management 1. The impact on infrastructure projects 2. The impact on Spatial Planning 3. Exploring unconventional water sources such as mountains and storm water 4. The impact of Water restrictions on access to basic needs such as sanitation and health 5. The impact of fines or penalties to the family unit in terms of disposable income Consideration and adoption of Draft Committee Minutes of 7 February 2017 Resolutions/Actions	
	The Committee requested that the Department brief it every six months after they have briefed the Premier's Coordinating Forum on the water situation in the Western Cape.	Next briefing to be confirmed by the Committee.



DATE	SUBJECT	RESPONSE FROM DEPARTMENT
16 Mar 2017	<b>Agenda item:</b> Consideration of Vote 9: Environmental Affairs and Development Planning in the Schedule to the Western Cape Appropriation Bill, 2017 Resolutions/Actions	
	The Committee requested that the Department provide it with: A copy of the Health Risk Assessment Plan in relation to emissions when completed; 1. The State of Air Quality Management Report; 2. A List of the 30 projects that have been completed in terms of the Violence Prevention Through Urban Upgrading (VPUU) Programme; and 3. A List of the Tourism Reserves as well as the income generated per Reserve.	Completed
	The Committee resolved that it would, at a later date, invite the Department and relevant stakeholders to brief it on The VPUU Programme with specific reference to its impact on communities by providing the Committee with a statistical analysis of the Programme, how municipalities are selected to be part of the programme as well as the allocation of funds in terms of the 5 million Euro Grant.	Completed
	The Committee resolved that it would, at a later date, invite the Department and relevant stakeholders to brief it on the incineration of abattoir waste.	Completed

## 8. SCOPA RESOLUTIONS

RESOLUTION NO.	SUBJECT	DETAILS	RESPONSE BY THE DEPARTMENT	RESOLVED (YES/NO)
8.8.1	Annual Report	That the Department provides a footnote, in all future Annual Reports, to indicate a breakdown of the number of meetings that were attended by the members of the Enterprise Risk Management Committee and the Audit Committee for the specific financial year.	To be included in the 2016/17 Annual Report of the Entity.	Yes
8.8.1	Annual Report	That the Department, in all future Annual Reports, reports on the Broad-Based Black Economic Empowerment status of all consultants that will be contracted by the Department.	To be included in the 2016/17 Annual Report of the Entity.	Yes

## 9. PRIOR MODIFICATIONS TO AUDIT REPORTS

As per the 2016/17 AGSA Audit report, no matters of non-compliance were identified. There were no prior year modifications to the audit report.

## 10. INTERNAL CONTROL UNIT

Internal Control is a multi-dimensional interactive process of on-going tasks and activities that ensures adherence to policies and systems. An effective internal control system ensures that management and those charged with governance have reasonable assurance that operations are managed efficiently and effectively, financial and non-financial reporting is reliable and laws and regulations are complied with. The leadership of an organisation sets the tone from the top regarding the importance of internal controls and expected standards of conduct. This establishes the control environment which is the foundation for the other components of internal control and provides discipline, process and structure.

The Departmental Internal Control Unit embarked on a range of financial compliance inspections. The Unit conducted audits on high risk financial processes and also performed the secretariat function to the Departmental ERMCOM. The Internal Control Unit also coordinated the Departmental Management Improvement Plan (MIP) and the quarterly Key Controls Dashboard to monitor the Department's progress in addressing audit findings that were listed in the Auditor General's Management Report for 2015/16 and review compliance to legislative standards. The MIP and Key Controls Dashboard progress reports were submitted quarterly to the Provincial Treasury and the Shared Economic Cluster Audit Committee.

## **11. INTERNAL AUDIT AND AUDIT COMMITTEES**

Internal Audit provides management with independent, objective assurance and consulting services designed to add value and to continuously improve the operations of the Department. It assists the Department to accomplish its objectives by bringing a systematic, disciplined approach to evaluate and improve the effectiveness of Governance, Risk Management and Control processes.

### **The following key activities are performed in this regard:**

- Assess and make appropriate recommendations for improving the governance processes in achieving the department's objectives;
- Evaluate the adequacy and effectiveness and contribute to the improvement of the risk management process; and
- Assist the Accounting Officer in maintaining efficient and effective controls by evaluating those controls to determine their effectiveness and efficiency, and by developing recommendations for enhancement or improvement.

### **The following assurance engagements were approved and completed in the 2016/17 Internal Audit Plan:**

- Greenest Municipality Competition
- RSEP / VPUU - Transfer Payment
- Change Management Process
- Sustainable Water Management Plan
- DPSA Directive
- Disaster Recovery Plan (ICT audit)
- Application Portfolio Management (ICT audit)
- Information Security (ICT audit)

### **The Audit Committee is established as an oversight body that provides independent oversight over governance, risk management and control processes in the Department, which includes oversight and responsibilities relating to:**

- Internal Audit function
- External Audit function (Auditor General of South Africa - AGSA)
- Departmental Accounting and reporting
- Departmental Accounting Policies
- Review of AGSA management and audit report
- Review of Departmental In year Monitoring
- Departmental Risk Management
- Internal Control
- Pre-determined objectives
- Ethics and Forensic Investigations

The table below discloses relevant information on the audit committee members:

NAME	QUALIFICATIONS	INTERNAL OR EXTERNAL	IF INTERNAL, POSITION IN THE DEPARTMENT	DATE APPOINTED	DATE RESIGNED	NO. OF MEETINGS ATTENDED
<b>Ms J Gunther (Chairperson)</b>	CIA; AGA; CRMA Masters in Cost Accounting; BCompt.	External	N/A	1 January 2016 (2nd term)	N/A	8
<b>Mr Francois Barnard</b>	MComm (Tax); CA (SA); Postgrad Diplom in Auditing; CTA BCompt (Honours); BProc	External	N/A	1 January 2016 (2nd term)	N/A	7
<b>Mr Burton Van Staaden</b>	CA (SA), Postgraduate Certificate in Auditing; CTA; BCom (Honours)	External	N/A	1 January 2015 (2nd term)	N/A	8
<b>Ms M Kinnes</b>	BA; LLB; Certificate Forensic Examination; Attorney of the High Court of SA	External	N/A	1 January 2016 (1st term)	N/A	7

## 12. AUDIT COMMITTEE REPORT

We are pleased to present our report for the financial year ended 31 March 2017.

### AUDIT COMMITTEE RESPONSIBILITY

The Audit Committee reports that it has complied with its responsibilities arising from **Section 38 (1) (a) (ii)** of the **Public Finance Management Act (PFMA) and National Treasury Regulations 3.1**. The Audit Committee also reports that it has adopted an appropriate formal Terms of Reference, has regulated its affairs in compliance with these terms and has discharged all its responsibilities as contained therein.

### THE EFFECTIVENESS OF INTERNAL CONTROL

In line with the PFMA and Treasury Regulations, Internal Audit provides the Audit Committee and Management with reasonable assurance that the internal controls are adequate and effective. This is achieved by an approved risk-based internal audit plan, Internal Audit assessing the adequacy of controls mitigating the risks and the Audit Committee monitoring implementation of corrective actions.

The following assurance engagements were approved and completed in the 2016/17 Internal Audit Plan:

- Greenest Municipality Competition
- RSEP / VPUU – Transfer Payment
- Change Management Process
- Sustainable Water Management Plan
- DPSA Directive
- Disaster Recovery Plan (ICT audit)
- Application Portfolio Management (ICT audit)
- Information Security (ICT audit)

The areas for improvements, as noted by internal audit during performance of their work, were agreed to by management. The Audit Committee monitors the implementation of agreed actions on a quarterly basis.

## **IN-YEAR MANAGEMENT AND MONTHLY/QUARTERLY REPORT**

The Audit Committee is satisfied with the content and quality of the quarterly in-year management and performance reports issued during the year under review by the Accounting Officer of the Department in terms of the National Treasury Regulations and the Division of Revenue Act.

## **EVALUATION OF FINANCIAL STATEMENTS**

The Audit Committee has:

- reviewed and discussed the Audited Annual Financial Statements to be included in the Annual Report, with the Auditor-General South Africa (AGSA) and the Accounting Officer;
- reviewed changes to accounting policies and practices as reported in the Annual Financial Statements; and
- reviewed material adjustments resulting from the audit of the Department.

## **COMPLIANCE**

The Audit Committee has reviewed the information on predetermined objectives as reported in the Annual Report.

## **PERFORMANCE INFORMATION**

The Audit Committee has reviewed the information on predetermined objectives as reported in this Annual Report;

## **REPORT OF THE AUDITOR-GENERAL SOUTH AFRICA**

The Audit Committee has:

- reviewed the AGSA's Management Report and Management's responses thereto;
- on a quarterly basis reviewed the Department's implementation plan for audit issues raised in the prior year.
- has met with the AGSA to ensure that there are no unresolved issues that emanated from the regulatory audit.

Corrective actions on the detailed findings raised by the AGSA are monitored by the Audit Committee on a quarterly basis.

The Audit Committee concurs and accepts the AGSA's opinion regarding the Annual Financial Statements, and proposes that these Audited Annual Financial Statements be accepted and read together with AGSA's report.

The Audit Committee commends the Department for maintaining an unqualified audit opinion with no material findings.



**Judy Gunther**

**Chairperson of the Economic Cluster Audit Committee**

**Department of Environmental Affairs and Development Planning**

**Date: 8 August 2017**

Image source: ©Western Cape Government  
Air Quality Monitoring Station: Khayalitsha, Western Cape; South Africa.



# PART D

## HUMAN RESOURCE MANAGEMENT

### 1. INTRODUCTION

#### INTRODUCTION

Our unique contribution to the work of the Western Cape Government is largely ascribed to the persistent, and often selfless, efforts of the people within the Department of Environmental Affairs and Development Planning. Building a new service delivery trajectory and successfully directing the collective efforts of our team is not without its own challenges. The modern people management landscape has shifted significantly in recent years and requires complex navigation between a range of competing variables.

Apart from the fact that these variables are interdependent and interrelated, they are also governed by stringent rules and regulations, which prove difficult when retention and attraction initiatives are explored. These include balancing service delivery imperatives, the attraction and retention of critical and scarce skills, workforce empowerment, career management, succession planning, employment equity and creating an enabling environment where employees are able to thrive. Further to this, the Department is required to function within an austere environment, which demands that managers consider the impact of “doing more with less”.

Despite this, the consistent hard work of our people, amidst the ever-challenging circumstances, has resulted in remarkable achievements and service delivery improvement during the year under review.

#### VACANCY

In order to effect ongoing service delivery efforts in the Public Service, the Department of Public Service and Administration (DPSA) launched a strategy in 2011 to reduce the vacancy rate in Departments to a national standard below 10%. Compliance with the strategy is monitored by the Forum for South African Directors General (FOSAD), as well as the Department of Planning Monitoring and Evaluation (DPME) through the Monitoring Performance Assessment Tool (MPAT).

The Department achieved an overall vacancy rate of 1,6% for the year under review. This is an improvement on the 7,5% vacancy rate achieved during 2015/16. The vacancy rate in the critical occupations category was also below the 10% norm at 1,7%. Despite the low vacancy rates, the Department is experiencing significant challenges in attracting and retaining GIS Technicians and Environmental Officers. Strategies to mitigate this, are unpacked in the Department’s approved Workforce Plan.



## TIME TO FILL A POST

The Public Service Regulations [2016 – S 65 (7); 2001 – VIII.C.1A.2A] require that vacant and funded posts are advertised within 6 months of becoming vacant and filled within 12 months. The Department has taken 2 months, on average, to fill a vacancy.

## AGE PROFILE OF THE DEPARTMENT

The Department has a young and vibrant workforce, with 24% of our people in the 30 – 34-year age category; and 58% of all staff are younger than 40 years. Staff that are nearing retirement account for 4,6% of the workforce.

## INTERNSHIP PROGRAMMES

The Department created learning opportunities for 19 unemployed matriculants through the Premier's Advancement of Youth (PAY Internship Programme) and one graduate intern in the Programme Developmental Planning. The 20 interns comprised 4,5% of the total workforce remunerated over the period.

## SICK LEAVE

The utilisation of sick leave is monitored closely to ensure that:

1. Service delivery continues unabated;
2. Wellness initiatives have the desired workforce impact;
3. Employees receive the required employer support; and
4. There is full compliance with legislation and policy determinations governing sick leave usage.

The illnesses with the highest utilisation rate are lung-related diseases, colds and influenza. Stress-related illnesses accounted for 1,9% of the total illness types registered during the year under review.

## 2. STATUS OF PEOPLE MANAGEMENT AT THE DEPARTMENT

### 2.1 DEPARTMENTAL WORKFORCE PLANNING PRIORITIES

HR PLANNING PRIORITIES	OUTCOMES	APPROACH TO MITIGATE RISK AND ACHIEVE OUTCOME
<b>1. Recruitment and Selection</b>	<ul style="list-style-type: none"><li>• Increased recruitment efficiency.</li><li>• Lowering of vacancy rate.</li><li>• Department has the right people and skills to achieve its strategic objectives.</li></ul>	Improve recruitment and selection processes and turn-around time for the filling of posts.
<b>2. Training and Development</b>	<ul style="list-style-type: none"><li>• Managers taking responsibility for staff development.</li><li>• Enhanced Staff Skills/ Competencies.</li><li>• Improved Talent Attraction and Development.</li></ul>	Training and development informed by current and future skills needs.
<b>3. Succession Planning and Career Development</b>	<ul style="list-style-type: none"><li>• Succession planning implemented within confines of Public Service Regulations.</li><li>• Enhanced Staff Skills/ Competencies.</li></ul>	The implementation of continued transferral of "institutional memory and capacity building of employees.
<b>4. EE/Diversity</b>	<ul style="list-style-type: none"><li>• Enhanced representivity and support for designated groups.</li></ul>	EE the context of fit for purpose and PSO 12.
<b>5. Organisational Structure</b>	<ul style="list-style-type: none"><li>• Organisational structure aligned with mandate/national and provincial agenda.</li></ul>	OD investigation to scientifically inform Organisational Structural needs.



## 2.2 EMPLOYEE PERFORMANCE MANAGEMENT

One of the cornerstones of the Staff Performance Management System, is the basic requirement that all employees are obliged to do what is expected of them. These expectations and the required performance standards are concretised by means of job descriptions, performance agreements, business plans and / or service level agreements. Rewards and incentives are therefore only granted for work that qualitatively and quantitatively surpasses work, for which employees are remunerated.

Employees who are nominated for performance bonuses are assessed by moderation panels, who then examine the evidence of superior performance. Under-performing staff members, on the other hand, are required to complete the actions stipulated in a Performance Improvement Plan. These are closely monitored to ensure absolute compliance with acceptable performance standards.

The framework also seeks to promote a positive workplace culture that encourages formal and informal discussions about performance quality, lead practice and continuous individual improvement.

This system sets the framework in which both the employer and employee can equally realise their goals and objectives to ensure the achievement of PSG 5, namely to **embed good governance and integrated service delivery through partnerships and spatial alignment**.

## 2.3 EMPLOYEE WELLNESS

Developing a wellness culture in the Department is of strategic importance to ensure that employees achieve optimum levels of performance, while feeling cared for and supported in the work context. The WCG's transversal Employee Health and Wellness Programme (EHW) follows a holistic approach to employee wellbeing and is largely preventative in nature, offering both primary and secondary services. The EHW Programme is monitored in the Department through monthly utilisation reports for primary services (24/7/365 telephonic counselling service, online e-Care service and reporting) and secondary services (face-to-face counselling, trauma and critical incidents, training and targeted intervention, executive coaching, advocacy).

A quarterly report is prepared by the Directorate: Organisational Behaviour within the Corporate Service Centre that provides a trend analysis of utilisation, risk identification and its impact on productivity. Furthermore, on-going reporting to the Department of Public Service and Administration (DPSA) is a requirement and such reporting focuses on four areas namely, HIV/ AIDS, Health and Productivity, Wellness Management and SHEQ (Safety Health Environment and Quality).

## 3. PEOPLE MANAGEMENT OVERSIGHT STATISTICS

### 3.1 PERSONNEL RELATED EXPENDITURE

The following tables summarise final audited expenditure by programme (Table 3.1.1) and by salary bands (Table 3.1.2).

The figures in Table 3.1.1 are drawn from the Basic Accounting System and the figures in Table 3.1.2 are drawn from the PERSAL (Personnel Salary) system. The two systems are not synchronised for salary refunds in respect of staff appointments and resignations and/or transfers to and from other departments. This means there may be a difference in the total expenditure reflected on these systems.

The key in the table below is a description of the Programmes within the Department. Programmes will be referred to by their number from this point forward.

PROGRAMME	PROGRAMME DESIGNATION
<b>Programme 1</b>	Administration
<b>Programme 2</b>	Environmental Policy Planning and Coordination
<b>Programme 3</b>	Compliance and Enforcement
<b>Programme 4</b>	Environmental Quality Management
<b>Programme 5</b>	Biodiversity Management
<b>Programme 6*</b>	Environment Empowerment Services
<b>Programme 7</b>	Developmental Planning

**\*Note:** The Programme only caters for operational expense whilst the personnel costs are carried against the relevant programmes as per the Departmental establishment.

**Table 3.1.1: Personnel expenditure by programme, 2016/17**

PROGRAMME	TOTAL EXPENDITURE (R'000)	PERSONNEL EXPENDITURE (R'000)	TRAINING EXPENDITURE (R'000)	GOODS & SERVICES (R'000)	PERSONNEL EXPENDITURE AS A % OF TOTAL EXPENDITURE	AVERAGE PERSONNEL EXPENDITURE PER EMPLOYEE (R'000)	NUMBER OF EMPLOYEES
<b>Programme 1</b>	62,143	48,011	610	10,984	77	341	141
<b>Programme 2</b>	16,970	12,409	228	4,385	73	428	29
<b>Programme 3</b>	23,368	18,964	70	4,309	81	452	42
<b>Programme 4</b>	86,212	59,208	234	19,131	69	435	136
<b>Programme 5</b>	264,668	7,431	99	6,639	3	495	15
<b>Programme 6</b>	1,851	-	416	1,351	-	-	-
<b>Programme 7</b>	82,738	44,395	661	6,271	54	608	73
<b>TOTAL</b>	<b>537,950</b>	<b>190,418</b>	<b>2,318</b>	<b>53,070</b>	<b>35</b>	<b>436</b>	<b>436</b>

**Note:** The number of employees refers to all individuals remunerated during the reporting period, including interns and the Minister. The number of employees is accumulative and not a snapshot as at a specific date.

**Table 3.1.2: Personnel expenditure by salary band, 2016/17**

SALARY BANDS	PERSONNEL EXPENDITURE (R'000)	% OF TOTAL PERSONNEL EXPENDITURE	AVERAGE PERSONNEL EXPENDITURE PER EMPLOYEE (R'000)	NUMBER OF EMPLOYEES
<b>Lower skilled</b> (Levels 1-2)	1,143	0,6	48	24
<b>Skilled</b> (Levels 3-5)	10,503	5,6	169	62
<b>Highly skilled production</b> (Levels 6-8)	36,911	19,5	295	125
<b>Highly skilled supervision</b> (Levels 9-12)	114,697	60,7	574	200
<b>Senior management</b> (Levels 13-16)	25,780	13,6	1,074	24
<b>TOTAL</b>	<b>189,035</b>	<b>100</b>	<b>435</b>	<b>435</b>

**Note:** The number of employees refers to all individuals remunerated during the reporting period, including interns, but excluding the Minister. The number of employees is accumulative and not a snapshot as at a specific date.

The following tables provide a summary per programme (Table 3.1.3) and salary bands (Table 3.1.4), of expenditure incurred as a result of salaries, overtime, housing allowance and medical assistance. These tables do not make provision for other expenditure such as Pensions, Performance Bonus and other allowances, which make up the total personnel expenditure. In each case, the table provides an indication of the percentage of the personnel expenditure that was used for these items.

**Table 3.1.3: Salaries, Overtime, Housing Allowance and Medical Assistance by programme, 2016/17**

PROGRAMME	SALARIES		OVERTIME		HOUSING ALLOWANCE		MEDICAL ASSISTANCE	
	Amount (R'000)	Salaries as a % of personnel expenditure	Amount (R'000)	Overtime as a % of personnel expenditure	Amount (R'000)	Housing allowance as a % of personnel expenditure	Amount (R'000)	Medical assistance as a % of personnel expenditure
<b>Programme 1</b>	32,693	17,3	410	0,2	942	0,5	1,529	0,8
<b>Programme 2</b>	9,054	4,8	-	-	158	0,1	381	0,2
<b>Programme 3</b>	13,563	7,2	-	-	348	0,2	642	0,3
<b>Programme 4</b>	43,307	22,9	-	-	1,197	0,6	2,352	1,2
<b>Programme 5</b>	5,351	2,8	-	-	118	0,1	254	0,1
<b>Programme 6</b>	-	-	-	-	-	-	-	-
<b>Programme 7</b>	31,054	16,4	-	-	369	0,2	788	0,4
<b>TOTAL</b>	<b>135,022</b>	<b>71,4</b>	<b>410</b>	<b>0,2</b>	<b>3,132</b>	<b>1,7</b>	<b>5,946</b>	<b>3,1</b>

**Table 3.1.4: Salaries, Overtime, Housing Allowance and Medical Assistance by salary band, 2016/17**

SALARY BANDS	SALARIES		OVERTIME		HOUSING ALLOWANCE		MEDICAL ASSISTANCE	
	Amount (R'000)	Salaries as a % of personnel expenditure	Amount (R'000)	Overtime as a % of personnel expenditure	Amount (R'000)	Housing allowance as a % of personnel expenditure	Amount (R'000)	Medical assistance as a % of personnel expenditure
<b>Lower skilled</b> (Levels 1-2)	921	0,5	-	-	44	0,02	52	0,03
<b>Skilled</b> (Levels 3-5)	7,372	3,9	151	0,1	470	0,2	654	0,3
<b>Highly skilled production</b> (Levels 6-8)	26,750	14,2	186	0,1	1,216	0,6	2,123	1,1
<b>Highly skilled supervision</b> (Levels 9-12)	82,930	43,9	73	0,04	1,402	0,7	2,867	1,5
<b>Senior management</b> (Levels 13-16)	17,049	9,0	-	-	-	-	250	0,1
<b>TOTAL</b>	<b>135,022</b>	<b>71,4</b>	<b>200</b>	<b>0,2</b>	<b>3,132</b>	<b>1,7</b>	<b>5,309</b>	<b>3,1</b>

## 3.2 EMPLOYMENT AND VACANCIES

The following tables summarise the number of active posts on the establishment, the number of employees (excluding interns and the Minister), and the percentage active vacant posts as at the end of the financial year. This information is presented in terms of three key variables, namely: Programme (Table 3.2.1), Salary Band (Table 3.2.2) and Critical Occupations (Table 3.2.3). All information in this section is provided as a snapshot as at the end of the financial year under review.

**Table 3.2.1: Employment and vacancies by programme, as at 31 March 2017**

PROGRAMME	NUMBER OF FUNDED POSTS	NUMBER OF POSTS FILLED	VACANCY RATE %
Programme 1	112	109	2,7
Programme 2	24	23	4,2
Programme 3	38	38	-
Programme 4	129	129	-
Programme 5	14	13	7,1
Programme 7	70	69	1,4
<b>TOTAL</b>	<b>387</b>	<b>381</b>	<b>1,6</b>

**Table 3.2.2: Employment and vacancies by salary band, as at 31 March 2017**

SALARY BAND	NUMBER OF FUNDED POSTS	NUMBER OF POSTS FILLED	VACANCY RATE %
<b>Lower skilled</b> (Levels 1-2)	3	3	-
<b>Skilled</b> (Levels 3-5)	54	52	3,7
<b>Highly skilled production</b> (Levels 6-8)	124	123	0,8
<b>Highly skilled supervision</b> (Levels 9-12)	182	179	1,6
<b>Senior management</b> (Levels 13-16)	24	24	-
<b>TOTAL</b>	<b>387</b>	<b>381</b>	<b>1,6</b>

**Table 3.2.3: Employment and vacancies by critical occupation, as at 31 March 2017**

CRITICAL OCCUPATIONS	NUMBER OF FUNDED POSTS	NUMBER OF POSTS FILLED	VACANCY RATE %
Environmental Officer	134	132	1,5
GIS	7	7	-
Town and Regional Planner	38	37	2,6
<b>TOTAL</b>	<b>179</b>	<b>176</b>	<b>1,7</b>

**Note:** Critical occupations - refer to occupations that are critical for service delivery. If these occupations are not present in the department, the function/services will collapse.

### 3.3 JOB EVALUATION

Job evaluation was introduced as a way of ensuring that work of equal value is remunerated equally. Within a nationally determined framework, executing authorities are required to evaluate each new post in his or her organisation or re-evaluate any post where the post mandate or content has significantly changed. This job evaluation process determines the grading and salary level of a post. It should be understood that Job Evaluation and Staff Performance Management differ in the sense that Job Evaluation refers to the value/weighting of the activities that are associated with the post and Staff Performance Management refers to the review of an individual's performance.

Table 3.3.1 summarises the number of posts that were evaluated during the year under review. The table also provides statistics on the number of posts that were upgraded or downgraded.

**Table 3.3.1: Job evaluation, 1 April 2016 to 31 March 2017**

SALARY BAND	TOTAL NUMBER OF POSTS AS AT 31 MARCH 2017	NUMBER OF POSTS EVALUATED	% OF POSTS EVALUATED	POSTS UPGRADED		POSTS DOWNGRADED	
				Number	Posts upgraded as a % of total posts	Number	Posts downgraded as a % of total posts
<b>Lower skilled</b> (Levels 1-2)	3	3	0,8	-	-	-	-
<b>Skilled</b> (Levels 3-5)	54	3	0,8	-	-	-	-
<b>Highly skilled production</b> (Levels 6-8)	124	4	1,0	1	0,3	-	-
<b>Highly skilled supervision</b> (Levels 9-12)	182	7	1,8	-	-	-	-
<b>Senior Management Service Band A</b> (Level 13)	18	2	0,5	-	-	-	-
<b>Senior Management Service Band B</b> (Level 14)	5	-	-	-	-	-	-
<b>Senior Management Service Band C</b> (Level 15)	1	-	-	-	-	-	-
<b>TOTAL</b>	<b>387</b>	<b>19</b>	<b>4,9</b>	<b>1</b>	<b>0,3</b>	<b>-</b>	<b>-</b>

**Note:** The “Number of posts evaluated” per Salary Band reflects the Final Approved Post Level after Job Evaluation.

**Table 3.3.2: Profile of employees whose salary positions were upgraded due to their posts being upgraded, 1 April 2016 to 31 March 2017**

BENEFICIARIES	AFRICAN	INDIAN	COLOURED	WHITE	TOTAL
Female	1	0	0	0	1
Male	0	0	0	0	0
<b>TOTAL</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>
Employees with a disability					0

**Note:** Table 3.3.2 is a breakdown of posts upgraded in table 3.3.1.

Table 3.3.3 summarises the number of cases where salary levels exceeded the grade determined by job evaluation or where higher notches awarded to employees within a particular salary level. Each salary level consists of 12 notches. Reasons for the deviation are provided in each case.

**Table 3.3.3: Employees who have been granted higher salaries than those determined by job evaluation per major occupation, 1 April 2016 to 31 March 2017**

MAJOR OCCUPATION	NUMBER OF EMPLOYEES	JOB EVALUATION LEVEL	REMUNERATION ON A HIGHER SALARY LEVEL	REMUNERATION ON A HIGHER NOTCH OF THE SAME SALARY LEVEL	REASON FOR DEVIATION
None					

**Table 3.3.4: Profile of employees who have been granted higher salaries than those determined by job evaluation, 1 April 2016 to 31 March 2017**

BENEFICIARIES	AFRICAN	INDIAN	COLOURED	WHITE	TOTAL
None					

**Note:** Table 3.3.4 is a breakdown of table 3.3.3 by race and gender.

### 3.4 EMPLOYMENT CHANGES

Turnover rates provide an indication of trends in the employment profile of the Department during the year under review. The following tables provide a summary of turnover rates by salary band (Table 3.4.1) and by critical occupation (Table 3.4.2). This section does not include intern information.

**Table 3.4.1: Annual turnover rates by salary band, 1 April 2016 to 31 March 2017**

SALARY BAND	NUMBER OF EMPLOYEES AS AT 31 MARCH 2016	TURNOVER RATE % 2015/16	APPOINTMENTS INTO THE DEPARTMENT	TRANSFERS INTO THE DEPARTMENT	TERMINATIONS OUT OF THE DEPARTMENT	TRANSFERS OUT OF THE DEPARTMENT	TURNOVER RATE % 2016/17
Lower skilled (Levels 1-2)	3	-	-	-	-	-	-
Skilled (Levels 3-5)	56	33,3	13	2	14	3	30,4
Highly skilled production (Levels 6-8)	131	14,0	14	1	20	3	17,6
Highly skilled supervision (Levels 9-12)	183	8,9	7	3	12	2	7,7
Senior Management Service Band A (Level 13)	18	-	-	-	-	-	-
Senior Management Service Band B (Level 14)	5	-	-	-	-	-	-
Senior Management Service Band C (Level 15)	1	-	-	-	-	-	-
TOTAL	397	14,1	34	6	46	8	13,6
			40		54		

**Note:** "Transfers" refer to the lateral movement of employees from one Public Service Department to another (Both Provincially & Nationally). The turnover rate is determined by calculating the total exits as a percentage of the baseline (Number of employees as at 31 March 2016).

**Table 3.4.2: Annual turnover rates by critical occupation, 1 April 2016 to 31 March 2017**

CRITICAL OCCUPATION	NUMBER OF EMPLOYEES AS AT 31 MARCH 2016	TURNOVER RATE 2015/16	APPOINT- MENTS INTO THE DEPART- MENT	TRANSFERS INTO THE DEPART- MENT	TERMINA- TIONS OUT OF THE DEPART- MENT	TRANSFERS OUT OF THE DEPART- MENT	TURNOVER RATE % 2016/17
Environmental Officer	144	15,5	14	-	19	1	13,9
GIS	8	5,9	1	-	1	1	25,0
Town and Regional Planner	37	-	1	-	2	-	5,4
TOTAL	189	12,3	16	0	22	2	12,7
			16		24		

**Note:** "Transfers" refer to the lateral movement of employees from one Public Service Department to another (Both Provincially and Nationally). The turnover rate is determined by calculating the total exits as a percentage of the baseline (Number of employees as at 31 March 2016).

**Table 3.4.3: Staff leaving the employ of the Department, 1 April 2016 to 31 March 2017**

EXIT CATEGORY	NUMBER	% OF TOTAL EXITS	NUMBER OF EXITS AS A % OF TOTAL NUMBER OF EMPLOYEES AS AT 31 MARCH 2016
Death	-	-	-
Resignation *	16	29,6	4,0
Expiry of contract	29	53,7	7,3
Dismissal – operational changes	-	-	-
Dismissal – misconduct	-	-	-
Dismissal – inefficiency	-	-	-
Discharged due to ill-health	-	-	-
Retirement	1	1,9	0,3
Employee initiated severance package	-	-	-
Transfers to Statutory	-	-	-
Transfers to other Public Service departments	8	14,8	2,0
<b>TOTAL</b>	<b>54</b>	<b>100</b>	<b>13,6</b>

**Note:** Table 3.4.3 identifies the various exit categories for those staff members who have left the employ of the Department.

\* Resignations are further discussed in Tables 3.4.4 and 3.4.5.

**Table 3.4.4: Reasons why staff resigned, 1 April 2016 to 31 March 2017**

RESIGNATION REASONS	NUMBER	% OF TOTAL RESIGNATIONS
Work/Life balance	1	6,3
Better job offer	1	6,3
Contract to permanent	3	18,8
Family/personal circumstances	3	18,8
Lack of promotional opportunity	1	6,3
Need for career change	6	37,5
Pursuing fulltime studies	1	6,3
<b>TOTAL</b>	<b>16</b>	<b>100</b>

**Note:** Contract to Permanent refers to a contract employee who resigned from his/her job to take up a permanent post in the Department.

**Table 3.4.5: Different age groups of staff who resigned, 1 April 2016 to 31 March 2017**

AGE GROUP	NUMBER	% OF TOTAL RESIGNATIONS
Ages <19	-	-
Ages 20 to 24	3	18,8
Ages 25 to 29	2	12,5
Ages 30 to 34	2	12,5
Ages 35 to 39	2	12,5
Ages 40 to 44	4	25,0
Ages 45 to 49	2	12,5
Ages 50 to 54	-	-
Ages 55 to 59	-	-
Ages 60 to 64	1	6,3
Ages 65 >	-	-
<b>TOTAL</b>	<b>16</b>	<b>100</b>



**Table 3.4.6: Employee initiated severance packages.**

Total number of employee initiated severance packages offered in 2016/ 2017	None
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**Table 3.4.7: Promotions by salary band, 1 April 2016 to 31 March 2017**

SALARY BAND	NUMBER OF EMPLOYEES AS AT 31 MARCH 2016	PROMOTIONS TO ANOTHER SALARY LEVEL	PROMOTIONS AS A % OF TOTAL EMPLOYEES	PROGRESSIONS TO ANOTHER NOTCH WITHIN A SALARY LEVEL	NOTCH PROGRESSIONS AS A % OF TOTAL EMPLOYEES
<b>Lower skilled</b> (Levels 1-2)	3	-	-	1	33,3
<b>Skilled</b> (Levels 3-5)	56	-	-	29	51,8
<b>Highly skilled production</b> (Levels 6-8)	131	-	-	48	36,6
<b>Highly skilled supervision</b> (Levels 9-12)	183	3	1,6	111	60,7
<b>Senior Management</b> (Level 13)	24	-	-	15	62,5
<b>TOTAL</b>	<b>397</b>	<b>3</b>	<b>0,8</b>	<b>204</b>	<b>51,4</b>

**Note:** Promotions reflect the salary level of an employee after he/she was promoted. Employees who do not qualify for notch progressions include those who are on probation, as well as poor performing employees. Furthermore, employees within the Occupation Specific Dispensation (OSD) do not receive notch progressions annually.

**Table 3.4.8: Promotions by critical occupation, 1 April 2016 to 31 March 2017**

CRITICAL OCCUPATION	NUMBER OF EMPLOYEES AS AT 31 MARCH 2016	PROMOTIONS TO ANOTHER SALARY LEVEL	PROMOTIONS AS A % OF TOTAL EMPLOYEES IN CRITICAL OCCUPATIONS	PROGRESSIONS TO ANOTHER NOTCH WITHIN A SALARY LEVEL	NOTCH PROGRESSIONS AS A % OF TOTAL EMPLOYEES IN CRITICAL OCCUPATIONS
Environmental Officer	144	3	2,1	46	31,9
GIS	8	0	-	1	12,5
Town and Regional Planner	37	-	-	13	35,1
<b>TOTAL</b>	<b>189</b>	<b>3</b>	<b>1,6</b>	<b>60</b>	<b>31,7</b>

**Note:** Promotions reflect the salary level of an employee after he/she was promoted. Employees who do not qualify for notch progressions include those who are on probation, as well as poor performing employees. Furthermore, employees within the Occupation Specific Dispensation (OSD) do not receive notch progressions annually.

### 3.5 EMPLOYMENT EQUITY

**Table 3.5.1:** Total number of employees (including employees with disabilities) in each of the following occupational levels, as at 31 March 2017

OCCUPATIONAL LEVELS	MALE				FEMALE				FOREIGN NATIONALS		TOTAL
	A	C	I	W	A	C	I	W	Male	Female	
<b>Top management</b> (Levels 15-16)	-	-	-	1	-	-	-	-	-	-	<b>1</b>
<b>Senior management</b> (Levels 13-14)	1	9	1	6	-	1	-	5	-	-	<b>23</b>
<b>Professionally qualified and experienced specialists and mid-management</b> (Levels 9-12)	9	43	6	35	11	47	1	36	-	-	<b>188</b>
<b>Skilled technical and academically qualified workers, junior management, supervisors, foremen, and superintendents</b> (Levels 6-8)	8	17	-	1	17	55	1	15	-	-	<b>114</b>
<b>Semi-skilled and discretionary decision making</b> (Levels 3-5)	1	18	-	2	6	21	-	4	-	-	<b>52</b>
<b>Unskilled and defined decision making</b> (Levels 1-2)	-	-	-	-	1	2	-	-	-	-	<b>3</b>
<b>TOTAL</b>	<b>19</b>	<b>87</b>	<b>7</b>	<b>45</b>	<b>35</b>	<b>126</b>	<b>2</b>	<b>60</b>	<b>-</b>	<b>-</b>	<b>381</b>
Temporary employees	-	-	-	-	-	-	-	-	-	-	-
<b>GRAND TOTAL</b>	<b>19</b>	<b>87</b>	<b>7</b>	<b>45</b>	<b>35</b>	<b>126</b>	<b>2</b>	<b>60</b>	<b>-</b>	<b>-</b>	<b>381</b>

A = African; C = Coloured; I = Indian; W = White.

**Note:** The figures reflected per occupational levels include all permanent, part-time and contract employees, but exclude interns. Furthermore, the information is presented by salary level and not post level. For the number of employees with disabilities, refer to Table 3.5.2.

**Table 3.5.2:** Total number of employees (with disabilities only) in each of the following occupational levels, as at 31 March 2017

OCCUPATIONAL LEVELS	MALE				FEMALE				FOREIGN NATIONALS		TOTAL
	A	C	I	W	A	C	I	W	Male	Female	
<b>Top management</b> (Levels 15-16)	-	-	-	-	-	-	-	-	-	-	-
<b>Senior management</b> (Levels 13-14)	-	-	-	-	-	-	-	-	-	-	-
<b>Professionally qualified and experienced specialists and mid-management</b> (Levels 9-12)	-	1	1	2	-	1	-	-	-	-	<b>5</b>
<b>Skilled technical and academically qualified workers, junior management, supervisors, foremen, and superintendents</b> (Levels 6-8)	-	-	-	-	-	1	-	1	-	-	<b>2</b>
<b>Semi-skilled and discretionary decision making</b> (Levels 3-5)	-	-	-	-	-	-	-	-	-	-	-
<b>Unskilled and defined decision making</b> (Levels 1-2)	-	-	-	-	-	-	-	-	-	-	-
<b>TOTAL</b>	<b>-</b>	<b>1</b>	<b>1</b>	<b>2</b>	<b>-</b>	<b>2</b>	<b>-</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>7</b>

OCCUPATIONAL LEVELS	MALE				FEMALE				FOREIGN NATIONALS		TOTAL
	A	C	I	W	A	C	I	W	Male	Female	
Temporary employees	-	-	-	-	-	-	-	-	-	-	-
<b>GRAND TOTAL</b>	-	1	1	2	-	2	-	1	-	-	7

A = African; C = Coloured; I = Indian; W = White.

**Note:** The figures reflected per occupational level include all permanent, part-time and contract employees, but exclude interns. Furthermore, the information is presented by salary level and not post level.

**Table 3.5.3: Recruitment, 1 April 2016 to 31 March 2017**

OCCUPATIONAL LEVELS	MALE				FEMALE				FOREIGN NATIONALS		TOTAL
	A	C	I	W	A	C	I	W	Male	Female	
<b>Top management</b> (Levels 15-16)	-	-	-	-	-	-	-	-	-	-	-
<b>Senior management</b> (Levels 13-14)	-	-	-	-	-	-	-	-	-	-	-
<b>Professionally qualified and experienced specialists and mid-management</b> (Levels 9-12)	1	1	-	1	1	2	-	4	-	-	10
<b>Skilled technical and academically qualified workers, junior management, supervisors, foremen, and superintendents</b> (Levels 6-8)	-	3	-	-	4	7	-	1	-	-	15
<b>Semi-skilled and discretionary decision making</b> (Levels 3-5)	1	6	-	1	2	4	-	1	-	-	15
<b>Unskilled and defined decision making</b> (Levels 1-2)	-	-	-	-	-	-	-	-	-	-	-
<b>TOTAL</b>	2	10	-	2	7	13	-	6	-	-	40
Temporary employees	-	-	-	-	-	-	-	-	-	-	-
<b>GRAND TOTAL</b>	2	10	-	2	7	13	-	6	-	-	40

A = African; C = Coloured; I = Indian; W = White.

**Note:** Recruitment refers to the appointment of new employees to the staff establishment of the Department, but exclude interns. The totals include transfers from other government departments and / or institutions, as per Table 3.4.1.

**Table 3.5.4: Promotions, 1 April 2016 to 31 March 2017**

OCCUPATIONAL LEVELS	MALE				FEMALE				FOREIGN NATIONALS		TOTAL
	A	C	I	W	A	C	I	W	Male	Female	
<b>Top management</b> (Levels 15-16)	-	-	-	-	-	-	-	-	-	-	-
<b>Senior management</b> (Levels 13-14)	-	-	-	-	-	-	-	-	-	-	-
<b>Professionally qualified and experienced specialists and mid-management</b> (Levels 9-12)	-	3	-	-	-	-	-	-	-	-	3
<b>Skilled technical and academically qualified workers, junior management, supervisors, foremen, and superintendents</b> (Levels 6-8)	-	-	-	-	-	-	-	-	-	-	-

OCCUPATIONAL LEVELS	MALE				FEMALE				FOREIGN NATIONALS		TOTAL
	A	C	I	W	A	C	I	W	Male	Female	
<b>Semi-skilled and discretionary decision making</b> (Levels 3-5)	-	-	-	-	-	-	-	-	-	-	-
<b>Unskilled and defined decision making</b> (Levels 1-2)	-	-	-	-	-	-	-	-	-	-	-
<b>TOTAL</b>	-	<b>3</b>	-	-	-	-	-	-	-	-	<b>3</b>
Temporary employees	-	-	-	-	-	-	-	-	-	-	-
<b>GRAND TOTAL</b>	-	<b>3</b>	-	-	-	-	-	-	-	-	<b>3</b>

**A = African; C = Coloured; I = Indian; W = White.**

**Note:** Promotions refer to the total number of employees who have advanced to a higher post level within the Department, as per Table 3.4.7.

**Table 3.5.5: Terminations, 1 April 2016 to 31 March 2017**

OCCUPATIONAL LEVELS	MALE				FEMALE				FOREIGN NATIONALS		TOTAL
	A	C	I	W	A	C	I	W	Male	Female	
<b>Top management</b> (Levels 15-16)	-	-	-	-	-	-	-	-	-	-	-
<b>Senior management</b> (Levels 13-14)	-	-	-	-	-	-	-	-	-	-	-
<b>Professionally qualified and experienced specialists and mid-management</b> (Levels 9-12)	-	4	-	4	-	1	-	5	-	-	<b>14</b>
<b>Skilled technical and academically qualified workers, junior management, supervisors, foremen, and superintendents</b> (Levels 6-8)	-	9	-	3	3	7	-	1	-	-	<b>23</b>
<b>Semi-skilled and discretionary decision making</b> (Levels 3-5)	3	8	-	-	2	4	-	-	-	-	<b>17</b>
<b>Unskilled and defined decision making</b> (Levels 1-2)	-	-	-	-	-	-	-	-	-	-	-
<b>TOTAL</b>	<b>3</b>	<b>21</b>	<b>-</b>	<b>7</b>	<b>5</b>	<b>12</b>	<b>-</b>	<b>6</b>	<b>-</b>	<b>-</b>	<b>54</b>
Temporary employees	-	-	-	-	-	-	-	-	-	-	-
<b>GRAND TOTAL</b>	<b>3</b>	<b>21</b>	<b>-</b>	<b>7</b>	<b>5</b>	<b>12</b>	<b>-</b>	<b>6</b>	<b>-</b>	<b>-</b>	<b>54</b>

**A = African; C = Coloured; I = Indian; W = White.**

**Note:** Terminations refer to those employees (excluding interns) who have left the employ of the Department, including transfers to other departments, as per Table 3.4.1.

**Table 3.5.6: Disciplinary actions, 1 April 2016 to 31 March 2017**

DISCIPLINARY ACTIONS	MALE				FEMALE				FOREIGN NATIONALS		TOTAL
	A	C	I	W	A	C	I	W	Male	Female	
None											

**A = African; C = Coloured; I = Indian; W = White.**

**Note:** The disciplinary actions total refers to formal outcomes only and not headcount. For further information on the outcomes of the disciplinary hearings and the types of misconduct addressed at disciplinary hearings, refer to Tables 3.12.2 and Table 3.12.3.

**Table 3.5.7: Skills development, 1 April 2016 to 31 March 2017**

OCCUPATIONAL LEVELS	MALE				FEMALE				TOTAL
	A	C	I	W	A	C	I	W	
<b>Top management</b> (Levels 15-16)	-	-	-	-	-	-	-	-	-
<b>Senior management</b> (Levels 13-14)	1	4	-	2	-	-	-	3	10
<b>Professionally qualified and experienced specialists and mid-management</b> (Levels 9-12)	2	22	1	10	5	28	1	14	83
<b>Skilled technical and academically qualified workers, junior management, supervisors, foremen, and superintendents</b> (Levels 6-8)	4	7	-	1	8	25	-	7	52
<b>Semi-skilled and discretionary decision making</b> (Levels 3-5)	-	10	-	1	3	6	-	2	22
<b>Unskilled and defined decision making</b> (Levels 1-2)	-	-	-	-	-	1	-	-	1
<b>TOTAL</b>	<b>7</b>	<b>43</b>	<b>1</b>	<b>14</b>	<b>16</b>	<b>60</b>	<b>1</b>	<b>26</b>	<b>168</b>
Temporary employees	-	-	-	-	-	-	-	-	-
<b>GRAND TOTAL</b>	<b>7</b>	<b>43</b>	<b>1</b>	<b>14</b>	<b>16</b>	<b>60</b>	<b>1</b>	<b>26</b>	<b>168</b>

**A = African; C = Coloured; I = Indian; W = White.**

**Note:** The above table refers to the total number of employees who have received training during the period under review, and not the number of training interventions attended by individuals. For further information on the actual training provided, refer to Table 3.13.2.

### 3.6 SIGNING OF PERFORMANCE AGREEMENTS BY SMS MEMBERS

**Table 3.6.1:** Signing of Performance Agreements by SMS Members, as at 31 May 2016

SMS POST LEVEL	NUMBER OF ACTIVE SMS POSTS PER LEVEL	NUMBER OF SMS MEMBERS PER LEVEL	NUMBER OF SIGNED PERFORMANCE AGREEMENTS PER LEVEL	SIGNED PERFORMANCE AGREEMENTS AS % OF SMS MEMBERS PER LEVEL
Head of Department	1	1	1	100
Salary Level 14	5	5	5	100
Salary Level 13	18	18	18	100
<b>TOTAL</b>	<b>24</b>	<b>24</b>	<b>24</b>	<b>100</b>

**Note:** This table refers to employees who are appointed as Senior Management Service (SMS) members only. Employees who are remunerated higher than a SL12, but who are not SMS members have been excluded. Furthermore, the table reflects post salary details and not the individual salary level of employees. The allocation of performance-related rewards (cash bonus) for SMS members is dealt with later in the report. Refer to Table 3.8.5 in this regard.

**Table 3.6.2:** Reasons for not having concluded Performance Agreements with all SMS Members on 31 May 2016

REASONS FOR NOT CONCLUDING PERFORMANCE AGREEMENTS WITH ALL SMS
None

**Table 3.6.3:** Disciplinary steps taken against SMS Members for not having concluded Performance Agreements on 31 May 2016

DISCIPLINARY STEPS TAKEN AGAINST SMS MEMBERS FOR NOT HAVING CONCLUDED PERFORMANCE AGREEMENTS
None

### 3.7 FILLING OF SMS POSTS

The tables in this section provide information on employment and vacancies as it relates to members of the SMS by salary level. It also provides information of advertising and the filling of SMS posts, reasons for not complying with prescribed timeframes and disciplinary steps taken in cases of non-compliance.

**Table 3.7.1:** SMS posts information, as at 30 September 2016

SMS LEVEL	NUMBER OF ACTIVE SMS POSTS PER LEVEL	NUMBER OF SMS POSTS FILLED PER LEVEL	% OF SMS POSTS FILLED PER LEVEL	NUMBER OF SMS POSTS VACANT PER LEVEL	% OF SMS POSTS VACANT PER LEVEL
Head of Department	1	1	100	-	-
Salary Level 14	5	5	100	-	-
Salary Level 13	18	18	100	-	-
<b>TOTAL</b>	<b>24</b>	<b>24</b>	<b>100</b>	<b>-</b>	<b>-</b>

**Note:** This table refers to employees who are appointed as Senior Management Service (SMS) members only. Employees who are remunerated higher than a SL12, but who are not SMS members have been excluded.

**Table 3.7.2: SMS posts information, as at 31 March 2017**

SMS LEVEL	NUMBER OF ACTIVE SMS POSTS PER LEVEL	NUMBER OF SMS POSTS FILLED PER LEVEL	% OF SMS POSTS FILLED PER LEVEL	NUMBER OF SMS POSTS VACANT PER LEVEL	% OF SMS POSTS VACANT PER LEVEL
Head of Department	1	1	100	-	-
Salary Level 14	5	5	100	-	-
Salary Level 13	18	18	100	-	-
<b>TOTAL</b>	<b>24</b>	<b>24</b>	<b>100</b>	<b>-</b>	<b>-</b>

**Note:** This table refers to employees who are appointed as Senior Management Service (SMS) members only. Employees who are remunerated higher than a SL12, but who are not SMS members have been excluded.

**Table 3.7.3: Advertising and Filling of SMS posts, as at 31 March 2017**

SMS LEVEL	ADVERTISING	FILLING OF POSTS	
	Number of Vacancies per Level Advertised in 6 Months of becoming Vacant	Number of Vacancies per Level Filled in 6 Months after becoming Vacant	Number of Vacancies per Level not Filled in 6 Months but Filled in 12 Months
None			

**Table 3.7.4: Reasons for not having complied with the filling of active vacant SMS posts – Advertised within 6 months and filled within 12 months after becoming vacant**

SMS LEVEL	REASONS FOR NON-COMPLIANCE
None	

**Table 3.7.5: Disciplinary steps taken for not complying with the prescribed timeframes for filling SMS posts within 12 months**

DISCIPLINARY STEPS TAKEN FOR NOT COMPLYING WITH THE PRESCRIBED TIMEFRAMES FOR FILLING SMS POSTS
None

### 3.8 EMPLOYEE PERFORMANCE

The following tables note the number of staff by salary band (Table 3.8.1) and staff within critical occupations (Table 3.8.2) who received a notch progression as a result of performance management. (i.e. qualifying employees who scored between 3 – 5 in their performance ratings).

**Table 3.8.1: Notch progressions by salary band, 1 April 2016 to 31 March 2017**

SALARY BAND	EMPLOYEES AS AT 31 MARCH 2016	PROGRESSIONS TO ANOTHER NOTCH WITHIN A SALARY LEVEL	NOTCH PROGRESSIONS AS A % OF EMPLOYEES BY SALARY BAND
<b>Lower skilled</b> (Levels 1-2)	3	1	33,3
<b>Skilled</b> (Levels 3-5)	56	29	51,8
<b>Highly skilled production</b> (Levels 6-8)	131	48	36,6
<b>Highly skilled supervision</b> (Levels 9-12)	183	111	60,7
<b>Senior Management</b> (Level 13)	24	15	62,5
<b>TOTAL</b>	<b>397</b>	<b>204</b>	<b>51,4</b>



**Table 3.8.2: Notch progressions by critical occupation, 1 April 2016 to 31 March 2017**

CRITICAL OCCUPATIONS	EMPLOYEES AS AT 31 MARCH 2016	PROGRESSIONS TO ANOTHER NOTCH WITHIN A SALARY LEVEL	NOTCH PROGRESSIONS AS A % OF EMPLOYEES BY SALARY BAND
Environmental Officer	144	46	31,9
GIS	8	1	12,5
Town and Regional Planner	37	13	35,1
<b>TOTAL</b>	<b>189</b>	<b>60</b>	<b>31,7</b>

To encourage good performance, the Department has granted the following performance rewards to employees for the performance period 2014/15, but paid in the financial year 2015/16. Not all employees are eligible for performance rewards. Employees who are on probation, employees who perform satisfactorily as well poor performing employees do not qualify for performance rewards. For details of the Performance Management Framework, refer to the introduction to Part D. The information is presented in terms of race, gender, and disability (Table 3.8.3), salary bands (Table 3.8.4 and Table 3.8.5) and critical occupations (Table 3.8.6).

**Table 3.8.3: Performance rewards by race, gender, and disability, 1 April 2016 to 31 March 2017**

RACE AND GENDER	BENEFICIARY PROFILE			COST	
	Number of beneficiaries	Total number of employees in group as at 31 March 2016	% of total within group	Cost (R'000)	Average cost per beneficiary (R)
African	4	54	7,4	75	18,848
Male	3	20	15,0	60	19,850
Female	1	34	2,9	16	15,844
Coloured	61	220	27,7	1,307	21,419
Male	22	96	22,9	615	27,977
Female	39	124	31,5	691	17,720
Indian	2	8	25,0	53	26,516
Male	1	6	16,7	37	36,710
Female	1	2	50,0	16	16,323
White	34	107	31,8	1,243	36,549
Male	15	48	31,3	710	47,318
Female	19	59	32,2	533	28,046
Employees with a disability	2	8	25,0	60	30,001
<b>TOTAL</b>	<b>103</b>	<b>397</b>	<b>25,9</b>	<b>2,738</b>	<b>26,579</b>

**Table 3.8.4: Performance rewards (cash bonus), by salary bands for personnel below Senior Management Service level, 1 April 2016 to 31 March 2017**

SALARY BANDS	BENEFICIARY PROFILE			COST		
	Number of beneficiaries	Total number of employees in group as at 31 March 2016	% of total within salary bands	Cost (R'000)	Average cost per beneficiary (R)	Cost as a % of the total personnel expenditure
<b>Lower skilled</b> (Levels 1-2)	1	3	33,3	8	7,596	0,005
<b>Skilled</b> (Levels 3-5)	10	56	17,9	88	8,836	0,1
<b>Highly skilled production</b> (Levels 6-8)	27	131	20,6	399	14,794	0,2

SALARY BANDS	BENEFICIARY PROFILE			COST		
	Number of beneficiaries	Total number of employees in group as at 31 March 2016	% of total within salary bands	Cost (R'000)	Average cost per beneficiary (R)	Cost as a % of the total personnel expenditure
<b>Highly skilled supervision</b> (Levels 9-12)	51	183	27,9	1,591	31,204	1,0
<b>TOTAL</b>	<b>89</b>	<b>373</b>	<b>23,9</b>	<b>2,086</b>	<b>23,447</b>	<b>1,3</b>

**Note:** The cost is calculated as a percentage of the total personnel expenditure for salary levels 1-12 employees, reflected in Table 3.1.2.

**Table 3.8.5:** Performance rewards (cash bonus), by salary band, for Senior Management Service level, 1 April 2016 to 31 March 2017

SALARY BANDS	BENEFICIARY PROFILE			COST		
	Number of beneficiaries	Total number of employees in group as at 31 March 2016	% of total within salary bands	Cost (R'000)	Average cost per beneficiary (R)	Cost as a % of the total personnel expenditure
<b>Senior Management Service Band A</b> (Level 13)	8	18	44,4	317	39,666	1,2
<b>Senior Management Service Band B</b> (Level 14)	5	5	100	216	43,285	0,8
<b>Senior Management Service Band C</b> (Level 15)	1	1	100	117	117,111	0,5
<b>TOTAL</b>	<b>14</b>	<b>24</b>	<b>58,3</b>	<b>650</b>	<b>46,490</b>	<b>2,5</b>

**Note:** The cost is calculated as a percentage of the total personnel expenditure for those employees at salary levels 13-16, reflected in Table 3.1.2.

**Table 3.8.6:** Performance rewards (cash bonus) by critical occupation, 1 April 2016 to 31 March 2017

CRITICAL OCCUPATION	BENEFICIARY PROFILE			COST		
	Number of beneficiaries	Total number of employees in group as at 31 March 2016	% of total within salary bands	Cost (R'000)	Average cost per beneficiary (R)	Cost as a % of total personnel expenditure
Environmental Officer	23	144	16,0	587	25,509	0,4
GIS	2	8	25,0	52	25,875	0,03
Town and Regional Planner	11	37	29,7	444	40,352	0,3
<b>TOTAL</b>	<b>36</b>	<b>189</b>	<b>19,0</b>	<b>1,083</b>	<b>30,065</b>	<b>0,7</b>

### 3.9 FOREIGN WORKERS

The tables below summarise the employment of foreign nationals in the Department in terms of salary bands (Table 3.9.1) and major occupation (Table 3.9.2). The tables also summarise changes in the total number of foreign workers in each salary band and by each major occupation.

**Table 3.9.1:** Foreign Workers by salary band, 1 April 2016 to 31 March 2017

SALARY BAND	1 APRIL 2016		31 MARCH 2017		CHANGE	
	Number	% of total	Number	% of total	Number	% change
None						

**Note** The table above excludes non- citizens with permanent residence in the Republic of South Africa.

**Table 3.9.2: Foreign Workers by major occupation, 1 April 2016 to 31 March 2017**

MAJOR OCCUPATION	1 APRIL 2016		31 MARCH 2017		CHANGE	
	Number	% of total	Number	% of total	Number	% change
None						

**Note:** The table above excludes non- citizens with permanent residence in the Republic of South Africa.

### 3.10 LEAVE UTILISATION FOR THE PERIOD 1 JANUARY 2016 TO 31 DECEMBER 2016

The following tables provide an indication of the use of sick leave (Table 3.10.1) and incapacity leave (Table 3.10.2). In both instances, the estimated cost of the leave is also provided.

**Table 3.10.1: Sick leave, 1 January 2016 to 31 December 2016**

SALARY BAND	TOTAL DAYS	% DAYS WITH MEDICAL CERTIFICATION	NUMBER OF EMPLOYEES USING SICK LEAVE	TOTAL NUMBER OF EMPLOYEES	% OF TOTAL EMPLOYEES USING SICK LEAVE	AVERAGE DAYS PER EMPLOYEE	ESTIMATED COST (R'000)
<b>Lower skilled</b> (Levels 1-2)	49	95,9	3	4	75,0	16	17
<b>Skilled</b> (Levels 3-5)	501	78,2	59	62	95,2	8	283
<b>Highly skilled production</b> (Levels 6-8)	1 078	78,7	114	125	91,2	9	1,014
<b>Highly skilled supervision</b> (Levels 9-12)	1 465	74,4	180	200	90,0	8	2,370
<b>Senior Management</b> (Level 13)	78	69,2	15	24	62,5	5	214
<b>TOTAL</b>	<b>3 171</b>	<b>76,7</b>	<b>371</b>	<b>415</b>	<b>89,4</b>	<b>9</b>	<b>3,898</b>

**Note:** The three-year sick leave cycle started in January 2016 and ends in December 2018. The information in each case reflects the totals excluding incapacity leave taken by employees. For an indication of incapacity leave taken, refer to Table 3.10.2.

**Table 3.10.2: Incapacity leave, 1 January 2016 to 31 December 2016**

SALARY BAND	TOTAL DAYS	% DAYS WITH MEDICAL CERTIFICATION	NUMBER OF EMPLOYEES USING INCAPACITY LEAVE	TOTAL NUMBER OF EMPLOYEES	% OF TOTAL EMPLOYEES USING INCAPACITY LEAVE	AVERAGE DAYS PER EMPLOYEE	ESTIMATED COST (R'000)
<b>Lower skilled</b> (Levels 1-2)	109	100	1	4	25	109	37
<b>Skilled</b> (Levels 3-5)	-	-	-	62	-	-	-
<b>Highly skilled production</b> (Levels 6-8)	27	100	1	125	0,8	27	30
<b>Highly skilled supervision</b> (Levels 9-12)	38	100	3	200	1,5	13	58
<b>Senior Management</b> (Level 13)	-	-	-	24	-	-	-
<b>TOTAL</b>	<b>174</b>	<b>100</b>	<b>5</b>	<b>415</b>	<b>1,2</b>	<b>35</b>	<b>125</b>

**Note:** The leave dispensation as determined in the "Leave Determination", read with the applicable collective agreements, provides for normal sick leave of 36 working days in a sick leave cycle of three years. If an employee has exhausted his or her normal sick leave, the employer must conduct an investigation into the nature and extent of the employee's incapacity. Such investigations must be carried out in accordance with item 10(1) of Schedule 8 of the Labour Relations Act (LRA).

Incapacity leave is not an unlimited amount of additional sick leave days at an employee's disposal. Incapacity leave is additional sick leave granted conditionally at the employer's discretion, as provided for in the Leave Determination and Policy on Incapacity Leave and Ill-Health Retirement (PILIR).

**Table 3.10.3: Annual Leave, 1 January 2016 to 31 December 2016**

SALARY BAND	TOTAL DAYS TAKEN	TOTAL NUMBER EMPLOYEES USING ANNUAL LEAVE	AVERAGE NUMBER OF DAYS TAKEN PER EMPLOYEE
<b>Lower skilled</b> (Levels 1-2)	67	3	22
<b>Skilled</b> (Levels 3-5)	1,125	64	18
<b>Highly skilled production</b> (Levels 6-8)	2,514	125	20
<b>Highly skilled supervision</b> (Levels 9-12)	4,592	199	23
<b>Senior Management</b> (Level 13)	571	24	24
<b>TOTAL</b>	<b>8,869</b>	<b>415</b>	<b>21</b>

**Table 3.10.4: Capped leave, 1 January 2016 to 31 December 2016**

SALARY BAND	TOTAL CAPPED LEAVE AVAILABLE AS AT 31 DEC 2015	TOTAL DAYS OF CAPPED LEAVE TAKEN	NUMBER OF EMPLOYEES USING CAPPED LEAVE	AVERAGE NUMBER OF DAYS TAKEN PER EMPLOYEE	NUMBER OF EMPLOYEES WITH CAPPED LEAVE AS AT 31 DEC 2015	TOTAL CAPPED LEAVE AVAILABLE AS AT 31 DEC 2016
<b>Lower skilled</b> (Levels 1-2)	-	-	-	-	-	-
<b>Skilled</b> (Levels 3-5)	36	-	-	-	4	36
<b>Highly skilled production</b> (Levels 6-8)	229	2	1	2	10	227
<b>Highly skilled supervision</b> (Levels 9-12)	762	51	4	13	24	711
<b>Senior Management</b> (Level 13)	365	-	-	-	8	365
<b>TOTAL</b>	<b>1,393</b>	<b>53</b>	<b>5</b>	<b>11</b>	<b>46</b>	<b>1,340</b>

**Note:** It is possible for the total number of capped leave days to increase as employees who were promoted or transferred into the Department, retain their capped leave credits, which form part of that specific salary band and ultimately the departmental total.

Table 3.10.5 summarises capped- and annual leave payments made to employees as a result of non-utilisation.

**Table 3.10.5: Leave pay-outs, 1 April 2016 to 31 March 2017**

REASON	TOTAL AMOUNT (R'000)	NUMBER OF INCIDENTS	AVERAGE PAYMENT PER INCIDENT
Leave pay-outs during 2016/17 due to non-utilisation of leave for the previous cycle	-	-	-
Capped leave pay-outs on termination of service for 2016/17	6	1	5,915
Current leave pay-outs on termination of service 2016/17	175	23	7,600
<b>TOTAL</b>	<b>181</b>	<b>24</b>	<b>7,530</b>

### 3.11 HEALTH PROMOTION PROGRAMMES, INCLUDING HIV AND AIDS

**Table 3.11.1:** Steps taken to reduce the risk of occupational exposure, 1 April 2016 to 31 March 2017

UNITS/CATEGORIES OF EMPLOYEES IDENTIFIED TO BE AT HIGH RISK OF CONTRACTING HIV & RELATED DISEASES (IF ANY)	KEY STEPS TAKEN TO REDUCE THE RISK
The nature of the Department's work does not expose employees to increased risk of contracting HIV & AIDS. Despite the very low occupational risk, all employees have been targeted at all levels within the Department.	<p>HIV &amp; AIDS Counselling and Testing (HCT) and Wellness screenings were conducted in general. The outsourced Health and Wellness contract for the Employee Health and Wellness Programme (EHWP) provides employees and their immediate family members (it means the spouse or partner of an employee or children living with an employee) with a range of services. These services include the following:</p> <ul style="list-style-type: none"> <li>• 24/7/365 Telephone counselling;</li> <li>• Face to face counselling (4 session model);</li> <li>• Trauma and critical incident counselling;</li> <li>• Advocacy on HIV&amp;AIDS awareness, including online E-Care services and</li> <li>• Training, coaching and targeted Interventions where these were required.</li> </ul>

**Table 3.11.2:** Details of Health Promotion including HIV & AIDS Programmes, 1 April 2016 to 31 March 2017

QUESTION	YES	NO	DETAILS, IF YES
1. Has the Department designated a member of the SMS to implement the provisions contained in Part VI E of Chapter 1 of the Public Service Regulations, 2001? If so, provide her/his name and position.	✓		Ms Reygana Shade, Director: Organisational Behaviour, (Department of the Premier).
2. Does the Department have a dedicated unit or has it designated specific staff members to promote the health and well-being of your employees? If so, indicate the number of employees who are involved in this task and the annual budget that is available for this purpose.	✓		<p>The Corporate Services Centre (CSC) within the Department of the Premier provides a transversal service to the eleven departments, including the Department of Environmental Affairs and Development Planning.</p> <p>A designated Employee Health and Wellness unit within the Directorate Organisational Behaviour and the Chief Directorate Organisation Development serves to promote the health and wellbeing of employees in the eleven client departments.</p> <p>The unit consists of a Deputy Director, three Assistant Directors, and two EHW Practitioners.</p> <p>Budget: R2,65 m</p>
3. Has the Department introduced an Employee Assistance or Health Promotion Programme for employees? If so, indicate the key elements/services of this Programme.	✓		<p>The Department has entered into a service level agreement with ICAS (external service provider) to render an Employee Health and Wellness Service to the eleven departments of the Corporate Services Centre (CSC).</p> <p>The Department conducted interventions namely, Enhancing relationships Through Effective Communication, Stress and Resilience, Financial Management, Managerial Referral, Sexual Harassment, Financial Literacy &amp; Alternative Dispute Resolution (OCP), Diversity Management, Awareness Sessions (Employee Information session - EHW services), Eye Screening, Hearing Screening and E-Care Information desk), TB Screening and Testing and Coaching for employees.</p> <p>These interventions were planned based on the trends reported quarterly through the Employee Health and Wellness Programme (EHWP) reports provided by the service provider, ICAS, for the period 2016/17. The reports were based on the utilisation of the EHW services and management information in order to target appropriate interventions to address these trends.</p> <p>The targeted interventions were aimed at improving employee engagement through awareness and educational interventions that promote healthy lifestyles and coping skills. This involves presentations to create awareness and encourage employees to have a pro-active approach to limit the impact of these problems in the workplace. The above-mentioned interventions were conducted for the targeted departments, managers and supervisors as well as executive coaching for SMS members.</p> <p>The department also provided information sessions, as requested by various departments in the Western Cape Government (WCG) to inform employees of the EHW service, how to access the Employee Health and Wellness Programme (EHWP). Promotional material such as pamphlets, posters and brochures were distributed.</p>

QUESTION	YES	NO	DETAILS, IF YES
4. Has the Department established (a) committee(s) as contemplated in Part VI E.5 (e) of Chapter 1 of the Public Service Regulations, 2001? If so, please provide the names of the members of the committee and the stakeholder(s) that they represent.	✓		<p>The Provincial Employee Health and Wellness Steering Committee has been established with members nominated by each department.</p> <p>The Department of Environmental Affairs and Development Planning is represented by M Kroese and P Cloete.</p>
5. Has the Department reviewed its employment policies and practices to ensure that these do not unfairly discriminate against employees on the basis of their HIV status? If so, list the employment policies/practices so reviewed.	✓		<p>The Transversal Management Framework for Employee Health and Wellness Programmes in the Western Cape Government is in effect and was adopted by the Coordinating Chamber of the PSCBC for the Western Cape Province on 10 August 2005.</p> <p>In this regard, all employment policies make provision for fair practices, regardless of the HIV status of staff or applicants.</p> <p>During the period under review, the Department of the Premier has developed four Provincial Employee Health and Wellness Policies which were ratified and approved on 8th March 2016. One of the policies, HIV &amp; AIDS and TB Management, responds to the prevention of discrimination against employees affected and infected by HIV &amp; AIDS and TB in the workplace.</p> <p>Further to this, the Department of Health, that is the lead department for HIV &amp; AIDS, has approved the Transversal HIV and AIDS/STI Workplace Policy and Programme that is applicable to all departments of the Western Government. The document is in line with the four pillars of the EHW Strategic Framework 2008.</p>
6. Has the Department introduced measures to protect HIV-positive employees or those perceived to be HIV-positive from discrimination? If so, list the key elements of these measures.	✓		<p>The Department implemented the Provincial Strategic Plan on HIV &amp; AIDS, STIs and TB 2012-2016 to mainstream HIV and TB and its gender and rights-based dimensions into the core mandates to reduce HIV-related stigma.</p> <p>The overarching aim of the said Provincial Strategic Plan is to protect HIV-positive employees by advocating the implementation of the Three Zeros in line with the Joint United Nations Programme on HIV &amp; AIDS (UNAIDS). These are Educational programmes and information sessions developed to eradicate stigma and discrimination and to raise awareness through:</p> <ul style="list-style-type: none"> <li>• Zero new HIV, STI and TB infections</li> <li>• Zero deaths associated with HIV and TB</li> <li>• Zero discrimination</li> </ul> <p>Also, the department has conducted HCT and Wellness screenings to ensure that every employee in the department is tested for HIV and screened for TB, at least annually.</p> <p>The aim was to:</p> <ul style="list-style-type: none"> <li>• Reduce HIV and TB discrimination in the workplace. This included campaigns against unfair discrimination and empowerment of employees.</li> <li>• Reduce unfair discrimination in access to services. This included ensuring that the Directorate Employee Relations addresses complaints or grievances relating to unfair discrimination and provides training to employees.</li> </ul> <p>Other key elements that addressed anti HIV &amp; AIDS discrimination issues were:</p> <ul style="list-style-type: none"> <li>• conducting Wellness and TB Screenings with specific requests from departments;</li> <li>• distributing posters and pamphlets;</li> <li>• providing HCT and TB Screenings, condom distribution and spot talks; and</li> <li>• commemoration of World AIDS Day and Wellness events.</li> </ul>
7. Does the Department encourage its employees to undergo HIV counselling and testing (HCT)? If so, list the results that you have achieved.	✓		<p><b>HCT SESSIONS:</b></p> <p>The following screening sessions were conducted:</p> <p>Blood pressure, Glucose, Cholesterol, TB, BMI [body mass index] and spot talks.</p> <p>The Department of Environmental Affairs and Development Planning participated in <b>5</b> HCT and Wellness screening sessions.</p> <p><b>138</b> Employees were tested and counselled for HIV, Tuberculosis and Sexually Transmitted Infections (STI's).</p> <p>There were <b>0</b> clinical referrals for TB, HIV or any other STIs.</p>

QUESTION	YES	NO	DETAILS, IF YES
8. Has the Department developed measures/ indicators to monitor & evaluate the impact of its health promotion programme? If so, list these measures/indicators.	✓		<p>The impact of health promotion programmes is indicated through information provided through the Employee Health and Wellness Contract (external service provider).</p> <p>The Employee Health and Wellness Programme (EHWP) is monitored through Quarterly and Annual reporting. This reporting is provided by (ICAS). The most recent annual health review period was 1 April 2016 – 31 March 2017.</p> <p>The quarterly and annual review provides a breakdown of the EHWP Demographic i.e. age, gender, length of service, dependent utilisation, language utilisation, employee vs. manager utilisation, number of cases, etc.</p> <p>The review further provides, amongst others, details pertaining to service utilisation, problem profiling and trending, assessment of employee and organisational risk and the impact thereof on the individual functioning in the workplace.</p>

### 3.12 LABOUR RELATIONS

The following provincial collective agreements were entered into with trade unions for the period under review.

**Table 3.12.1: Collective agreements, 1 April 2016 to 31 March 2017**

TOTAL COLLECTIVE AGREEMENTS
None

**Table 3.12.2: Misconduct and disciplinary hearings finalised, 1 April 2016 to 31 March 2017**

OUTCOMES OF DISCIPLINARY HEARINGS	NUMBER OF CASES FINALISED	% OF TOTAL
None		

**Table 3.12.3: Types of misconduct addressed at disciplinary hearings, 1 April 2016 to 31 March 2017**

TYPE OF MISCONDUCT	NUMBER	% OF TOTAL
None		

**Table 3.12.4: Grievances lodged, 1 April 2016 to 31 March 2017**

GRIEVANCES LODGED	NUMBER	% OF TOTAL
Number of grievances resolved	4	50
Number of grievances not resolved	4	50
<b>TOTAL NUMBER OF GRIEVANCES LODGED</b>	<b>8</b>	<b>100</b>

**Note:** Grievances lodged refers to cases that were finalised within the reporting period. Grievances not resolved refers to cases finalised, but where the outcome was not in favour of the aggrieved and found to be unsubstantiated.

**Table 3.12.5: Disputes lodged with Councils, 1 April 2016 to 31 March 2017**

DISPUTES LODGED WITH COUNCILS	NUMBER	% OF TOTAL
None		

**Note:** Councils refer to the Public Service Coordinating Bargaining Council (PSCBC) and General Public Service Sector Bargaining Council (GPSSBC). When a dispute is “upheld”, it means that the Council endorses the appeal as legitimate and credible in favour of the aggrieved. When a dispute is “dismissed”, it means that the Council is not ruling in favour of the aggrieved.

**Table 3.12.6: Strike actions, 1 April 2016 to 31 March 2017**

STRIKE ACTIONS	NUMBER
None	



**Table 3.12.7: Precautionary suspensions, 1 April 2016 to 31 March 2017**

PRECAUTIONARY SUSPENSIONS	NUMBER
None	

**Note:** Precautionary suspensions refer to staff who were suspended with full pay, whilst the case was being investigated.

### 3.13 SKILLS DEVELOPMENT

This section highlights the efforts of the Department with regard to skills development. Table 3.13.1 reflect the training needs as at the beginning of the period under review, and Table 3.13.2 the actual training provided.

**Table 3.13.1: Training needs identified, 1 April 2016 to 31 March 2017**

OCCUPATIONAL CATEGORIES	GENDER	NUMBER OF EMPLOYEES AS AT 1 APRIL 2016	TRAINING NEEDS IDENTIFIED AT START OF REPORTING PERIOD			
			Learnerships	Skills Programmes & other short courses	Other forms of training	TOTAL
Legislators, senior officials and managers	Female	6	-	20	-	20
	Male	18	-	18	-	18
Professionals	Female	100	-	229	-	229
	Male	95	-	238	-	239
Technicians and associate professionals	Female	27	-	64	-	64
	Male	13	-	25	-	25
Clerks	Female	81	-	138	-	138
	Male	35	-	79	-	79
Service and sales workers	Female	-	-	-	-	-
	Male	-	-	-	-	-
Skilled agriculture and fishery workers	Female	-	-	-	-	-
	Male	-	-	-	-	-
Craft and related trades workers	Female	-	-	-	-	-
	Male	-	-	-	-	-
Plant and machine operators and assemblers	Female	-	-	-	-	-
	Male	2	-	1	-	1
Elementary occupations	Female	4	-	10	-	10
	Male	-	-	-	-	-
<b>SUB TOTAL</b>	<b>Female</b>	218	-	531	-	531
	<b>Male</b>	163	-	361	-	361
<b>TOTAL</b>		<b>381</b>	<b>-</b>	<b>892</b>	<b>-</b>	<b>892</b>
Employees with disabilities	Female	3	-	-	-	-
	Male	5	-	-	-	-

**Note:** The above table identifies the training needs at the start of the reporting period as per the Department's Workplace Skills Plan.

**Table 3.13.2: Training provided, 1 April 2016 to 31 March 2017**

OCCUPATIONAL CATEGORIES	GENDER	NUMBER OF EMPLOYEES AS AT 1 APRIL 2016	TRAINING NEEDS IDENTIFIED AT START OF REPORTING PERIOD			
			Learnerships	Skills Programmes & other short courses	Other forms of training	TOTAL
Legislators, senior officials and managers	Female	6	-	5	-	<b>5</b>
	Male	18	-	15	-	<b>15</b>
Professionals	Female	105	-	66	-	<b>66</b>
	Male	93	-	54	-	<b>54</b>
Technicians and associate professionals	Female	28	-	21	-	<b>21</b>
	Male	12	-	13	-	<b>13</b>
Clerks	Female	80	-	65	-	<b>65</b>
	Male	33	-	35	-	<b>35</b>
Service and sales workers	Female	-	-	-	-	<b>-</b>
	Male	-	-	-	-	<b>-</b>
Skilled agriculture and fishery workers	Female	-	-	-	-	<b>-</b>
	Male	-	-	-	-	<b>-</b>
Craft and related trades workers	Female	-	-	-	-	<b>-</b>
	Male	-	-	-	-	<b>-</b>
Plant and machine operators and assemblers	Female	-	-	-	-	<b>-</b>
	Male	2	-	-	-	<b>-</b>
Elementary occupations	Female	4	-	1	-	<b>1</b>
	Male	-	-	-	-	<b>-</b>
<b>SUB TOTAL</b>	<b>Female</b>	<b>223</b>	<b>-</b>	<b>158</b>	<b>-</b>	<b>158</b>
	<b>Male</b>	<b>158</b>	<b>-</b>	<b>117</b>	<b>-</b>	<b>117</b>
<b>TOTAL</b>		<b>381</b>	<b>-</b>	<b>275</b>	<b>-</b>	<b>275</b>
Employees with disabilities	Female	3	-	-	-	<b>-</b>
	Male	4	-	-	-	<b>-</b>

**Note:** The above table identifies the number of training courses attended by individuals during the period under review.

### 3.14 INJURY ON DUTY

Table 4.14.1 provides basic information on injuries sustained whilst being on official duty.

**Table 3.14.1: Injury on duty, 1 April 2016 to 31 March 2017**

NATURE OF INJURY ON DUTY	NUMBER	% OF TOTAL
Required basic medical attention only	5	100
Temporary disablement	-	-
Permanent disablement	-	-
Fatal	-	-
<b>TOTAL</b>	<b>5</b>	<b>100</b>
Percentage of total employment		<b>1,1</b>

### 3.15 UTILISATION OF CONSULTANTS

**Table 3.15.1** Consultant appointments using appropriated funds

PROGRAMME	CONSULTING FIRM	PROJECT TITLE	NATURE OF THE PROJECT	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON THE PROJECT	DURATION: WORK DAYS	CONTRACT VALUE IN RAND	TOTAL NUMBER OF PROJECTS	TOTAL INDIVIDUAL CONSULTANTS	BBBEE LEVEL
5	Royal Haskoning DHV (Pty) Ltd	The Technical Delinea- tion of both the Coastal Management Line; The EIA Development Setback Line, as well as an audit of Coastal Ac- cess in the Eden District Municipality	The Technical Delinea- tion of both the Coastal Management Line; The EIA Development Setback Line, as well as an audit of Coastal Access in the Eden District Municipality	1	365	2,087,570.49	1	11	2
5	EOH Coastal & Environ- mental Services	The State of the Coast	Develop a system for and conduct an assessment and report on The State of the Coast for the Western Cape Province	1	11	1,080,036.00	1	3	2
5	Royal Haskoning DHV (Pty) Ltd	Estuary Management Framework and Im- plementation Strategy for the Western Cape Province	Development of an Estuary Management Framework and Implementation Strategy for the Western Cape Province	1	269	6,999,850.23	1	12	2
5	Bigen Africa Services (Pty) Ltd	Breede River Estuary Flood line	The Coastal Vulnerabil- ity; Breede River Estuary Flood line De- termination Project	2	72	488,490.00	1	8	2
5	Bigen Africa Services (Pty) Ltd	Breede Estuary Survey	An Underwater Bathymetry Survey for the Breede Estuary	2	19	137,940.00	1	5	2
5	Cullwin Consulting T/A Enact International	Coastal Access By-Law	The development of the Draft Model Coastal Access By-Law for the Western Cape in terms of the NEM: ICM Act	3	180	448,485.96	1	6	4
5	Errol Cerff	The Western Cape Coastal Access Strategy and Plan	The development of the Provincial Coastal Access Strategy and Plan in terms of the NEM: ICM Act	6	180	487,800.00	1	6	4
5	TOMA- Tomorrow Mat- ters Now(Pty) Ltd	Bitou agroforestry project	Implementation of the established Bitou agroforestry projects and natural products business concepts	1	80	184,135.00	1	1	1

PROGRAMME	CONSULTING FIRM	PROJECT TITLE	NATURE OF THE PROJECT	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON THE PROJECT	DURATION: WORK DAYS	CONTRACT VALUE IN RAND	TOTAL NUMBER OF PROJECTS	TOTAL INDIVIDUAL CONSULTANTS	BBBEE LEVEL
5	Jaymat Enviro Solutions	Alien clearing, restoration and Alien biomass economies	The development of a community of practice for alien clearing, restoration and alien biomass economies	2	80	371,526.00	1	2	1
5	Caroline Gelderblom Consulting	Sustainable harvesting of wild honey bush	The development of guidelines for the sustainable harvesting of wild honey bush	2	80	396,000.00	1	2	4
2	SRK Consulting (South Africa) (Pty) Ltd	Western Cape State of Environment Outlook Report (SoEOR)	Research on legislated tools that must be developed	1	43	1,760,546.00	1	10	4
4	Indaba Environmental Services	The Implementation Of The Berg And Breede River Riparian Rehabilitation Programme	Undertaking propagation of selected riparian plant species and managing the planting of species at designated sites for effective rehabilitation of the riparian zone, including the management and oversight of nursery and rehabilitation teams.	3	1,362	4,574,031.96	1	3	4
4	Greenhouse Systems Development	The Implementation Of The Langrug Genius Of Systems For People's Access To A Clean Environment (Space) Project	Implementing the design of a bioremediation system linked to the management of household disposal of greywater and treatment of contaminated low flow storm water, with the inclusion and development of a solid waste management upcycle value chain and Eco-precinct development through assisted funding schemes.	10	471	7,997,873.67	1	10	4

PROGRAMME	CONSULTING FIRM	PROJECT TITLE	NATURE OF THE PROJECT	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON THE PROJECT	DURATION: WORK DAYS	CONTRACT VALUE IN RAND	TOTAL NUMBER OF PROJECTS	TOTAL INDIVIDUAL CONSULTANTS	BBBEE LEVEL
4	Amanzi Obom Consulting	Establishing The Franschhoek Sustainable Urban Drainage Systems (Suds) Centre, In The Berg River Catchment	Undertake the design, fundraising and implementation requirements for the delivery of a facility which focuses on providing, research, training, demonstration and recreational facilities linked to integrated and sustainable water management practices.	3	527	3,897,659.00	1	5	4
7	Cndv Africa (Pty) Ltd	Built Environment Spatial Plan (BESP)	Mossel Bay Spatial Development Framework (SDF) BESP Round 5	5	25	756,914.00	1	13	4
4	SL ROTHGIESSER	Air Quality Management Plan Review & Public Participation Process Workshop	Following 5 years of implementation, a process was initiated to review the Western Cape Air Quality Management Plan 2010 (AQMP). A service provider was appointed to facilitate the Public Participation Process workshops (2015 and 2016), as part of this review. A Report of the PPP workshops was submitted, which contributed towards the development of the 2nd Generation AQMP.	1	21	99,130.30	1	1	4
4	Nova Institute	Air Quality - Health Risk assessment	Conduct Comprehensive Human Health Risk Assessment Study Within Identified Areas Across The Western Cape	13	241	10,706,401.31	1	13	3
4	Argos Scientific Africa (Pty) Ltd	Data management and reporting for the Air quality monitoring stations	Data Communications and Data Transfer for 1 Oct 2016 - 31 March 2017	4	24	185,448.68	1	4	4
4	SGS South Africa	Data management and reporting for the Air quality monitoring stations	Data Communications and Data Transfer for 1 Oct 2015 - 31 March 2016	4	24	193,341.41	1	4	4

PROGRAMME	CONSULTING FIRM	PROJECT TITLE	NATURE OF THE PROJECT	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON THE PROJECT	DURATION: WORK DAYS	CONTRACT VALUE IN RAND	TOTAL NUMBER OF PROJECTS	TOTAL INDIVIDUAL CONSULTANTS	BBBEE LEVEL
4	Isidima Design Development	Review of Water Management for the Western Cape	5 year review of the Sustainable Water Management Plan (SWMP) and identification of new priorities for implementation in the next 5 years.	4	31	497,211.00	1	10	4
4	Aurecon South Africa (Pty) Ltd	Declining water quality in the Breede River catchment	Current and future growth in the Breede River catchment, particularly in urban and peri-urban areas, unless well managed is likely to contribute to a significant increase in the financial and economic risk for the region due to deteriorating water quality. Management strategies would include significant investments in innovative technologies for improved treatment of waste water streams including rehabilitation of wetlands, riparian buffer strips, and other ecological infrastructure to complement investments in both centralised and decentralised physical infrastructure solutions.	2	143	199,299.36	1	7	2
2	SixBar Trading 705 cc T/A Future Works	Green Economy - Climate Change Economic Resilience	Appointment Of A Service Provider For The Assessment Of Economic Risks And Opportunities Of Climate Change Resilience In The Western Cape	8	1,108	2,236,566.00	1	8	2

PROGRAMME	CONSULTING FIRM	PROJECT TITLE	NATURE OF THE PROJECT	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON THE PROJECT	DURATION: WORK DAYS	CONTRACT VALUE IN RAND	TOTAL NUMBER OF PROJECTS	TOTAL INDIVIDUAL CONSULTANTS	BBBEE LEVEL
2	SixBar Trading 705 cc T/A Future Works	Western Cape Climate Change Response Strategy (2014)	The project examined three Western Cape Government policies for alignment with the transversal Western Cape Climate Change Response Strategy (2014) and made recommendations on how these policies could be updated to align better. The three policies were the Western Cape Disaster Management Framework (2010), the Integrated Waste Management Plan (2011) and the Provincial Strategic Plan (2014-2019)	2	228	200,697.00	1	3	2
7	Gibb (Pty) Ltd	Regional implementation framework for the West Coast	Develop a regional implementation framework For The West Coast / Greater Saldanha	11	210	2,456,643.00	1	11	4
7	Errol Cerff	Environmental Management Framework (EMF) - Mosselbay	Environmental consulting limited EMF for Mossel Bay	4	72	495,150.00	1	4	4
4	Jan Palm Consulting Engineers JPCE (Pty) Ltd	Municipal Integrated Waste Management Infrastructure	Assessment of the Integrated Waste Management Infrastructure for municipalities	8	282	1 882,651.63	1	8	3
4	MC Wicking-Baird	Data management and reporting for the Air quality monitoring stations	Data Communications and Data Transfer 1 April 2016 – 30 September 2016	1	24	193,341.41	1	4	4
7	Settlement Planning Services (W Cape) cc	Greater Cape Metro Regional Spatial Implementation Framework	Specialist Professional Environmental and Town Planning Services	1	131,25	3,289,801.00	1	14	4
4	AL Abbott & Associates	Laboratory services - Berg River Monitoring Program ( BRIP)	Laboratory Services for Water sampling for the Department	1	3	9,191.25	1	1	Non Contributor



PROGRAMME	CONSULTING FIRM	PROJECT TITLE	NATURE OF THE PROJECT	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON THE PROJECT	DURATION: WORK DAYS	CONTRACT VALUE IN RAND	TOTAL NUMBER OF PROJECTS	TOTAL INDIVIDUAL CONSULTANTS	BBEE LEVEL
4	CSIR Stellenbosch Analytical Laboratory	Berg River Monitoring Program (BRIP)	Water & Sediment monitoring & analysis for BRIP	1	They are not paid for days worked but for analyses done. -1620 water quality analyses were done. - 320 sediment analyses were done.	1,019,707.20	1	4	2
4	CSIR Stellenbosch Analytical Laboratory	Laboratory services - Berg River Monitoring Program (BRIP)	Laboratory services for water & sediment analysis for the Department	1	They are not paid for days worked but for analyses done. - 550 water quality analyses were done.	287,588.00	1	4	2
4	CSIR Stellenbosch Analytical Laboratory	Laboratory services - Berg River Monitoring Program (BRIP)	Laboratory services for water & sediment analysis for the Department	1	They are not paid for days worked but for analyses done	37,076.22	1	4	2
6	HS Business Solutions	Waste Entrepreneurs in Western Cape	Support Programme for Waste Entrepreneurs in Western Cape	77		358,000.00	1	4	4
5	Dept Of Cultural Affairs And Sport	Interdepartmental Claim - Translations	Claim to consultants for departmental translations						Not applicable
7	Planning Advisory Board members	Planning Advisory Board	Payments to Planning Advisory Board members						Not applicable

Image source: ©Shutterstock | Photosky  
West Coast Nature Reserve, Western Cape; South Africa.





# PART E

## FINANCIAL INFORMATION

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Report of the auditor-general to the Western Cape Provincial  
Parliament on vote no. 9: Western Cape Department of Environmental  
Affairs and Development Planning

**Report on the audit of the financial statements**

**Opinion**

1. I have audited the financial statements of the Western Cape Department of Environmental Affairs and Development Planning set out on pages 116 to 206, which comprise the appropriation statement, the statement of financial position as at 31 March 2017, and the statement of financial performance, statement of changes in net assets, and cash flow statement for the year then ended, as well as the notes to the financial statements, including a summary of significant accounting policies.
2. In my opinion, the financial statements present fairly, in all material respects, the financial position of the Western Cape Department of Environmental Affairs and Development Planning as at 31 March 2017, and its financial performance and cash flows for the year then ended in accordance with the Modified Cash Standards (MCS) prescribed by National Treasury and the requirements of the Public Finance Management Act, 1999 (Act 1 of 1999) (PFMA).

**Basis for opinion**

3. I conducted my audit in accordance with the International Standards on auditing (ISAs). My responsibilities under those standards are further described in the auditor-general's responsibilities for the audit of the financial statements section of my report.
4. I am independent of the department in accordance with the International Ethics Standards Board for Accountants' *Code of ethics for professional accountants* (IESBA code) and the ethical requirements that are relevant to my audit in South Africa. I have fulfilled my other ethical responsibilities in accordance with these requirements and the IESBA code.
5. I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my opinion.

**Other matter**

6. I draw attention to the matter below. My opinion is not modified in respect of this matter.

**Unaudited supplementary information**

7. The supplementary information set out on pages 207 to 217 does not form part of the financial statements and is presented as additional information. I have not audited these schedules and, accordingly, I do not express an opinion thereon.

**Responsibilities of the accounting officer for the financial statements**

8. The accounting officer is responsible for the preparation and fair presentation of the financial statements in accordance with the MCS prescribed by National Treasury and the requirements of the PFMA and for such internal control as the accounting officer determines is necessary to enable the preparation of the financial statements that are free from material misstatement, whether due to fraud or error.

9. In preparing the financial statements, the accounting officer is responsible for assessing the Western Cape Department of Environmental Affairs and Development Planning's ability to continue as a going concern, disclosing, as applicable, matters relating to going concern and using the going concern basis of accounting unless there is an intention either to liquidate the department or to cease operations, or there is no realistic alternative but to do so.

#### **Auditor-general's responsibilities for the audit of the financial statements**

10. My objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes my opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with the ISAs will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.
11. A further description of my responsibilities for the audit of the financial statements is included in the annexure to this auditor's report.

#### **Report on the audit of the annual performance report**

##### **Introduction and scope**

12. In accordance with the Public Audit Act of South Africa, 2004 (Act No. 25 of 2004) (PAA) and the general notice issued in terms thereof, I have a responsibility to report material findings on the reported performance information against predetermined objectives for selected programmes presented in the annual performance report. I performed procedures to identify findings but not to gather evidence to express assurance.
13. My procedures address the reported performance information, which must be based on the approved performance planning documents of the department. I have not evaluated the completeness and appropriateness of the performance indicators included in the planning documents. My procedures also did not extend to any disclosures or assertions relating to planned performance strategies and information in respect of future periods that may be included as part of the reported performance information. Accordingly, my findings do not extend to these matters.
14. I evaluated the usefulness and reliability of the reported performance information in accordance with the criteria developed from the performance management and reporting framework, as defined in the general notice, for the following selected programmes presented in the annual performance report of the department for the year ended 31 March 2017:

<b>Programme</b>	<b>Pages in the annual performance report</b>
Programme 3: compliance and enforcement	44 – 45
Programme 4: environmental quality management	47 – 50
Programme 5: biodiversity management	52 – 53

15. I performed procedures to determine whether the reported performance information was properly presented and whether performance was consistent with the approved performance planning documents. I performed further procedures to determine whether the indicators and

related targets were measurable and relevant, and assessed the reliability of the reported performance information to determine whether it was valid, accurate and complete.

16. I did not raise any material findings on the usefulness and reliability of the reported performance information for the following programmes:

- Programme 3: compliance and enforcement
- Programme 4: environmental quality management
- Programme 5: biodiversity management

#### **Other matters**

17. I draw attention to the matters below.

#### **Achievement of planned targets**

18. Refer to the annual performance report on pages 43 to 54 for information on the achievement of planned targets for the year and explanations provided for the under and overachievement of targets.

#### **Unaudited supplementary information**

19. The supplementary information set out on pages 23 to 37 and 63 to 66 does not form part of the annual performance report and is presented as additional information. I have not audited this information and, accordingly, I do not express a conclusion on them.

### **Report on audit of compliance with legislation**

#### **Introduction and scope**

20. In accordance with the PAA and the general notice issued in terms thereof, I have a responsibility to report material findings on the compliance of the department with specific matters in key legislation. I performed procedures to identify findings but not to gather evidence to express assurance.

21. I did not identify any instances of material non-compliance in respect of the compliance criteria for the applicable subject matters.

### **Other information**

22. The department's accounting officer is responsible for the other information. The other information comprises the information included in the annual report. The other information does not include the financial statements, the auditor's report and those selected programmes presented in the annual performance report that have been specifically reported in the auditor's report.

23. My opinion on the financial statements and findings on the reported performance information and compliance with legislation do not cover the other information and I do not express an audit opinion or any form of assurance conclusion thereon.

24. In connection with my audit, my responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements and the selected programmes presented in the annual performance report, or my knowledge obtained in the audit, or otherwise appears to be materially misstated. If, based on the work I have performed on the other information obtained prior to the date of this auditor's report, I conclude that there is a material misstatement of this other information, I am required to report that fact.

#### Internal control deficiencies

25. I considered internal control relevant to my audit of the financial statements, reported performance information and compliance with applicable legislation; however, my objective was not to express any form of assurance thereon. I did not identify any significant deficiencies in internal control.

*Auditor-General*  
2

Cape Town

31 July 2017



AUDITOR-GENERAL  
SOUTH AFRICA

Auditing to build public confidence



## **Annexure-auditor-general's responsibility for the audit**

1. As part of an audit in accordance with the ISAs, I exercise professional judgement and maintain professional scepticism throughout my audit of the financial statements, and the procedures performed on reported performance information for selected programmes and on the department's compliance with respect to the selected subject matters.

### **Financial statements**

2. In addition to my responsibility for the audit of the financial statements as described in the auditor's report, I also:
  - identify and assess the risks of material misstatement of the financial statements whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for my opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.
  - obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the department's internal control.
  - evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by the accounting officer.
  - conclude on the appropriateness of the accounting officer's use of the going concern basis of accounting in the preparation of the financial statements. I also conclude, based on the audit evidence obtained, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the department's ability to continue as a going concern. If I conclude that a material uncertainty exists, I am required to draw attention in my auditor's report to the related disclosures in the financial statements about the material uncertainty or, if such disclosures are inadequate, to modify the opinion on the financial statements. My conclusions are based on the information available to me at the date of the auditor's report. However, future events or conditions may cause the department to cease operating as a going concern.
  - evaluate the overall presentation, structure and content of the financial statements, including the disclosures, and whether the financial statements represent the underlying transactions and events in a manner that achieves fair presentation.

### **Communication with those charged with governance**

3. I communicate with the accounting officer regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that I identify during my audit.
4. I also confirm to the accounting officer that I have complied with relevant ethical requirements regarding independence, and communicate all relationships and other matters that may reasonably be thought to have a bearing on my independence and where applicable, related safeguards.

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
**VOTE 9**  
**APPROPRIATION STATEMENT**  
**for the year ended 31 March 2017**

**APPROPRIATION PER PROGRAMME**

2016/17										2015/16	
PROGRAMME	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure		
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000		
1. Administration	61,762	-	874	62,636	62,143	493	99.2%	60,028	59,271		
2. Environmental Policy, Planning and Coordination	17,855	-	-	17,855	16,970	885	95.0%	18,524	17,667		
3. Compliance and Enforcement	23,488	-	256	23,744	23,368	376	98.4%	23,584	23,340		
4. Environmental Quality Management	89,043	-	(1,130)	87,913	86,212	1,701	98.1%	77,288	76,746		
5. Biodiversity Management	264,819	-	-	264,819	264,668	151	99.9%	265,592	263,570		
6. Environmental Empowerment Services	2,148	-	-	2,148	1,851	297	86.2%	1,417	1,295		
7. Development Planning	84,584	-	-	84,584	82,738	1,846	97.8%	63,043	60,759		
TOTAL	543,699	-	-	543,699	537,950	5,749	98.9%	509,476	502,648		
Reconciliation with statement of financial performance											
ADD											
Departmental receipts					4,298			2,118			
Actual amounts per statement of financial performance (total revenue)					547,997			511,594			
Actual amounts per statement of financial performance (total expenditure)					537,950				502,648		

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
**VOTE 9**  
**APPROPRIATION STATEMENT**  
**for the year ended 31 March 2017**

**APPROPRIATION PER ECONOMIC CLASSIFICATION**

2016/17										2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure		
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000		
CURRENT PAYMENTS	250,471	(1,312)	-	249,159	243,488	5,671	97.7%	231,841	225,170		
COMPENSATION OF EMPLOYEES	192,036	-	-	192,036	190,418	1,618	99.2%	178,395	174,737		
Salaries and wages	169,829	(97)	-	169,732	168,168	1,564	99.1%	158,175	155,103		
Social contributions	22,207	97	-	22,304	22,250	54	99.8%	20,220	19,634		
GOODS AND SERVICES	58,435	(1,312)	-	57,123	53,070	4,053	92.9%	53,446	50,433		
Administrative fees	479	(111)	-	368	322	46	87.5%	516	516		
Advertising	734	499	753	1,986	1,986	-	100.0%	1,904	1,904		
Minor assets	293	(79)	-	214	214	-	100.0%	767	767		
Audit costs: External	3,288	614	-	3,902	3,902	-	100.0%	4,110	4,110		
Bursaries: Employees	226	(71)	-	155	155	-	100.0%	179	179		
Catering: Departmental activities	709	(92)	-	617	394	223	63.9%	698	640		
Communication (G&S)	1,029	(40)	-	989	952	37	96.3%	832	832		
Computer services	4,094	(137)	-	3,957	3,582	375	90.5%	3,184	3,184		
Consultants: Business and advisory services	27,861	(909)	(506)	26,446	24,183	2,263	91.4%	22,620	19,998		
Legal services	1,732	166	256	2,154	2,154	-	100.0%	1,983	1,983		
Contractors	3,668	(1,296)	(247)	2,125	1,761	364	82.9%	2,582	2,582		
Agency and support / outsourced services	-	-	-	-	-	-	-	128	128		
Entertainment	52	(29)	-	23	21	2	91.3%	12	12		
Fleet services (including Government Motor Transport)	1,442	(55)	-	1,387	1,359	28	98.0%	1,414	1,388		
Consumable supplies	1,409	(743)	(256)	410	406	4	99.0%	535	535		

CONTINUE...

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
**VOTE 9**  
**APPROPRIATION STATEMENT**  
**for the year ended 31 March 2017**

2016/17										2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure		
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000		
Consumable: Stationery, printing and office supplies Operating leases Transport provided: Departmental activity Travel and subsistence Training and development Operating payments Venues and facilities Rental and hiring	919	(8)	-	911	874	37	95.9%	1,225	1,197		
	1,209	16	-	1,225	1,225	-	100.0%	1,168	1,168		
	36	-	-	36	36	-	100.0%	34	34		
	5,779	82	-	5,861	5,613	248	95.8%	6,846	6,644		
	2,025	416	-	2,441	2,163	278	88.6%	1,684	1,627		
	1,209	155	-	1,364	1,248	116	91.5%	698	691		
	196	306	-	502	470	32	93.6%	277	264		
	46	4	-	50	50	-	100.0%	50	50		
	TRANSFERS AND SUBSIDIES	287,107	(8)	-	287,099	287,078	21	100.0%	270,896	270,896	
Provinces and municipalities	27,900	-	-	27,900	27,900	-	100.0%	10,150	10,150		
Municipalities	27,900	-	-	27,900	27,900	-	100.0%	10,150	10,150		
Municipal bank accounts	27,900	-	-	27,900	27,900	-	100.0%	10,150	10,150		
Departmental agencies and accounts	249,725	(7)	-	249,718	249,717	1	100.0%	253,400	253,400		
Departmental agencies	249,725	(7)	-	249,718	249,717	1	100.0%	253,400	253,400		
Public corporations and private enterprises	3,500	-	-	3,500	3,500	-	100.0%	-	-		
Public corporations	3,500	-	-	3,500	3,500	-	100.0%	-	-		
Other transfers to public corporations	3,500	-	-	3,500	3,500	-	100.0%	-	-		
Non-profit institutions	5,800	-	-	5,800	5,789	11	99.8%	7,202	7,202		
Households	182	(1)	-	181	172	9	95.0%	144	144		
Social benefits	151	30	-	181	172	9	95.0%	139	139		
Other transfers to households	31	(31)	-	-	-	-	-	5	5		

CONTINUE...

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
**VOTE 9**  
**APPROPRIATION STATEMENT**  
**for the year ended 31 March 2017**

2016/17										2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure		
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000		
PAYMENTS FOR CAPITAL ASSETS	5,802	1,200	-	7,002	6,945	57	99.2%	6,656	6,499		
Machinery and equipment	5,802	1,200	-	7,002	6,945	57	99.2%	6,139	5,982		
Transport equipment	2,720	(122)	-	2,598	2,598	-	100.0%	2,571	2,571		
Other machinery and equipment	3,082	1,322	-	4,404	4,347	57	98.7%	3,568	3,411		
Software and other intangible assets	-	-	-	-	-	-	-	517	517		
PAYMENTS FOR FINANCIAL ASSETS	319	120	-	439	439	-	100.0%	83	83		
TOTAL	543,699	-	-	543,699	537,950	5,749	98.9%	509,476	502,648		

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
**VOTE 9**  
**APPROPRIATION STATEMENT**  
**for the year ended 31 March 2017**

**PROGRAMME 1: ADMINISTRATION**

2016/17										2015/16	
SUB PROGRAMME	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure		
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000		
1. Office of the Provincial Minister of Local Government, Environmental Affairs and Development Planning	7,646	(298)	-	7,348	7,275	73	99,0%	7,339	7,339		
2. Senior Management	20,367	(226)	-	20,141	19,932	209	99,0%	19,219	18,986		
3. Corporate Services	18,920	186	874	19,980	19,779	201	99,0%	19,636	19,383		
4. Financial Management	14,829	338	-	15,167	15,157	10	99,9%	13,834	13,563		
TOTAL FOR SUB PROGRAMMES	61,762	-	874	62,636	62,143	493	99,2%	60,028	59,271		

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
**VOTE 9**  
**APPROPRIATION STATEMENT**  
**for the year ended 31 March 2017**

2016/17										2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure		
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000		
CURRENT PAYMENTS	58,404	210	874	59,488	58,995	493	99.2%	56,464	55,707		
COMPENSATION OF EMPLOYEES	48,504	-	-	48,504	48,011	493	99.0%	44,658	44,172		
Salaries and wages	43,050	(18)	-	43,032	42,553	479	98.9%	39,619	39,394		
Social contributions	5,454	18	-	5,472	5,458	14	99.7%	5,039	4,778		
GOODS AND SERVICES	9,900	210	874	10,984	10,984	-	100.0%	11,806	11,535		
Administrative fees	88	(35)	-	53	53	-	100.0%	80	80		
Advertising	344	423	874	1,641	1,641	-	100.0%	964	964		
Minor assets	216	(76)	-	140	140	-	100.0%	483	483		
Audit costs: External	3,228	615	-	3,843	3,843	-	100.0%	4,110	4,110		
Bursaries: Employees	226	(71)	-	155	155	-	100.0%	179	179		
Catering: Departmental activities	58	-	-	58	58	-	100.0%	86	86		
Communication (G&S)	389	(42)	-	347	347	-	100.0%	343	343		
Computer services	1,548	(78)	-	1,470	1,470	-	100.0%	1,673	1,673		
Consultants: Business and advisory services	64	11	-	75	75	-	100.0%	544	273		
Contractors	145	(129)	-	16	16	-	100.0%	92	92		
Agency and support / outsourced services	-	-	-	-	-	-	-	51	51		
Entertainment	26	(16)	-	10	10	-	100.0%	5	5		
Fleet services (including government motor transport)	440	(1)	-	439	439	-	100.0%	409	409		
Consumable supplies	111	3	-	114	114	-	100.0%	118	118		
Consumable: Stationery, printing and office supplies	273	15	-	288	288	-	100.0%	498	498		
Operating leases	585	(2)	-	583	583	-	100.0%	551	551		

CONTINUE...



**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
**VOTE 9**  
**APPROPRIATION STATEMENT**  
**for the year ended 31 March 2017**

2016/17										2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure		
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000		
Travel and subsistence	956	(276)	-	680	680	-	100.0%	981	981		
Training and development	664	(209)	-	455	455	-	100.0%	311	311		
Operating payments	508	81	-	589	589	-	100.0%	303	303		
Venues and facilities	31	(3)	-	28	28	-	100.0%	25	25		
TRANSFERS AND SUBSIDIES	82	(36)	-	46	46	-	100.0%	23	23		
Departmental agencies and accounts	5	(5)	-	-	-	-	-	4	4		
Departmental agencies (non-business entities)	5	(5)	-	-	-	-	-	4	4		
Households	77	(31)	-	46	46	-	100.0%	19	19		
Social benefits	46	-	-	46	46	-	100.0%	14	14		
Other transfers to households	31	(31)	-	-	-	-	-	5	5		
PAYMENTS FOR CAPITAL ASSETS	3,276	(209)	-	3,067	3,067	-	100.0%	3,526	3,526		
Machinery and equipment	3,276	(209)	-	3,067	3,067	-	100.0%	3,486	3,486		
Transport equipment	2,720	(122)	-	2,598	2,598	-	100.0%	2,571	2,571		
Other machinery and equipment	556	(87)	-	469	469	-	100.0%	915	915		
Software and other intangible assets	-	-	-	-	-	-	-	40	40		
PAYMENTS FOR FINANCIAL ASSETS	-	35	-	35	35	-	100.0%	15	15		
TOTAL	61,762	-	874	62,636	62,143	493	99.2%	60,028	59,271		

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**SUB PROGRAMME: 1.1: OFFICE OF THE PROVINCIAL MINISTER OF LOCAL GOVERNMENT, ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**

		2016/17					2015/16		
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>CURRENT PAYMENTS</b>	<b>7,325</b>	<b>(309)</b>	-	<b>7,016</b>	<b>6,943</b>	<b>73</b>	<b>99.0%</b>	<b>7,015</b>	<b>7,015</b>
Compensation of employees	6,520	-	-	<b>6,520</b>	<b>6,447</b>	73	98.9%	6,286	6,286
Goods and services	805	(309)	-	<b>496</b>	<b>496</b>	-	100.0%	729	729
<b>TRANSFERS AND SUBSIDIES</b>	<b>1</b>	<b>(1)</b>	-	-	-	-	-	<b>1</b>	<b>1</b>
Departmental agencies and accounts	1	(1)	-	-	-	-	-	1	1
<b>PAYMENTS FOR CAPITAL ASSETS</b>	<b>320</b>	<b>8</b>	-	<b>328</b>	<b>328</b>	-	<b>100.0%</b>	<b>315</b>	<b>315</b>
Machinery and equipment	320	8	-	<b>328</b>	<b>328</b>	-	100.0%	315	315
<b>PAYMENTS FOR FINANCIAL ASSETS</b>	<b>-</b>	<b>4</b>	-	<b>4</b>	<b>4</b>	-	<b>100.0%</b>	<b>8</b>	<b>8</b>
<b>TOTAL</b>	<b>7,646</b>	<b>(298)</b>	-	<b>7,348</b>	<b>7,275</b>	<b>73</b>	<b>99.0%</b>	<b>7,339</b>	<b>7,339</b>

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**SUB PROGRAMME: 1.2: SENIOR MANAGEMENT**

2016/17								2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
CURRENT PAYMENTS	20,270	(174)	-	20,096	19,887	209	99.0%	18,944	18,711
Compensation of employees	17,605	-	-	17,605	17,396	209	98.8%	16,426	16,193
Goods and services	2,665	(174)	-	2,491	2,491	-	100.0%	2,518	2,518
TRANSFERS AND SUBSIDIES	2	(2)	-	-	-	-	-	2	2
Departmental agencies and accounts	2	(2)	-	-	-	-	-	1	1
Households	-	-	-	-	-	-	-	1	1
PAYMENTS FOR CAPITAL ASSETS	95	(50)	-	45	45	-	100.0%	273	273
Machinery and equipment	95	(50)	-	45	45	-	100.0%	273	273
TOTAL	20,367	(226)	-	20,141	19,932	209	99.0%	19,219	18,986

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**SUB PROGRAMME: 1.3: CORPORATE SERVICES**

2016/17										2015/16		
ECONOMIC CLASSIFICATION		Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure		
		R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000		
CURRENT PAYMENTS		15,998	360	874	17,232	17,031	201	98.8%	16,967	16,714		
Compensation of employees		13,784	-	-	13,784	13,583	201	98.5%	13,596	13,343		
Goods and services		2,214	360	874	3,448	3,448	-	100.0%	3,371	3,371		
TRANSFERS AND SUBSIDIES		64	(33)	-	31	31	-	100.0%	8	8		
Departmental agencies and accounts		2	(2)	-	-	-	-	-	2	2		
Households		62	(31)	-	31	31	-	100.0%	6	6		
PAYMENTS FOR CAPITAL ASSETS		2,858	(172)	-	2,686	2,686	-	100.0%	2,654	2,654		
Machinery and equipment		2,858	(172)	-	2,686	2,686	-	100.0%	2,654	2,654		
PAYMENTS FOR FINANCIAL ASSETS		-	31	-	31	31	-	100.0%	7	7		
TOTAL		18,920	186	874	19,980	19,779	201	99.0%	19,636	19,383		

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**SUB PROGRAMME: 1.4: FINANCIAL MANAGEMENT**

2016/17								2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
CURRENT PAYMENTS	14,811	333	-	15,144	15,134	10	99.9%	13,538	13,267
Compensation of employees	10,595	-	-	10,595	10,585	10	99.9%	8,350	8,350
Goods and services	4,216	333	-	4,549	4,549	-	100.0%	5,188	4,917
TRANSFERS AND SUBSIDIES	15	-	-	15	15	-	100.0%	12	12
Households	15	-	-	15	15	-	100.0%	12	12
PAYMENTS FOR CAPITAL ASSETS	3	5	-	8	8	-	100.0%	284	284
Machinery and equipment	3	5	-	8	8	-	100.0%	244	244
Software and other intangible assets	-	-	-	-	-	-	-	40	40
TOTAL	14,829	338	-	15,167	15,157	10	99.9%	13,834	13,563

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**PROGRAMME 2: ENVIRONMENTAL POLICY, PLANNING AND COORDINATION**

2016/17										2015/16	
SUB PROGRAMME	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure		
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000		
1. Intergovernmental Coordination, Spatial and Development Planning	3,410	-	-	3,410	3,348	62	98.2%	3,064	3,024		
2. Legislative Development	-	-	-	-	-	-	-	499	499		
3. Research and Development Support	5,800	-	-	5,800	5,371	429	92.6%	8,342	7,775		
4. Environmental Information Management	3,359	34	-	3,393	3,390	3	99.9%	3,274	3,096		
5. Climate Change Management	5,286	(34)	-	5,252	4,861	391	92.6%	3,345	3,273		
TOTAL FOR SUB PROGRAMMES	17,855	-	-	17,855	16,970	885	95.0%	18,524	17,667		

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2016/17								2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
CURRENT PAYMENTS	17,670	-	-	17,670	16,794	876	95.0%	18,087	17,230
COMPENSATION OF EMPLOYEES	12,605	-	-	12,605	12,409	196	98.4%	12,426	11,569
Salaries and wages	11,100	(22)	-	11,078	10,882	196	98.2%	10,893	10,213
Social contributions	1,505	22	-	1,527	1,527	-	100.0%	1,533	1,356
GOODS AND SERVICES	5,065	-	-	5,065	4,385	680	86.6%	5,661	5,661
Administrative fees	42	(3)	-	39	27	12	69.2%	52	52
Advertising	-	6	-	6	6	-	100.0%	629	629
Minor assets	-	2	-	2	2	-	100.0%	47	47
Catering: Departmental activities	41	(19)	-	22	6	16	27.3%	26	26
Communication (G&S)	41	(6)	-	35	35	-	100.0%	23	23
Consultants: Business and advisory services	2,887	(154)	-	2,733	2,543	190	93.0%	2,939	2,939
Contractors	1,148	(378)	-	770	406	364	52.7%	701	701
Entertainment	5	(2)	-	3	1	2	33.3%	2	2
Fleet services (including government motor transport)	53	(7)	-	46	42	4	91.3%	34	34
Consumable supplies	13	(1)	-	12	12	-	100.0%	13	13
Consumable: Stationery, printing and office supplies	68	33	-	101	96	5	95.0%	125	125
Operating leases	44	-	-	44	44	-	100.0%	18	18
Travel and subsistence	505	11	-	516	476	40	92.2%	733	733
Training and development	107	146	-	253	228	25	90.1%	202	202
Operating payments	102	(16)	-	86	68	18	79.1%	117	117
Venues and facilities	9	388	-	397	393	4	99.0%	-	-

CONTINUE...



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2016/17								2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>TRANSFERS AND SUBSIDIES</b>	<b>12</b>	-	-	<b>12</b>	<b>12</b>	-	<b>100.0%</b>	<b>6</b>	<b>6</b>
Households	12	-	-	12	12	-	100.0%	6	6
Social benefits	12	-	-	12	12	-	100.0%	6	6
<b>PAYMENTS FOR CAPITAL ASSETS</b>	<b>29</b>	-	-	<b>29</b>	<b>20</b>	<b>9</b>	<b>69.0%</b>	<b>384</b>	<b>384</b>
Machinery and equipment	29	-	-	29	20	9	69.0%	384	384
Other machinery and equipment	29	-	-	29	20	9	69.0%	384	384
<b>PAYMENTS FOR FINANCIAL ASSETS</b>	<b>144</b>	-	-	<b>144</b>	<b>144</b>	-	<b>100.0%</b>	<b>47</b>	<b>47</b>
<b>TOTAL</b>	<b>17,855</b>	-	-	<b>17,855</b>	<b>16,970</b>	<b>885</b>	<b>95.0%</b>	<b>18,524</b>	<b>17,667</b>

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**SUB PROGRAMME: 2.1: INTERGOVERNMENTAL COORDINATION, SPATIAL AND DEVELOPMENT PLANNING**

2016/17								2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
CURRENT PAYMENTS	3,384	-	-	3,384	3,331	53	98.4%	2,984	2,944
Compensation of employees	3,210	-	-	3,210	3,165	45	98.6%	2,348	2,308
Goods and services	174	-	-	174	166	8	95.4%	636	636
PAYMENTS FOR CAPITAL ASSETS	26	-	-	26	17	9	65.4%	80	80
Machinery and equipment	26	-	-	26	17	9	65.4%	80	80
TOTAL	3,410	-	-	3,410	3,348	62	98.2%	3,064	3,024

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**SUB PROGRAMME: 2.2: LEGISLATIVE DEVELOPMENT**

		2016/17						2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>CURRENT PAYMENTS</b>	-	-	-	-	-	-	-	<b>499</b>	<b>499</b>
Goods and services	-	-	-	-	-	-	-	499	499
<b>TOTAL</b>	-	-	-	-	-	-	-	<b>499</b>	<b>499</b>

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**SUB PROGRAMME: 2.3: RESEARCH AND DEVELOPMENT SUPPORT**

2016/17							2015/16		
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>CURRENT PAYMENTS</b>	<b>5,744</b>	-	-	<b>5,744</b>	<b>5,315</b>	<b>429</b>	<b>92.5%</b>	<b>8,318</b>	<b>7,751</b>
Compensation of employees	3,071	-	-	<b>3,071</b>	<b>3,006</b>	65	97.9%	4,572	4,005
Goods and services	2,673	-	-	<b>2,673</b>	<b>2,309</b>	364	86.4%	3,746	3,746
<b>TRANSFERS AND SUBSIDIES</b>	-	-	-	-	-	-	-	<b>6</b>	<b>6</b>
Households	-	-	-	-	-	-	-	6	6
<b>PAYMENTS FOR CAPITAL ASSETS</b>	<b>2</b>	-	-	<b>2</b>	<b>2</b>	-	<b>100.0%</b>	<b>2</b>	<b>2</b>
Machinery and equipment	2	-	-	<b>2</b>	<b>2</b>	-	100.0%	2	2
<b>PAYMENT FOR FINANCIAL ASSETS</b>	<b>54</b>	-	-	<b>54</b>	<b>54</b>	-	<b>100.0%</b>	<b>16</b>	<b>16</b>
<b>TOTAL</b>	<b>5,800</b>	-	-	<b>5,800</b>	<b>5,371</b>	<b>429</b>	<b>92.6%</b>	<b>8,342</b>	<b>7,775</b>

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**SUB PROGRAMME: 2.4: ENVIRONMENTAL INFORMATION MANAGEMENT**

		2016/17						2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>CURRENT PAYMENTS</b>	<b>3,347</b>	<b>34</b>	-	<b>3,381</b>	<b>3,378</b>	<b>3</b>	<b>99.9%</b>	<b>2,974</b>	<b>2,796</b>
Compensation of employees	3,239	34	-	3,273	3,273	-	100.0%	2,792	2,614
Goods and services	108	-	-	108	105	3	97.2%	182	182
<b>TRANSFERS AND SUBSIDIES</b>	<b>12</b>	-	-	<b>12</b>	<b>12</b>	-	<b>100.0%</b>	-	-
Households	12	-	-	12	12	-	100.0%	-	-
<b>PAYMENTS FOR CAPITAL ASSETS</b>	-	-	-	-	-	-	-	<b>300</b>	<b>300</b>
Machinery and equipment	-	-	-	-	-	-	-	300	300
<b>TOTAL</b>	<b>3,359</b>	<b>34</b>	-	<b>3,393</b>	<b>3,390</b>	<b>3</b>	<b>99.9%</b>	<b>3,274</b>	<b>3,096</b>

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**SUB PROGRAMME: 2.5: CLIMATE CHANGE MANAGEMENT**

2016/17								2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>CURRENT PAYMENTS</b>	<b>5,195</b>	<b>(34)</b>	-	<b>5,161</b>	<b>4,770</b>	<b>391</b>	<b>92.4%</b>	<b>3,312</b>	<b>3,240</b>
Compensation of employees	3,085	(34)	-	3,051	2,965	86	97.2%	2,714	2,642
Goods and services	2,110	-	-	2,110	1,805	305	85.5%	598	598
<b>PAYMENTS FOR CAPITAL ASSETS</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>1</b>	<b>1</b>	<b>-</b>	<b>100.0%</b>	<b>2</b>	<b>2</b>
Machinery and equipment	1	-	-	1	1	-	100.0%	2	2
<b>PAYMENTS FOR FINANCIAL ASSETS</b>	<b>90</b>	<b>-</b>	<b>-</b>	<b>90</b>	<b>90</b>	<b>-</b>	<b>100.0%</b>	<b>31</b>	<b>31</b>
<b>TOTAL</b>	<b>5,286</b>	<b>(34)</b>	<b>-</b>	<b>5,252</b>	<b>4,861</b>	<b>391</b>	<b>92.6%</b>	<b>3,345</b>	<b>3,273</b>

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**PROGRAMME 3: COMPLIANCE AND ENFORCEMENT**

2016/17										2015/16	
SUB PROGRAMME	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure		
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000		
1. Environmental Quality Management, Compliance and Enforcement	23,488	-	256	23,744	23,368	376	98.4%	23,584	23,340		
TOTAL FOR SUB PROGRAMME	23,488	-	256	23,744	23,368	376	98.4%	23,584	23,340		



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2016/17										2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure		
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000		
CURRENT PAYMENTS	23,352	41	256	23,649	23,273	376	98.4%	23,137	22,893		
COMPENSATION OF EMPLOYEES	19,340	-	-	19,340	18,964	376	98.1%	19,065	18,821		
Salaries and wages	16,882	-	-	16,882	16,546	336	98.0%	17,059	16,818		
Social contributions	2,458	-	-	2,458	2,418	40	98.4%	2,006	2,003		
GOODS AND SERVICES	4,012	41	256	4,309	4,309	-	100.0%	4,072	4,072		
Administrative fees	48	8	-	56	56	-	100.0%	93	93		
Advertising	3	(2)	-	1	1	-	100.0%	2	2		
Minor assets	30	(6)	-	24	24	-	100.0%	70	70		
Catering: Departmental activities	18	(13)	-	5	5	-	100.0%	38	38		
Communication (G&S)	124	12	-	136	136	-	100.0%	103	103		
Computer services	762	(58)	-	704	704	-	100.0%	96	96		
Consultants: Business and advisory services	50	(50)	-	-	-	-	-	37	37		
Legal services	1,732	166	256	2,154	2,154	-	100.0%	1,983	1,983		
Contractors	-	-	-	-	-	-	-	2	2		
Entertainment	3	(2)	-	1	1	-	100.0%	1	1		
Fleet services (including government motor transport)	244	(1)	-	243	243	-	100.0%	313	313		
Consumable supplies	41	(25)	-	16	16	-	100.0%	87	87		
Consumable: Stationery, printing and office supplies	98	(10)	-	88	88	-	100.0%	68	68		
Operating leases	44	-	-	44	44	-	100.0%	44	44		
Travel and subsistence	577	65	-	642	642	-	100.0%	984	984		
Training and development	128	(58)	-	70	70	-	100.0%	101	101		

CONTINUE...

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
**VOTE 9**  
**APPROPRIATION STATEMENT**  
**for the year ended 31 March 2017**

2016/17								2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Operating payments	102	3	-	105	105	-	100.0%	50	50
Venues and facilities	8	12	-	20	20	-	100.0%	-	-
<b>TRANSFERS AND SUBSIDIES</b>	<b>15</b>	<b>1</b>	<b>-</b>	<b>16</b>	<b>16</b>	<b>-</b>	<b>100.0%</b>	<b>10</b>	<b>10</b>
Households	15	1	-	16	16	-	100.0%	10	10
Social benefits	15	1	-	16	16	-	100.0%	10	10
<b>PAYMENTS FOR CAPITAL ASSETS</b>	<b>100</b>	<b>(42)</b>	<b>-</b>	<b>58</b>	<b>58</b>	<b>-</b>	<b>100.0%</b>	<b>429</b>	<b>429</b>
Machinery and equipment	100	(42)	-	58	58	-	100.0%	429	429
Other machinery and equipment	100	(42)	-	58	58	-	100.0%	429	429
<b>PAYMENTS FOR FINANCIAL ASSETS</b>	<b>21</b>	<b>-</b>	<b>-</b>	<b>21</b>	<b>21</b>	<b>-</b>	<b>100.0%</b>	<b>8</b>	<b>8</b>
<b>TOTAL</b>	<b>23,488</b>	<b>-</b>	<b>256</b>	<b>23,744</b>	<b>23,368</b>	<b>376</b>	<b>98.4%</b>	<b>23,584</b>	<b>23,340</b>

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
**VOTE 9**  
**APPROPRIATION STATEMENT**  
**for the year ended 31 March 2017**

**SUB PROGRAMME: 3.1: ENVIRONMENTAL QUALITY MANAGEMENT COMPLIANCE AND ENFORCEMENT**

2016/17								2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>CURRENT PAYMENTS</b>	<b>23,352</b>	<b>41</b>	<b>256</b>	<b>23,649</b>	<b>23,273</b>	<b>376</b>	<b>98.4%</b>	<b>23,137</b>	<b>22,893</b>
Compensation of employees	19,340	-	-	19,340	18,964	376	98.1%	19,065	18,821
Goods and services	4,012	41	256	4,309	4,309	-	100.0%	4,072	4,072
<b>TRANSFERS AND SUBSIDIES</b>	<b>15</b>	<b>1</b>	<b>1</b>	<b>16</b>	<b>16</b>	<b>-</b>	<b>100.0%</b>	<b>10</b>	<b>10</b>
Households	15	1	1	16	16	-	100.0%	10	10
<b>PAYMENT FOR CAPITAL ASSETS</b>	<b>100</b>	<b>(42)</b>	<b>-</b>	<b>58</b>	<b>58</b>	<b>-</b>	<b>100.0%</b>	<b>429</b>	<b>429</b>
Machinery and equipment	100	(42)	-	58	58	-	100.0%	429	429
<b>PAYMENT FOR FINANCIAL ASSETS</b>	<b>21</b>	<b>-</b>	<b>-</b>	<b>21</b>	<b>21</b>	<b>-</b>	<b>100.0%</b>	<b>8</b>	<b>8</b>
<b>TOTAL</b>	<b>23,488</b>	<b>-</b>	<b>256</b>	<b>23,744</b>	<b>23,368</b>	<b>376</b>	<b>98.4%</b>	<b>23,584</b>	<b>23,340</b>

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
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**APPROPRIATION STATEMENT**  
**for the year ended 31 March 2017**

**PROGRAMME 4: ENVIRONMENTAL QUALITY MANAGEMENT**

2016/17										2015/16	
SUB PROGRAMME	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure		
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000		
1. Impact Management	23,643	524	-	24,167	24,167	-	100.0%	24,523	24,523		
2. Air Quality Management	17,890	362	(256)	17,996	17,325	671	96.3%	15,713	15,340		
3. Pollution and Waste Management	47,510	(886)	(874)	45,750	44,720	1,030	97.7%	37,052	36,883		
TOTAL FOR SUB PROGRAMME	89,043	-	(1,130)	87,913	86,212	1,701	98.1%	77,288	76,746		

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
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ECONOMIC CLASSIFICATION	2016/17						2015/16		
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
CURRENT PAYMENTS	82,717	(1,547)	(1,130)	80,040	78,339	1,701	97.9%	75,176	74,634
COMPENSATION OF EMPLOYEES	59,559	-	-	59,559	59,208	351	99.4%	55,991	55,449
Salaries and wages	52,104	(33)	-	52,071	51,720	351	99.3%	49,214	48,739
Social contributions	7,455	33	-	7,488	7,488	-	100.0%	6,777	6,710
GOODS AND SERVICES	23,158	(1,547)	(1,130)	20,481	19,131	1,350	93.4%	19,185	19,185
Administrative fees	120	(5)	-	115	115	-	100.0%	197	197
Advertising	121	-	(121)	-	-	-	-	201	201
Minor assets	34	2	-	36	36	-	100.0%	95	95
Catering: Departmental activities	152	(22)	-	130	94	36	72.3%	117	117
Communication (G&S)	265	(1)	-	264	264	-	100.0%	218	218
Computer services	1,684	(1)	-	1,683	1,408	275	83.7%	1,415	1,415
Consultants: Business and advisory services	13,581	(57)	(506)	13,018	12,135	883	93.2%	10,741	10,741
Contractors	2,112	(779)	(247)	1,086	1,086	-	100.0%	1,710	1,710
Entertainment	10	(6)	-	4	4	-	100.0%	1	1
Fleet services (including government motor transport)	535	(18)	-	517	517	-	100.0%	524	524
Consumable supplies	1,107	(626)	(256)	225	225	-	100.0%	202	202
Consumable: Stationery, printing and office supplies	203	(26)	-	177	177	-	100.0%	336	336
Operating leases	448	16	-	464	464	-	100.0%	470	470
Travel and subsistence	2,014	3	-	2,017	2,017	-	100.0%	2,387	2,387
Training and development	443	(53)	-	390	234	156	60.0%	316	316
Operating payments	226	52	-	278	278	-	100.0%	154	154

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**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
**VOTE 9**  
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2016/17										2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure		
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000		
Venues and facilities	57	(30)	-	27	27	-	100.0%	51	51		
Rental and hiring	46	4	-	50	50	-	100.0%	50	50		
TRANSFERS AND SUBSIDIES	4,065	27	-	4,092	4,092	-	100.0%	63	63		
Provinces and municipalities	500	-	-	500	500	-	100.0%	-	-		
Municipalities	500	-	-	500	500	-	100.0%	-	-		
Municipal bank accounts	500	-	-	500	500	-	100.0%	-	-		
Departmental agencies and accounts	2	(2)	-	-	-	-	-	3	3		
Departmental agencies (non-business entities)	2	(2)	-	-	-	-	-	3	3		
Public corporations and private enterprises	3,500	-	-	3,500	3,500	-	100.0%	-	-		
Public corporations	3,500	-	-	3,500	3,500	-	100.0%	-	-		
Other transfers to public corporations	3,500	-	-	3,500	3,500	-	100.0%	-	-		
Households	63	29	-	92	92	-	100.0%	60	60		
Social benefits	63	29	-	92	92	-	100.0%	60	60		
PAYMENTS FOR CAPITAL ASSETS	2,164	1,506	-	3,670	3,670	-	100.0%	2,039	2,039		
Machinery and equipment	2,164	1,506	-	3,670	3,670	-	100.0%	1,562	1,562		
Other machinery and equipment	2,164	1,506	-	3,670	3,670	-	100.0%	1,562	1,562		
Software and other intangible assets	-	-	-	-	-	-	-	477	477		
PAYMENTS FOR FINANCIAL ASSETS	97	14	-	111	111	-	100.0%	10	10		
TOTAL	89,043	-	(1,130)	87,913	86,212	1,701	98.1%	77,288	76,746		

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
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**SUB PROGRAMME: 4.1: IMPACT MANAGEMENT**

2016/17										2015/16	
ECONOMIC CLASSIFICATION		Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure	
		R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	
CURRENT PAYMENTS		23,523	525	-	24,048	24,048	-	100.0%	24,510	24,510	
Compensation of employees		22,310	415	-	22,725	22,725	-	100.0%	22,239	22,239	
Goods and services		1,213	110	-	1,323	1,323	-	100.0%	2,271	2,271	
TRANSFERS AND SUBSIDIES		21	(1)	-	20	20	-	100.0%	9	9	
Departmental agencies and accounts		1	(1)	-	-	-	-	-	1	1	
Households		20	-	-	20	20	-	100.0%	8	8	
PAYMENTS FOR CAPITAL ASSETS		3	-	-	3	3	-	100.0%	4	4	
Machinery and equipment		3	-	-	3	3	-	100.0%	4	4	
PAYMENT FOR FINANCIAL ASSETS		96	-	-	96	96	-	100.0%	-	-	
Total		23,643	524	-	24,167	24,167	-	100.0%	24,523	24,523	



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**SUB PROGRAMME: 4.2: AIR QUALITY MANAGEMENT**

2016/17							2015/16		
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
CURRENT PAYMENTS	16,246	(1,459)	(256)	14,531	13,860	671	95.4%	13,823	13,450
Compensation of employees	9,265	45	-	9,310	9,310	-	100.0%	8,126	7,753
Goods and services	6,981	(1,504)	(256)	5,221	4,550	671	87.1%	5,697	5,697
TRANSFERS AND SUBSIDIES	43	(9)	-	34	34	-	100.0%	37	37
Departmental agencies and accounts	-	-	-	-	-	-	-	1	1
Households	43	(9)	-	34	34	-	100.0%	36	36
PAYMENTS FOR CAPITAL ASSETS	1,601	1,824	-	3,425	3,425	-	100.0%	1,848	1,848
Machinery and equipment	1,601	1,824	-	3,425	3,425	-	100.0%	1,371	1,371
Software and other intangible assets	-	-	-	-	-	-	-	477	477
PAYMENTS FOR FINANCIAL ASSETS	-	6	-	6	6	-	100.0%	5	5
TOTAL	17,890	362	(256)	17,996	17,325	671	96.3%	15,713	15,340

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
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**SUB PROGRAMME: 4.3: POLLUTION AND WASTE MANAGEMENT**

2016/17										2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure		
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000		
CURRENT PAYMENTS	42,948	(613)	(874)	41,461	40,431	1,030	97.5%	36,843	36,674		
Compensation of employees	27,984	(460)	-	27,524	27,173	351	98.7%	25,626	25,457		
Goods and services	14,964	(153)	(874)	13,937	13,258	679	95.1%	11,217	11,217		
TRANSFERS AND SUBSIDIES	4,001	37	-	4,038	4,038	-	100.0%	17	17		
Provinces and municipalities	500	-	-	500	500	-	100.0%	-	-		
Departmental agencies and accounts	1	(1)	-	-	-	-	-	1	1		
Public corporation and private enterprises	3,500	-	-	3,500	3,500	-	100.0%	-	-		
Households	-	38	-	38	38	-	100.0%	16	16		
PAYMENTS FOR CAPITAL ASSETS	560	(318)	-	242	242	-	100.0%	187	187		
Machinery and equipment	560	(318)	-	242	242	-	100.0%	187	187		
PAYMENTS OF FINANCIAL ASSETS	1	8	-	9	9	-	100.0%	5	5		
TOTAL	47,510	(886)	(874)	45,750	44,720	1,030	97.7%	37,052	36,883		

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
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**PROGRAMME 5: BIODIVERSITY MANAGEMENT**

SUB PROGRAMME	2016/17							2015/16	
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Biodiversity and Protected Area Planning and Management	5,284	(162)	-	5,122	5,050	72	98.6%	7,043	5,070
2. Western Cape Nature Conservation Board	249,717	-	-	249,717	249,717	-	100.0%	253,392	253,392
3. Coastal Management	9,818	162	-	9,980	9,901	79	99.2%	5,157	5,108
<b>TOTAL FOR SUB PROGRAMME</b>	<b>264,819</b>	<b>-</b>	<b>-</b>	<b>264,819</b>	<b>264,668</b>	<b>151</b>	<b>99.9%</b>	<b>265,592</b>	<b>263,570</b>

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
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2016/17										2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure		
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000		
CURRENT PAYMENTS	14,212	-	-	14,212	14,070	142	99.0%	10,767	8,745		
COMPENSATION OF EMPLOYEES	7,446	-	-	7,446	7,431	15	99.8%	5,713	5,583		
Salaries and wages	6,498	10	-	6,508	6,493	15	99.8%	4,936	4,857		
Social contributions	948	(10)	-	938	938	-	100.0%	777	726		
GOODS AND SERVICES	6,766	-	-	6,766	6,639	127	98.1%	5,054	3,162		
Administrative fees	26	(3)	-	23	23	-	100.0%	33	33		
Advertising	266	-	-	266	266	-	100.0%	1	1		
Minor assets	-	-	-	-	-	-	-	11	11		
Catering: Departmental activities	43	(28)	-	15	15	-	100.0%	11	11		
Communication (G&S)	35	1	-	36	36	-	100.0%	23	23		
Consultants: Business and advisory services	5,822	(90)	-	5,732	5,605	127	97.8%	4,251	2,359		
Agency and support/outsourced services	-	-	-	-	-	-	-	77	77		
Entertainment	2	-	-	2	2	-	100.0%	-	-		
Fleet services (including government motor transport)	58	6	-	64	64	-	100.0%	46	46		
Consumable supplies	20	(18)	-	2	2	-	100.0%	4	4		
Consumable: Stationery, printing and office supplies	24	(7)	-	17	17	-	100.0%	10	10		
Operating leases	-	-	-	-	-	-	-	25	25		
Travel and subsistence	316	94	-	410	410	-	100.0%	413	413		
Training and development	38	61	-	99	99	-	100.0%	6	6		
Operating payments	113	(13)	-	100	100	-	100.0%	4	4		
Venues and facilities	3	(3)	-	-	-	-	-	139	139		

CONTINUE...

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
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2016/17										2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure		
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000		
TRANSFERS AND SUBSIDIES	250,526	-	-	250,526	250,517	9	100.0%	254,808	254,808		
Departmental agencies and accounts	249,717	-	-	249,717	249,717	-	100.0%	253,392	253,392		
Departmental agencies (non-business entities)	249,717	-	-	249,717	249,717	-	100.0%	253,392	253,392		
Non-profit institutions	800	-	-	800	800	-	100.0%	1,400	1,400		
Households	9	-	-	9	-	9	-	16	16		
Social benefits	9	-	-	9	-	9	-	16	16		
PAYMENTS FOR CAPITAL ASSETS	24	-	-	24	24	-	100.0%	14	14		
Machinery and equipment	24	-	-	24	24	-	100.0%	14	14		
Other machinery and equipment	24	-	-	24	24	-	100.0%	14	14		
PAYMENTS FOR FINANCIAL ASSETS	57	-	-	57	57	-	100.0%	3	3		
TOTAL	264,819	-	-	264,819	264,668	151	99.9%	265,592	263,570		

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
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**SUB PROGRAMME: 5.1: BIODIVERSITY AND PROTECTED AREA PLANNING AND MANAGEMENT**

2016/17										2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure		
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000		
CURRENT PAYMENTS	4,462	(163)	-	4,299	4,227	72	98.3%	5,627	3,654		
Compensation of employees	3,164	(163)	-	3,001	2,986	15	99.5%	2,317	2,236		
Goods and services	1,298	-	-	1,298	1,241	57	95.6%	3,310	1,418		
TRANSFERS AND SUBSIDIES	800	-	-	800	800	-	100.0%	1,400	1,400		
Non-profit institutions	800	-	-	800	800	-	100.0%	1,400	1,400		
PAYMENTS FOR CAPITAL ASSETS	22	1	-	23	23	-	100.0%	13	13		
Machinery and equipment	22	1	-	23	23	-	100.0%	13	13		
PAYMENTS FOR FINANCIAL ASSETS	-	-	-	-	-	-	-	3	3		
TOTAL	5,284	(162)	-	5,122	5,050	72	98.6%	7,043	5,070		

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
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**SUB PROGRAMME: 5.2: WESTERN CAPE NATURE CONSERVATION BOARD**

2016/17								2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
TRANSFERS AND SUBSIDIES Departmental agencies and accounts	249,717	-	-	249,717	249,717	-	100.0%	253,392	253,392
	249,717	-	-	249,717	249,717	-	100.0%	253,392	253,392
TOTAL	249,717	-	-	249,717	249,717	-	100.0%	253,392	253,392



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**SUB PROGRAMME: 5.3: COASTAL MANAGEMENT**

2016/17								2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
CURRENT PAYMENTS	9,750	163	-	9,913	9,843	70	99.3%	5,140	5,091
Compensation of employees	4,282	163	-	4,445	4,445	-	100.0%	3,396	3,347
Goods and services	5,468	-	-	5,468	5,398	70	98.7%	1,744	1,744
TRANSFERS AND SUBSIDIES	9	-	-	9	-	9	-	16	16
Households	9	-	-	9	-	9	-	16	16
PAYMENTS FOR CAPITAL ASSETS	2	(1)	-	1	1	-	100.0%	1	1
Machinery and equipment	2	(1)	-	1	1	-	100.0%	1	1
PAYMENT FOR FINANCIAL ASSETS	57	-	-	57	57	-	100.0%	-	-
TOTAL	9,818	162	-	9,980	9,901	79	99.2%	5,157	5,108

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
**VOTE 9**  
**APPROPRIATION STATEMENT**  
**for the year ended 31 March 2017**

**PROGRAMME 6: ENVIRONMENTAL EMPOWERMENT SERVICES**

2016/17										2015/16	
SUB PROGRAMME	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure		
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000		
1. Environmental Capacity Development and Support	1,321	-	-	1,321	1,048	273	79.3%	563	441		
2. Environmental Communication and Awareness Raising	827	-	-	827	803	24	97.1%	854	854		
TOTAL FOR SUB PROGRAMME	2,148	-	-	2,148	1,851	297	86.2%	1,417	1,295		

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
**VOTE 9**  
**APPROPRIATION STATEMENT**  
**for the year ended 31 March 2017**

2016/17										2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure		
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000		
CURRENT PAYMENTS	1,648	-	-	1,648	1,351	297	82.0%	917	795		
GOODS AND SERVICES	1,648	-	-	1,648	1,351	297	82.0%	917	795		
Administrative fees	16	(11)	-	5	5	-	100.0%	14	14		
Advertising	-	37	-	37	37	-	100.0%	-	-		
Catering: Departmental activities	347	(1)	-	346	175	171	50.6%	358	300		
Consultants: Business and advisory services	375	(17)	-	358	358	-	100.0%	-	-		
Contractors	263	(10)	-	253	253	-	100.0%	76	76		
Fleet services (including government motor transport)	29	(29)	-	-	-	-	-	4	4		
Consumable supplies	84	(60)	-	24	20	4	83.3%	88	88		
Consumable: Stationery, printing and office supplies	50	(29)	-	21	21	-	100.0%	28	-		
Transport provided: Departmental activity	36	-	-	36	36	-	100.0%	34	34		
Travel and subsistence	130	-	-	130	28	102	21.5%	90	72		
Training and development	255	161	-	416	416	-	100.0%	166	166		
Operating payments	-	-	-	-	-	-	-	7	-		
Venues and facilities	63	(41)	-	22	2	20	9.1%	52	41		
TRANSFERS AND SUBSIDIES	500	-	-	500	500	-	100.0%	500	500		
Provinces and municipalities	500	-	-	500	500	-	100.0%	500	500		
Municipalities	500	-	-	500	500	-	100.0%	500	500		
Municipal bank accounts	500	-	-	500	500	-	100.0%	500	500		
TOTAL	2,148	-	-	2,148	1,851	297	86.2%	1,417	1,295		

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
**VOTE 9**  
**APPROPRIATION STATEMENT**  
**for the year ended 31 March 2017**

**SUB PROGRAMME: 6.1: ENVIRONMENTAL CAPACITY DEVELOPMENT AND SUPPORT**

		2016/17					2015/16		
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>CURRENT PAYMENTS</b>	<b>1,321</b>	-	-	<b>1,321</b>	<b>1,048</b>	<b>273</b>	<b>79.3%</b>	<b>563</b>	<b>441</b>
Goods and services	1,321	-	-	1,321	1,048	273	79.3%	563	441
<b>TOTAL</b>	<b>1,321</b>	-	-	<b>1,321</b>	<b>1,048</b>	<b>273</b>	<b>79.3%</b>	<b>563</b>	<b>441</b>

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
**VOTE 9**  
**APPROPRIATION STATEMENT**  
**for the year ended 31 March 2017**

**SUB PROGRAMME: 6.2: ENVIRONMENTAL COMMUNICATION AND AWARENESS RAISING**

										2015/16	
2016/17											
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure		
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000		
<b>CURRENT PAYMENTS</b>	<b>327</b>	-	-	<b>327</b>	<b>303</b>	<b>24</b>	<b>92.7%</b>	<b>354</b>	<b>354</b>		
Goods and services	327	-	-	327	303	24	92.7%	354	354		
<b>TRANSFERS AND SUBSIDIES</b>	<b>500</b>	-	-	<b>500</b>	<b>500</b>	-	<b>100.0%</b>	<b>500</b>	<b>500</b>		
Provinces and municipalities	500	-	-	500	500	-	100.0%	500	500		
<b>TOTAL</b>	<b>827</b>	-	-	<b>827</b>	<b>803</b>	<b>24</b>	<b>97.1%</b>	<b>854</b>	<b>854</b>		

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
**VOTE 9**  
**APPROPRIATION STATEMENT**  
**for the year ended 31 March 2017**

**PROGRAMME 7: DEVELOPMENT PLANNING**

2016/17										2015/16	
SUB PROGRAMME	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure		
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000		
1. Development Facilitation	18,977	(263)	-	18,714	17,782	932	95.0%	17,558	16,660		
2. Spatial Planning, Land Use Management and Municipal Support	26,807	263	-	27,070	26,621	449	98.3%	24,185	23,542		
3. Regional Planning and Management and Special Programmes	38,800	-	-	38,800	38,335	465	98.8%	21,300	20,557		
TOTAL FOR SUB PROGRAMMES	84,584	-	-	84,584	82,738	1,846	97.8%	63,043	60,759		

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
**VOTE 9**  
**APPROPRIATION STATEMENT**  
**for the year ended 31 March 2017**

ECONOMIC CLASSIFICATION	2016/17						2015/16		
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
CURRENT PAYMENTS	52,468	(16)	-	52,452	50,666	1,786	96.6%	47,293	45,166
COMPENSATION OF EMPLOYEES	44,582	-	-	44,582	44,395	187	99.6%	40,542	39,143
Salaries and wages	40,195	(34)	-	40,161	39,974	187	99.5%	36,454	35,082
Social contributions	4,387	34	-	4,421	4,421	-	100.0%	4,088	4,061
GOODS AND SERVICES	7,886	(16)	-	7,870	6,271	1,599	79.7%	6,751	6,023
Administrative fees	139	(62)	-	77	43	34	55.8%	47	47
Advertising	-	35	-	35	35	-	100.0%	107	107
Minor assets	13	(1)	-	12	12	-	100.0%	61	61
Audit cost: External	60	(1)	-	59	59	-	100.0%	-	-
Catering: Departmental activities	50	(9)	-	41	41	-	100.0%	62	62
Communication (G&S)	175	(4)	-	171	134	37	78.4%	122	122
Computer services	100	-	-	100	-	100	-	-	-
Consultants: Business and advisory services	5,082	(552)	-	4,530	3,467	1,063	76.5%	4,108	3,649
Contractors	-	-	-	-	-	-	-	1	1
Entertainment	6	(3)	-	3	3	-	100.0%	3	3
Fleet services (including government motor transport)	83	(5)	-	78	54	24	69.2%	84	58
Consumable supplies	33	(16)	-	17	17	-	100.0%	23	23
Consumable: Stationery, printing and office supplies	203	16	-	219	187	32	85.4%	160	160
Operating leases	88	2	-	90	90	-	100.0%	60	60
Travel and subsistence	1,281	185	-	1,466	1,360	106	92.8%	1,258	1,074
Training and development	390	368	-	758	661	97	87.2%	582	525

CONTINUE...

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
**VOTE 9**  
**APPROPRIATION STATEMENT**  
**for the year ended 31 March 2017**

2016/17							2015/16		
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Operating payments	158	48	-	206	108	98	52.4%	63	63
Venues and facilities	25	(17)	-	8	-	8	-	10	8
TRANSFERS AND SUBSIDIES	31,907	-	-	31,907	31,895	12	100.0%	15,486	15,486
Provinces and municipalities	26,900	-	-	26,900	26,900	-	100.0%	9,650	9,650
Municipalities	26,900	-	-	26,900	26,900	-	100.0%	9,650	9,650
Municipal bank accounts	26,900	-	-	26,900	26,900	-	100.0%	9,650	9,650
Departmental agencies and accounts	1	-	-	1	-	1	-	1	1
Departmental agencies (non-business)	1	-	-	1	-	1	-	1	1
Non-profit institutions	5,000	-	-	5,000	4,989	11	99.8%	5,802	5,802
Households	6	-	-	6	6	-	100.0%	33	33
Social benefits	6	-	-	6	6	-	100.0%	33	33
PAYMENTS FOR CAPITAL ASSETS	209	(55)	-	154	106	48	68.8%	264	107
Machinery and equipment	209	(55)	-	154	106	48	68.8%	264	107
Other machinery and equipment	209	(55)	-	154	106	48	68.8%	264	107
PAYMENT FOR FINANCIAL ASSETS	-	71	-	71	71	-	100.0%	-	-
TOTAL	84,584	-	-	84,584	82,738	1,846	97.8%	63,043	60,759



**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
**VOTE 9**  
**APPROPRIATION STATEMENT**  
**for the year ended 31 March 2017**

**SUB PROGRAMME: 7.1: DEVELOPMENT FACILITATION**

2016/17								2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
CURRENT PAYMENTS	18,885	(279)	-	18,606	17,674	932	95.0%	17,522	16,624
Compensation of employees	17,273	(263)	-	17,010	16,879	131	99.2%	16,411	15,782
Goods and services	1,612	(16)	-	1,596	795	801	49.8%	1,111	842
TRANSFERS AND SUBSIDIES	6	-	-	6	6	-	100.0%	1	1
Households	6	-	-	6	6	-	100.0%	1	1
PAYMENTS FOR CAPITAL ASSETS	86	(55)	-	31	31	-	100.0%	35	35
Machinery and equipment	86	(55)	-	31	31	-	100.0%	35	35
PAYMENT FOR FINANCIAL ASSETS	-	71	-	71	71	-	100.0%	-	-
TOTAL	18,977	(263)	-	18 714	17,782	932	95.0%	17,558	16,660

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
**VOTE 9**  
**APPROPRIATION STATEMENT**  
**for the year ended 31 March 2017**

**SUB PROGRAMME: 7.2: SPATIAL PLANNING, LAND USE MANAGEMENT AND MUNICIPAL SUPPORT**

2016/17								2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>CURRENT PAYMENTS</b>	<b>26,377</b>	<b>263</b>	-	<b>26,640</b>	<b>26,195</b>	<b>445</b>	<b>98.3%</b>	<b>23,148</b>	<b>22,505</b>
Compensation of employees	22,009	263	-	<b>22,272</b>	<b>22,272</b>	-	100.0%	19,881	19,508
Goods and services	4,368	-	-	<b>4,368</b>	<b>3,923</b>	445	89.8%	3,267	2,997
<b>TRANSFERS AND SUBSIDIES</b>	<b>401</b>	-	-	<b>401</b>	<b>400</b>	<b>1</b>	<b>99.8%</b>	<b>1,033</b>	<b>1,033</b>
Provinces and municipalities	400	-	-	<b>400</b>	<b>400</b>	-	100.0%	1,000	1,000
Departmental agencies and accounts	1	-	-	<b>1</b>	-	1	-	1	1
Households	-	-	-	-	-	-	-	32	32
<b>PAYMENTS FOR CAPITAL ASSETS</b>	<b>29</b>	-	-	<b>29</b>	<b>26</b>	<b>3</b>	<b>89.7%</b>	<b>4</b>	<b>4</b>
Machinery and equipment	29	-	-	<b>29</b>	<b>26</b>	3	89.7%	4	4
<b>TOTAL</b>	<b>26,807</b>	<b>263</b>	-	<b>27,070</b>	<b>26,621</b>	<b>449</b>	<b>98.3%</b>	<b>24,185</b>	<b>23,542</b>

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
**VOTE 9**  
**APPROPRIATION STATEMENT**  
**for the year ended 31 March 2017**

**SUB-PROGRAMME 7.3: REGIONAL PLANNING AND MANAGEMENT AND SPECIAL PROGRAMMES**

2016/17										2015/16	
ECONOMIC CLASSIFICATION	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure		
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000		
CURRENT PAYMENTS	7,206	-	-	7,206	6,797	409	94.3%	6,623	6,037		
Compensation of employees	5,300	-	-	5,300	5,244	56	98.9%	4,250	3,853		
Goods and services	1,906	-	-	1,906	1,553	353	81.5%	2,373	2,184		
TRANSFERS AND SUBSIDIES	31,500	-	-	31,500	31,489	11	100.0%	14,452	14,452		
Provinces and municipalities	26,500	-	-	26,500	26,500	-	100.0%	8,650	8,650		
Non-profit institutions	5,000	-	-	5,000	4,989	11	99.8%	5,802	5,802		
PAYMENTS FOR CAPITAL ASSETS	94	-	-	94	49	45	52.1%	225	68		
Machinery and equipment	94	-	-	94	49	45	52.1%	225	68		
TOTAL	38,800	-	-	38,800	38,335	465	98.8%	21,300	20,557		

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
**VOTE 9**  
**NOTES TO THE APPROPRIATION STATEMENT**  
**for the year ended 31 March 2017**

**1. DETAIL OF TRANSFERS AND SUBSIDIES AS PER APPROPRIATION ACT (AFTER VIREMENT):**

Detail of these transactions can be viewed in the note on Transfers and subsidies and Annexure 1 (A-E) to the Annual Financial Statements.

**2. DETAIL OF SPECIFICALLY AND EXCLUSIVELY APPROPRIATED AMOUNTS VOTED (AFTER VIREMENT):**

Detail of these transactions can be viewed in note 1 (Annual Appropriation) to the Annual Financial Statements.

**3. DETAIL ON PAYMENTS FOR FINANCIAL ASSETS:**

Detail of these transactions per programme can be viewed in the note on Payments for financial assets to the Annual Financial Statements.

**4. EXPLANATIONS OF MATERIAL VARIANCES FROM AMOUNTS VOTED (AFTER VIREMENT):**

4.1 PER PROGRAMME	Final Appropriation	Actual Expenditure	Variance	Variance as a % of Final Appropriation
	R'000	R'000	R'000	%
<b>PROGRAMME 2: ENVIRONMENTAL POLICY, PLANNING AND COORDINATION</b>	17,855	16,970	885	5%

The underspending relates mainly to the EPWP: Working for trikes project that was not finalised by end of March 2017, as well as to the Green Economy - Climate Change Economic Resilience project cost that was less than anticipated.

<b>PROGRAMME 6: ENVIRONMENTAL EMPOWERMENT SERVICES</b>	2,148	1,851	297	14%
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The saving stems from lower than anticipated expenditure on capacity building and communication and awareness raising events.

<b>PROGRAMME 7: DEVELOPMENT PLANNING</b>	84,584	82,738	1,846	2%
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The underspending relates predominantly to claims not received in respect of the human settlement plans and the re-prioritising of funding for the Growth Potential of Town Study Review as financial contribution for "Spot-Imagery" which did not materialise. Furthermore, underspending of R465 thousand has realised against the earmarked funding for the Regional Socio-Economic Projects (RSEP)/Violence Prevention through Urban Upgrading (VPUU). This is mainly against Goods and Services as a result of lower operating expenditure.

4.2 PER ECONOMIC CLASSIFICATION	Final Appropriation	Actual Expenditure	Variance	Variance as a % of Final Appropriation
	R'000	R'000	R'000	%
<b>CURRENT EXPENDITURE</b>				
Goods and services	57,123	53,070	4,053	7%

The underspending relates predominantly to projects which were not finalised by the end of the financial year. This was the result of extensions of contracts and procurement challenges.

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
**VOTE 9**  
**STATEMENT OF FINANCIAL PERFORMANCE**  
**for the year ended 31 March 2017**

	<i>NOTE</i>	<b>2016/17</b> <b>R'000</b>	<b>2015/16</b> <b>R'000</b>
<b>REVENUE</b>			
Annual appropriation	1	543,699	509,476
Departmental revenue	2	4,298	2,118
<b>TOTAL REVENUE</b>		<b>547,997</b>	<b>511,594</b>
<b>EXPENDITURE</b>			
<b>Current expenditure</b>			
Compensation of employees	3	190,418	174,737
Goods and services	4	53,070	50,433
<b>Total current expenditure</b>		<b>243,488</b>	<b>225,170</b>
<b>Transfers and subsidies</b>			
Transfers and subsidies	6	287,078	270,896
<b>Total transfers and subsidies</b>		<b>287,078</b>	<b>270,896</b>
<b>Expenditure for capital assets</b>			
Tangible assets	7	6,945	5,982
Intangible assets	7	-	517
<b>Total expenditure for capital assets</b>		<b>6,945</b>	<b>6,499</b>
<b>Payments for financial assets</b>	5	<b>439</b>	<b>83</b>
<b>TOTAL EXPENDITURE</b>		<b>537,950</b>	<b>502,648</b>
<b>SURPLUS/(DEFICIT) FOR THE YEAR</b>		<b>10,047</b>	<b>8,946</b>
<b>Reconciliation of Net Surplus/(Deficit) for the year</b>			
Voted funds		5,749	6,828
Annual appropriation		5,749	6,828
Departmental revenue and NRF Receipts	12	4,298	2,118
<b>SURPLUS/(DEFICIT) FOR THE YEAR</b>		<b>10,047</b>	<b>8,946</b>

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
**VOTE 9**  
**STATEMENT OF FINANCIAL POSITION**  
**as at 31 March 2017**

	<i><b>NOTE</b></i>	<b>2016/17</b> <b>R'000</b>	<b>2015/16</b> <b>R'000</b>
<b>ASSETS</b>			
<b>Current Assets</b>		<b>5,869</b>	<b>7,290</b>
Cash and cash equivalents	8	5,757	6,932
Prepayments and advances	9	-	32
Receivables	10	112	326
<b>Non-Current Assets</b>		<b>-</b>	<b>37</b>
Receivables	10	-	37
<b>TOTAL ASSETS</b>		<b>5,869</b>	<b>7,327</b>
<b>LIABILITIES</b>			
<b>Current Liabilities</b>		<b>5,835</b>	<b>7,297</b>
Voted funds to be surrendered to the Provincial Revenue Fund	11	5,749	6,828
Departmental revenue and NRF Receipts to be surrendered to the Revenue Fund	12	86	433
Payables	13	-	36
<b>TOTAL LIABILITIES</b>		<b>5,835</b>	<b>7,297</b>
<b>NET ASSETS</b>		<b>34</b>	<b>30</b>
<b>Represented by:</b>			
Recoverable revenue		34	30
<b>TOTAL</b>		<b>34</b>	<b>30</b>

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
**VOTE 9**  
**STATEMENT OF CHANGES IN NET ASSETS**  
**for the year ended 31 March 2017**

	<i><b>NOTE</b></i>	<b>2016/17</b> <b>R'000</b>	<b>2015/16</b> <b>R'000</b>
<b>Recoverable revenue</b>			
Opening balance		30	10
<b>Transfers:</b>		<b>4</b>	<b>20</b>
Irrecoverable amounts written off	5.1	(345)	(61)
Debts recovered (included in departmental receipts)		(18)	(15)
Debts raised		367	96
<b>Closing balance</b>		<b>34</b>	<b>30</b>
<b>TOTAL</b>		<b>34</b>	<b>30</b>

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
**VOTE 9**  
**CASH FLOW STATEMENT**  
**for the year ended 31 March 2017**

	<b>NOTE</b>	<b>2016/17</b> <b>R'000</b>	<b>2015/16</b> <b>R'000</b>
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>			
<b>Receipts</b>		<b>551,429</b>	<b>513,605</b>
Annual appropriated funds received	1.1	543,699	509,476
Departmental revenue received	2	7,722	4,126
Interest received	2.3	8	3
Net (increase)/decrease in working capital		247	(145)
Surrendered to Provincial Revenue Fund		(14,973)	(29,211)
Current payments		(243,488)	(225,170)
Payments for financial assets	5	(439)	(83)
Transfers and subsidies paid	6	(287,078)	(270,896)
<b>Net cash flow available from operating activities</b>	14	<b>5,698</b>	<b>(11,900)</b>
<b>CASH FLOWS FROM INVESTING ACTIVITIES</b>			
Payments for capital assets	7	(6,945)	(6,499)
Proceeds from sale of capital assets	2.4	68	39
<b>Net cash flows from investing activities</b>		<b>(6,877)</b>	<b>(6,460)</b>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>			
Increase/(decrease) in net assets		4	20
<b>Net cash flows from financing activities</b>		<b>4</b>	<b>20</b>
Net increase/(decrease) in cash and cash equivalents		(1,175)	(18,340)
Cash and cash equivalents at beginning of period		6,932	25,272
<b>Cash and cash equivalents at end of period</b>	15	<b>5,757</b>	<b>6,932</b>



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**ACCOUNTING POLICIES**  
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## **SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

The financial statements have been prepared in accordance with the following policies, which have been applied consistently in all material aspects, unless otherwise indicated. Management has concluded that the financial statements present fairly the department's primary and secondary information.

The historical cost convention has been used, except where otherwise indicated. Management has used assessments and estimates in preparing the annual financial statements. These are based on the best information available at the time of preparation.

Where appropriate and meaningful, additional information has been disclosed to enhance the usefulness of the financial statements and to comply with the statutory requirements of the Public Finance Management Act (PFMA), Act 1 of 1999 (as amended by Act 29 of 1999), and the Treasury Regulations issued in terms of the PFMA and the annual Division of Revenue Act.

### **1. BASIS OF PREPARATION**

The financial statements have been prepared in accordance with the Modified Cash Standard.

### **2. GOING CONCERN**

The financial statements have been prepared on a going concern basis.

### **3. PRESENTATION CURRENCY**

Amounts have been presented in the currency of the South African Rand (R) which is also the functional currency of the department.

### **4. ROUNDING**

Unless otherwise stated financial figures have been rounded to the nearest one thousand Rand (R'000).

### **5. FOREIGN CURRENCY TRANSLATION**

Cash flows arising from foreign currency transactions are translated into South African Rands using the spot exchange rates prevailing at the date of payment/receipt.

### **6. COMPARATIVE INFORMATION**

#### **6.1 Prior period comparative information**

Prior period comparative information has been presented in the current year's financial statements. Where necessary figures included in the prior period financial statements have been reclassified to ensure that the format in which the information is presented is consistent with the format of the current year's financial statements.

#### **6.2 Current year comparison with budget**

A comparison between the approved, final budget and actual amounts for each programme and economic classification is included in the appropriation statement.

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## **7. REVENUE**

### **7.1 Appropriated funds**

Appropriated funds comprise of departmental allocations as well as direct charges against the revenue fund (i.e. statutory appropriation).

Appropriated funds are recognised in the statement of financial performance on the date the appropriation becomes effective. Adjustments made in terms of the adjustments budget process are recognised in the statement of financial performance on the date the adjustments become effective.

The net amount of any appropriated funds due to/from the relevant revenue fund at the reporting date is recognised as a payable/receivable in the statement of financial position.

### **7.2 Departmental revenue**

Departmental revenue is recognised in the statement of financial performance when received and is subsequently paid into the relevant revenue fund, unless stated otherwise.

Any amount owing to the relevant revenue fund at the reporting date is recognised as a payable in the statement of financial position.

### **7.3 Accrued departmental revenue**

Accruals in respect of departmental revenue (excluding tax revenue) are recorded in the notes to the financial statements when:

- it is probable that the economic benefits or service potential associated with the transaction will flow to the department; and
- the amount of revenue can be measured reliably.

The accrued revenue is measured at the fair value of the consideration receivable.

Accrued tax revenue (and related interest and/penalties) is measured at amounts receivable from collecting agents. Write-offs are made according to the department's debt write-off policy.

## **8. EXPENDITURE**

### **8.1 Compensation of employees**

#### **8.1.1 Salaries and wages**

Salaries and wages are recognised in the statement of financial performance on the date of payment.

#### **8.1.2 Social contributions**

Social contributions made by the department in respect of current employees are recognised in the statement of financial performance on the date of payment.

Social contributions made by the department in respect of ex-employees are classified as transfers to households in the statement of financial performance on the date of payment.

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## **8.2 Other expenditure**

Other expenditure (such as goods and services, transfers and subsidies and payments for capital assets) is recognised in the statement of financial performance on the date of payment. The expense is classified as a capital expense if the total consideration paid is more than the capitalisation threshold.

## **8.3 Accruals and payables not recognised**

Accruals and payables not recognised are recorded in the notes to the financial statements when the goods are received or, in the case of services, when they are rendered to the department or in the case of transfers and subsidies when they are due and payable.

Accruals and payables not recognised are measured at cost.

## **8.4 Leases**

### **8.4.1 Operating leases**

Operating lease payments made during the reporting period are recognised as current expenditure in the statement of financial performance on the date of payment.

The operating lease commitments are recorded in the notes to the financial statements.

### **8.4.2 Finance leases**

Finance lease payments made during the reporting period are recognised as capital expenditure in the statement of financial performance on the date of payment.

The finance lease commitments are recorded in the notes to the financial statements and are not apportioned between the capital and interest portions.

Finance lease assets acquired at the end of the lease term are recorded and measured at the lower of:

- cost, being the fair value of the asset; or
- the sum of the minimum lease payments made, including any payments made to acquire ownership at the end of the lease term, excluding interest.

## **9. AID ASSISTANCE**

### **9.1 Aid assistance received**

Aid assistance received in cash is recognised in the statement of financial performance when received. In-kind aid assistance is recorded in the notes to the financial statements on the date of receipt and is measured at fair value.

Aid assistance not spent for the intended purpose and any unutilised funds from aid assistance that are required to be refunded to the donor are recognised as a payable in the statement of financial position.

### **9.2 Aid assistance paid**

Aid assistance paid is recognised in the statement of financial performance on the date of payment. Aid assistance payments made prior to the receipt of funds are recognised as a receivable in the statement of financial position.

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**10. CASH AND CASH EQUIVALENTS**

Cash and cash equivalents are stated at cost in the statement of financial position.

Bank overdrafts are shown separately on the face of the statement of financial position as a current liability.

For the purposes of the cash flow statement, cash and cash equivalents comprise cash on hand, deposits held, other short-term highly liquid investments and bank overdrafts.

**11. PREPAYMENTS AND ADVANCES**

Prepayments and advances are recognised in the statement of financial position when the department receives or disburses the cash.

Prepayments and advances are initially and subsequently measured at cost.

**12. LOANS AND RECEIVABLES**

Loans and receivables are recognised in the statement of financial position at cost plus accrued interest, where interest is charged, less amounts already settled or written-off. Write-offs are made according to the department's write-off policy.

**13. INVESTMENTS**

Investments are recognised in the statement of financial position at cost.

**14. FINANCIAL ASSETS**

**14.1 Financial assets (not covered elsewhere)**

A financial asset is recognised initially at cost plus transaction costs that are directly attributable to the acquisition or issue of the financial asset.

At the reporting date, a department shall measure its financial assets at cost, less amounts already settled or written-off, except for recognised loans and receivables, which are measured at cost plus accrued interest, where interest is charged, less amounts already settled or written-off.

**14.2 Impairment of financial assets**

Where there is an indication of impairment of a financial asset, an estimation of the reduction in the recorded carrying value, to reflect the best estimate of the amount of the future economic benefits expected to be received from that asset, is recorded in the notes to the financial statements.

**15. PAYABLES**

Loans and payables are recognised in the statement of financial position at cost.

**16. CAPITAL ASSETS**

**16.1 Immovable capital assets**

Immovable capital assets are initially recorded in the notes to the financial statements at cost. Immovable capital assets acquired through a non-exchange transaction are measured at fair value as at the date of acquisition.

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Where the cost of immovable capital assets cannot be determined reliably, the immovable capital assets are measured at fair value for recording in the asset register.

Immovable capital assets are subsequently carried at cost and are not subject to depreciation or impairment.

Subsequent expenditure that is of a capital nature is added to the cost of the asset at the end of the capital project unless the immovable asset is recorded by another department in which case the completed project costs are transferred to that department.

### **16.2 Movable capital assets**

Movable capital assets are initially recorded in the notes to the financial statements at cost. Movable capital assets acquired through a non-exchange transaction is measured at fair value as at the date of acquisition.

Where the cost of movable capital assets cannot be determined reliably, the movable capital assets are measured at fair value and where fair value cannot be determined; the movable assets are measured at R1.

All assets acquired prior to 1 April 2002 (or a later date as approved by the OAG) may be recorded at R1.

Movable capital assets are subsequently carried at cost and are not subject to depreciation or impairment.

Subsequent expenditure that is of a capital nature is added to the cost of the asset at the end of the capital project unless the movable asset is recorded by another department/entity in which case the completed project costs are transferred to that department.

### **16.3 Intangible assets**

Intangible assets are initially recorded in the notes to the financial statements at cost. Intangible assets acquired through a non-exchange transaction are measured at fair value as at the date of acquisition.

Internally generated intangible assets are recorded in the notes to the financial statements when the department commences the development phase of the project.

Where the cost of intangible assets cannot be determined reliably, the intangible capital assets are measured at fair value and where fair value cannot be determined; the intangible assets are measured at R1.

All assets acquired prior to 1 April 2002 (or a later date as approved by the OAG) may be recorded at R1.

Intangible assets are subsequently carried at cost and are not subject to depreciation or impairment.

Subsequent expenditure that is of a capital nature is added to the cost of the asset at the end of the capital project unless the intangible asset is recorded by another department/entity in which case the completed project costs are transferred to that department.

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## **17. PROVISIONS AND CONTINGENTS**

### **17.1 Provisions**

Provisions are recorded in the notes to the financial statements when there is a present legal or constructive obligation to forfeit economic benefits as a result of events in the past and it is probable that an outflow of resources embodying economic benefits or service potential will be required to settle the obligation and a reliable estimate of the obligation can be made. The provision is measured as the best estimate of the funds required to settle the present obligation at the reporting date.

### **17.2 Contingent liabilities**

Contingent liabilities are recorded in the notes to the financial statements when there is a possible obligation that arises from past events, and whose existence will be confirmed only by the occurrence or non-occurrence of one or more uncertain future events not within the control of the department or when there is a present obligation that is not recognised because it is not probable that an outflow of resources will be required to settle the obligation or the amount of the obligation cannot be measured reliably.

### **17.3 Contingent assets**

Contingent assets are recorded in the notes to the financial statements when a possible asset arises from past events, and whose existence will be confirmed by the occurrence or non-occurrence of one or more uncertain future events not within the control of the department.

### **17.4 Commitments**

Commitments are recorded at cost in the notes to the financial statements when there is a contractual arrangement or an approval by management in a manner that raises a valid expectation that the department will discharge its responsibilities thereby incurring future expenditure that will result in the outflow of cash.

## **18. UNAUTHORISED EXPENDITURE**

Unauthorised expenditure is recognised in the statement of financial position until such time as the expenditure is either:

- approved by Parliament or the Provincial Legislature with funding and the related funds are received; or
- approved by Parliament or the Provincial Legislature without funding and is written off against the appropriation in the statement of financial performance; or
- transferred to receivables for recovery.

Unauthorised expenditure is measured at the amount of the confirmed unauthorised expenditure.

## **19. FRUITLESS AND WASTEFUL EXPENDITURE**

Fruitless and wasteful expenditure is recorded in the notes to the financial statements when confirmed. The amount recorded is equal to the total value of the fruitless and or wasteful expenditure incurred.

Fruitless and wasteful expenditure is removed from the notes to the financial statements when it is resolved or transferred to receivables for recovery.

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Fruitless and wasteful expenditure receivables are measured at the amount that is expected to be recoverable and are de-recognised when settled or subsequently written-off as irrecoverable.

**20. IRREGULAR EXPENDITURE**

Irregular expenditure is recorded in the notes to the financial statements when confirmed. The amount recorded is equal to the value of the irregular expenditure incurred unless it is impracticable to determine, in which case reasons therefor are provided in the note.

Irregular expenditure is removed from the note when it is either condoned by the relevant authority, transferred to receivables for recovery or not condoned and is not recoverable.

Irregular expenditure receivables are measured at the amount that is expected to be recoverable and are de-recognised when settled or subsequently written-off as irrecoverable.

**21. CHANGES IN ACCOUNTING POLICIES, ACCOUNTING ESTIMATES AND ERRORS**

Changes in accounting policies that are effected by management have been applied retrospectively in accordance with MCS requirements, except to the extent that it is impracticable to determine the period-specific effects or the cumulative effect of the change in policy. In such instances the department shall restate the opening balances of assets, liabilities and net assets for the earliest period for which retrospective restatement is practicable.

Changes in accounting estimates are applied prospectively in accordance with MCS requirements.

Correction of errors is applied retrospectively in the period in which the error has occurred in accordance with MCS requirements, except to the extent that it is impracticable to determine the period-specific effects or the cumulative effect of the error. In such cases the department shall restate the opening balances of assets, liabilities and net assets for the earliest period for which retrospective restatement is practicable.

**22. EVENTS AFTER THE REPORTING DATE**

Events after the reporting date that are classified as adjusting events have been accounted for in the financial statements. The events after the reporting date that are classified as non-adjusting events after the reporting date have been disclosed in the notes to the financial statements.

**23. DEPARTURES FROM THE MCS REQUIREMENTS**

The Public Finance Management Act (PFMA), No 1 of 1999, requires departments to “prepare financial statements for each financial year in accordance with generally recognised accounting practice”. The Treasury Regulations further defines “generally recognised accounting practice” for departments as being the reporting framework prescribed by the National Treasury, Office of the Accountant General (OAG).

The OAG has developed and issued the Modified Cash Standard (hereafter ‘the Standard’) which sets out the principles for the recognition, recording, measurement, presentation and disclosure of information required in terms of the prescribed formats. Management concluded that the financial statements present fairly the department’s primary and secondary information and that the department complied with the Standard.

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**24. CAPITALISATION RESERVE**

The capitalisation reserve comprises of financial assets and/or liabilities originating in a prior reporting period but which are recognised in the statement of financial position for the first time in the current reporting period. Amounts are recognised in the capitalisation reserves when identified in the current period and are transferred to the National/Provincial Revenue Fund when the underlying asset is disposed and the related funds are received.

**25. RECOVERABLE REVENUE**

Amounts are recognised as recoverable revenue when a payment made in a previous financial year becomes recoverable from a debtor in the current financial year. Amounts are either transferred to the National/Provincial Revenue Fund when recovered or are transferred to the statement of financial performance when written-off.

**26. RELATED PARTY TRANSACTIONS**

A related party transaction is a transfer of resources, services or obligations between the reporting entity and a related party. Related party transactions within the Minister/MEC's portfolio are recorded in the notes to the financial statements when the transaction is not at arm's length.

Key management personnel are those persons having the authority and responsibility for planning, directing and controlling the activities of the department. The number of individuals and their full compensation is recorded in the notes to the financial statements.

**27. EMPLOYEE BENEFITS**

The value of each major class of employee benefit obligation (accruals, payables not recognised and provisions) is disclosed in the Employee benefits note.

**28. PRINCIPAL-AGENT ARRANGEMENTS**

Flowing from various agreements, the Regional Socio-Economic Project / Violence Prevention through Urban Upgrade Programme (RSEP/VPUU) was established. One of these agreements, the Financing and Project Agreement, resulted in the establishment of an arrangement with the Project-Executing Agency, the VPUU "Not for profit company" (NPC). During the 2016/17 financial year R4,989 million (rounded) was transferred to the NPC in accordance with agreed project deliverables. Various monitoring procedures are in place to adequately managed the deliverables.

All related expenditure has been recognised or recorded in terms of the relevant policies listed herein. Additional disclosures have been provided in the notes to the financial statements where appropriate.



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## 1. ANNUAL APPROPRIATION

### 1.1 Annual Appropriation

Included are funds appropriated in terms of the Appropriation Act (and the Adjustments Appropriation Act) for Provincial Departments:

Programmes	2016/17			2015/16	
	Final appropriation	Actual funds received	Funds not requested/ not received	Final appropriation	Appropriation received
	R'000	R'000	R'000	R'000	R'000
1. Administration	62,636	62,636	-	60,028	60,028
2. Environmental Policy, Planning and Coordination	17,855	17,855	-	18,524	18,524
3. Compliance and Enforcement	23,744	23,744	-	23,584	23,584
4. Environmental Quality Management	87,913	87,913	-	77,288	77,288
5. Biodiversity Management	264,819	264,819	-	265,592	265,592
6. Environmental Empowerment Services	2,148	2,148	-	1,417	1,417
7. Development Planning	84,584	84,584	-	63,043	63,043
<b>TOTAL</b>	<b>543,699</b>	<b>543,699</b>	<b>-</b>	<b>509,476</b>	<b>509,476</b>

**The earmarked allocations for the 2016/17 Financial year were as follows:**

The aggregate Compensation of Employees - R192,036 million

CapeNature capital expenditure on Kogelberg - R10 million

Coordinated climate change strategy (Water for Sustainable Growth and Development) - R5 million

Expenditure on the RSEP/VPUU - R38,8 million.

### 1.2 Conditional grants

Total grants received\*

**Provincial grants included in Total Grants received**

<i>Note</i>	<b>2016/17</b>	<b>2015/16</b>
	<b>R'000</b>	<b>R'000</b>
	3,815	2,959
	<b>3,815</b>	<b>2,959</b>

\* The conditional grant is included in the amount per the Final Appropriation in Note 1.1 of Programmes 4: Environmental Quality Management and Programme 5: Biodiversity Management. The conditional grant is in respect of the Expanded Public Works Programme (EPWP) Integrated Grant of which R3,5 million was transferred to CapeNature and R315 thousand was spent by the Department on the Berg and Breede River riparian rehabilitation programme.

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	<i>Note</i>	<b>2016/17</b> <b>R'000</b>	<b>2015/16</b> <b>R'000</b>
<b>2. DEPARTMENTAL REVENUE</b>			
Sales of goods and services other than capital assets	2.1	545	451
Fines, penalties and forfeits	2.2	6,568	3,520
Interest, dividends and rent on land	2.3	8	3
Sales of capital assets	2.4	68	39
Transactions in financial assets and liabilities	2.5	559	155
Transfer received	2.6	50	-
<b>Total revenue collected</b>		<b>7,798</b>	<b>4,168</b>
Less: Own revenue included in appropriation	12	3,500	2,050
<b>Departmental revenue collected</b>		<b>4,298</b>	<b>2,118</b>

During the 2016/17 financial year R7,798 million (rounded) was received as revenue of which R7,712 million (rounded) was paid over to the Provincial Revenue Fund and R86 thousand (rounded) is regarded as revenue accrual and was paid over during April 2017.

<b>2.1 Sales of goods and services other than capital assets</b>	2		
<b>Sales of goods and services produced by the department</b>		<b>539</b>	<b>447</b>
Administrative fees		465	397
Other sales*		74	50
Sales of scrap, waste and other used current goods		6	4
<b>Total</b>		<b>545</b>	<b>451</b>

\* "Other sales" includes revenue sources such as commission on insurance and garnishee orders to the value of R28 thousand (rounded), rezoning fees of R10 thousand and revenue received for the sale of minor assets of R36 thousand (rounded).

<b>2.2 Fines, penalties and forfeits</b>	2		
Fines (NEMA Section 24G)		6,568	3,520
<b>Total</b>		<b>6,568</b>	<b>3,520</b>

The increase is mainly due to an increased amount of fines issued during the year and collected in terms of Section 24G of the National Environmental Management Act (NEMA).

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	<i>Note</i>	<b>2016/17</b> <b>R'000</b>	<b>2015/16</b> <b>R'000</b>
<b>2.3 Interest, dividends and rent on land</b>	<i>2</i>		
Interest		8	3
<b>Total</b>		<b>8</b>	<b>3</b>
<b>2.4 Sales of capital assets</b>	<i>2</i>		
<b>Tangible assets</b>		<b>68</b>	<b>39</b>
Machinery and equipment	<i>27.2</i>	68	39
<b>Total</b>		<b>68</b>	<b>39</b>
<b>2.5 Transactions in financial assets and liabilities</b>	<i>2</i>		
Forex gain		-	9
Other Receipts including Recoverable Revenue		559	146
<b>Total</b>		<b>559</b>	<b>155</b>
<p>The significant increase relates to revenue received from the Government Motor Transport (GMT) of R142 thousand (rounded) that related to expenditure that was made during the 2015/16 financial year, write-off as per note 5.1 of Occupation Specific Dispensation (OSD) of R318 thousand (rounded) which was previously disclosed as a contingent asset.</p>			
<b>2.6 Transfers received</b>	<i>2</i>		
Households and non-profit institutions		50	-
<b>Total</b>		<b>50</b>	<b>-</b>
<p>The R50 thousand received was a donation from a deceased estate.</p>			
<b>3. COMPENSATION OF EMPLOYEES</b>			
<b>3.1 Salaries and Wages</b>			
Basic salary		136,066	124,393
Performance award		2,738	2,656
Service Based		122	110
Compensative/circumstantial*		830	1,230
Periodic payments		55	64
Other non-pensionable allowances**		28,357	26,650
<b>Total</b>		<b>168,168</b>	<b>155,103</b>

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<i>Note</i>	<b>2016/17</b>	<b>2015/16</b>
	<b>R'000</b>	<b>R'000</b>
* The item "Compensative/circumstantial" includes compensation allowances such as overtime and personal non-pensionable allowances.		
* The decrease in "Compensative/circumstantial" is due to the personnel ceiling that was imposed which restricted the pay out of acting allowances during the financial year.		
** The item "Other non-pensionable allowances" includes housing allowance, service bonus, structuring for motor car allowance and unstructured cash allowance portion.		

### **3.2 Social Contributions**

#### **Employer contributions**

Pension	16,312	14,306
Medical	5,909	5,300
Bargaining council	29	28
<b>Total</b>	<b>22,250</b>	<b>19,634</b>
<b>Total compensation of employees</b>	<b>190,418</b>	<b>174,737</b>
Average number of employees*	388	398

- \* The average number of employees is determined on a full time equivalent basis at the beginning and the end of the financial year. At the beginning of the financial year, 381 officials were employed which increased to 394 employees as at 31 March 2017. The remuneration for the Minister of Local Government, Environmental Affairs and Development Planning are disclosed under note 25 - Key management personnel. The Minister was included in the average number of employees for the 2015/16 financial year.

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	<i>Note</i>	<b>2016/17</b> <b>R'000</b>	<b>2015/16</b> <b>R'000</b>
<b>4. GOODS AND SERVICES</b>			
Administrative fees		322	516
Advertising		1,986	1,904
Minor assets	4.1	214	767
Bursaries (employees)		155	179
Catering		394	640
Communication		952	832
Computer services	4.2	3,582	3,184
Consultants: Business and Advisory services*		24,183	19,998
Legal Services		2,154	1,983
Contractors		1,761	2,582
Agency and support/Outsourced services		-	128
Entertainment		21	12
Audit cost – external	4.3	3,902	4,110
Fleet Services		1,359	1,388
Consumables	4.4	1,280	1,732
Operating leases		1,225	1,168
Rental and hiring		50	50
Transport provided as part of the departmental activities		36	34
Travel and subsistence	4.5	5,613	6,644
Venues and facilities		470	264
Training and development**		2,163	1,627
Other operating expenditure	4.6	1,248	691
<b>Total</b>		<b>53,070</b>	<b>50,433</b>

\* The increase under Consultants: Business and advisory services are mainly due to the scale of work in respect of estuary management as well as new projects in line with the approved coastal management programme and estuary management programme. Furthermore, the roll-out of the Genius of SPACE Project and the implementation of the Breede and Berg river riparian rehabilitation programme also contributed to the increase. "Consultants: Business and advisory services" are included in Part D of the Annual Report.

\*\* The increase under Training and development is predominantly in respect of the E-learning education teacher support programme as well as an EPWP capacity building project.

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	<i>Note</i>	<b>2016/17</b> <b>R'000</b>	<b>2015/16</b> <b>R'000</b>
<b>4.1 Minor assets</b>	4		
<b>Tangible assets</b>		<b>214</b>	<b>767</b>
Machinery and equipment		214	767
<b>Total</b>		<b>214</b>	<b>767</b>
<b>4.2 Computer services</b>	4		
SITA computer services		1,452	1,029
External computer service providers		2,130	2,155
<b>Total</b>		<b>3,582</b>	<b>3,184</b>
<b>4.3 Audit cost – External</b>	4		
Regularity audits		3,902	4,110
<b>Total</b>		<b>3,902</b>	<b>4,110</b>
<b>4.4 Consumables</b>	4		
<b>Consumable supplies</b>		<b>406</b>	<b>535</b>
Uniform and clothing		43	188
Household supplies		100	147
Building material and supplies		157	64
IT consumables		26	27
Other consumables*		80	109
Stationery, printing and office supplies		874	1,197
<b>Total</b>		<b>1,280</b>	<b>1,732</b>
* The item “Other consumables” included expenditure of R58 thousand (rounded) for gifts and awards in terms of the Department’s Bereavement and Employer Support for Employees Policy.			
<b>4.5 Travel and subsistence</b>	4		
Local		5,499	6,124
Foreign		114	520
<b>Total</b>		<b>5,613</b>	<b>6,644</b>

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	<i>Note</i>	<b>2016/17</b>	<b>2015/16</b>
		<b>R'000</b>	<b>R'000</b>
<b>4.6 Other operating expenditure</b>	4		
Professional bodies, membership and subscription fees		2	52
Resettlement costs		40	34
Other*		1,206	605
<b>Total</b>		<b>1,248</b>	<b>691</b>

\* The item "Other" includes R27 thousand (rounded) for courier services, R189 thousand (rounded) honorariums paid to voluntary workers and R990 thousand (rounded) for printing and publication. The increase mainly relates to the honorariums paid during the year and the purchases of the Land Use Planning Act books.

**5. PAYMENTS FOR FINANCIAL ASSETS**

Debts written off	5.1	439	83
<b>Total</b>		<b>439</b>	<b>83</b>

**5.1 Debts written off**

**Nature of debts written off**

**Other debt written off**

Stolen Laptops (2 cases)	-	8
Occupation Specific Dispensation (OSD): Acting allowance overpayments (8 cases)	318	47
Fruitless and Wasteful expenditure written off (1 case)	1	-
Accidents: Government Motor Transport (GMT) vehicles (16 cases)	115	28
Salary overpayments written off (3 cases)	5	-
<b>Total</b>	<b>439</b>	<b>83</b>
<b>Total debts written off</b>	<b>439</b>	<b>83</b>

Approvals for write-offs were considered in terms of the Transversal Debt Management Policy.

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	<i>Note</i>	<b>2016/17</b> <b>R'000</b>	<b>2015/16</b> <b>R'000</b>
<b>6. TRANSFERS AND SUBSIDIES</b>			
Provinces and municipalities	<sup>33</sup> , <i>Annex 1A</i>	27,900	10,150
Departmental agencies and accounts	<i>Annex 1B</i>	249,717	253,400
Public corporations and private enterprises	<i>Annex 1C</i>	3,500	-
Non-profit institutions	<i>Annex 1D</i>	5,789	7,202
Households	<i>Annex 1E</i>	172	144
<b>Total</b>		<b>287,078</b>	<b>270,896</b>

The nature of the transfer payments made to municipalities does not require unspent funds to be paid back to the Department, excluding the transfer made to the Drakenstein Municipality in respect of the Water for Sustainable Growth and Development project. The Drakenstein Municipality have spent all the transferred funds as at the reporting date. CapeNature, reported as a departmental agency, submitted a request in respect of retention of surplus as part of the Provincial Treasury process.

**7. EXPENDITURE FOR CAPITAL ASSETS**

<b>Tangible assets</b>	<i>7.1</i>	<b>6,945</b>	<b>5,982</b>
Machinery and equipment	<i>27</i>	6,945	5,982
<b>Intangible assets</b>	<i>7.1</i>	<b>-</b>	<b>517</b>
Software	<i>29</i>	-	517
<b>Total</b>		<b>6,945</b>	<b>6,499</b>



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		<b>Voted Funds R'000</b>	<b>Total R'000</b>
<b>7.1 Analysis of funds utilised to acquire capital assets – 2016/17</b>	7		
<b>Tangible assets</b>		<b>6,945</b>	<b>6,945</b>
Machinery and equipment		6,945	6,945
<b>Total</b>		<b>6,945</b>	<b>6,945</b>
<b>7.2 Analysis of funds utilised to acquire capital assets – 2015/16</b>	7		
<b>Tangible assets</b>		<b>5,982</b>	<b>5,982</b>
Machinery and equipment		5,982	5,982
<b>Intangible assets</b>		<b>517</b>	<b>517</b>
Software		517	517
<b>Total</b>		<b>6,499</b>	<b>6,499</b>
	<b>Note</b>	<b>2016/17 R'000</b>	<b>2015/16 R'000</b>
<b>7.3 Finance lease expenditure included in expenditure for capital assets</b>			
<b>Tangible assets</b>			
Machinery and equipment		2,658	2,635
<b>Total</b>		<b>2,658</b>	<b>2,635</b>
<b>8. CASH AND CASH EQUIVALENTS</b>			
Consolidated Paymaster General Account		5,747	6,922
Cash on hand		10	10
<b>Total</b>		<b>5,757</b>	<b>6,932</b>
<b>9. PREPAYMENTS AND ADVANCES</b>			
Travel and subsistence		-	32
<b>Total</b>		<b>-</b>	<b>32</b>

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	<i>Note</i>	<b>2016/17</b> <b>R'000</b>	<b>2015/16</b> <b>R'000</b>
<b>9.1 Prepayments (expensed)</b>			
Goods and services		-	85
<b>Total</b>		<b>-</b>	<b>85</b>

**10. RECEIVABLES**

		<b>2016/17</b>			<b>2015/16</b>		
	<i>Note</i>	<b>Current</b> <b>R'000</b>	<b>Non-current</b> <b>R'000</b>	<b>Total</b> <b>R'000</b>	<b>Current</b> <b>R'000</b>	<b>Non-current</b> <b>R'000</b>	<b>Total</b> <b>R'000</b>
Claims recoverable	10.1	-	-	-	154	-	154
Recoverable expenditure	10.2	60	-	60	22	37	59
Staff debt	10.3	38	-	38	51	-	51
Other debtors	10.4	14	-	14	99	-	99
<b>Total</b>		<b>112</b>	<b>-</b>	<b>112</b>	<b>326</b>	<b>37</b>	<b>363</b>

	<i>Note</i>	<b>2016/17</b> <b>R'000</b>	<b>2015/16</b> <b>R'000</b>
<b>10.1 Claims recoverable</b>	10		
Provincial departments		-	154
<b>Total</b>		<b>-</b>	<b>154</b>
<b>10.2 Recoverable expenditure (disallowance accounts)</b>	10		
Disallowance miscellaneous	10	40	22
Disallowance damages and losses		20	37
<b>Total</b>		<b>60</b>	<b>59</b>
<b>10.3 Staff debt</b>	10		
Salary reversal control: salary related debt		-	19
Salary tax debt		7	15
Debt Account (in service)		31	17
<b>Total</b>		<b>38</b>	<b>51</b>

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	<i>Note</i>	<b>2016/17</b> <b>R'000</b>	<b>2015/16</b> <b>R'000</b>
<b>10.4 Other debtors</b>	<i>10</i>		
Debt Account (out of service)		14	99
<b>Total</b>		<b>14</b>	<b>99</b>
<b>11. VOTED FUNDS TO BE SURRENDERED TO THE PROVINCIAL REVENUE FUND</b>			
Opening balance		6,828	24,981
Transfer from statement of financial performance (as restated)		5,749	6,828
Paid during the year		(6,828)	(24,981)
<b>Closing balance</b>		<b>5,749</b>	<b>6,828</b>
The surplus funds were surrendered to the Provincial Revenue fund during May 2017.			
<b>12. DEPARTMENTAL REVENUE AND PRF RECEIPTS TO BE SURRENDERED TO THE PROVINCIAL REVENUE FUND</b>			
Opening balance		433	495
Transfer from Statement of Financial Performance (as restated)		4,298	2,118
Own revenue included in appropriation		3,500	2,050
Paid during the year		(8,145)	(4,230)
<b>Closing balance</b>		<b>86</b>	<b>433</b>
<b>13. PAYABLES - CURRENT</b>	<i>13.1</i>		
Clearing accounts		-	36
<b>Total</b>		<b>-</b>	<b>36</b>
<b>13.1 Clearing accounts</b>	<i>13</i>		
Salary Recalls account		-	36
<b>Total</b>		<b>-</b>	<b>36</b>

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	<i>Note</i>	<b>2016/17</b> <b>R'000</b>	<b>2015/16</b> <b>R'000</b>
<b>14. NET CASH FLOW AVAILABLE FROM OPERATING ACTIVITIES</b>			
Net surplus/(deficit) as per Statement of Financial Performance		10,047	8,946
Add back non cash/cash movements not deemed operating activities		(4,349)	(20,846)
(Increase)/decrease in receivables – current		251	(124)
(Increase)/decrease in prepayments and advances		32	(32)
Increase/(decrease) in payables – current		(36)	11
Proceeds from sale of capital assets		(68)	(39)
Expenditure on capital assets		6,945	6,499
Surrenders to Revenue Fund		(14,973)	(29,211)
Own revenue included in appropriation		3,500	2,050
<b>Net cash flow generated by operating activities</b>		<b>5,698</b>	<b>(11,900)</b>
<b>15. RECONCILIATION OF CASH AND CASH EQUIVALENTS FOR CASH FLOW PURPOSES</b>			
Consolidated Paymaster General account		5,747	6,922
Cash on hand		10	10
<b>Total</b>		<b>5,757</b>	<b>6,932</b>
<b>16. CONTINGENT LIABILITIES AND CONTINGENT ASSETS</b>			
<b>16.1 Contingent liability</b>			
<b>Nature of contingent liability</b>			
Claims against the department*	<i>Annex 2</i>	400	-
Intergovernmental payables (unconfirmed balances)	<i>Annex 2</i>	-	44
<b>Total</b>		<b>400</b>	<b>44</b>

\* The R400 thousand refers to the matter of Hans Ulrich Plotz vs Department of Environmental Affairs and Development Planning which judgement was granted against the Department. The Department is currently seeking for leave to appeal against the judgement and could therefore not conclude on the timing or final amount.

*Additional Information:*

*During the period under review three cases were reported as injury on duty (IOD) cases. The Department is not in a position to determine the best estimate for the liability.*

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	<i>Note</i>	<b>2016/17</b>	<b>2015/16</b>
		<b>R'000</b>	<b>R'000</b>
<b>16.2 Contingent assets</b>			
<b>Nature of contingent asset</b>			
National Environmental Management Act (NEMA) Section 24G Outstanding Fines*		2,863	4,561
Salary Overpayment**		8	-
<b>Total</b>		<b>2,871</b>	<b>4,561</b>

\* The disclosure of R2,863 million relates to outstanding fines in terms of Section 24G for persons/entities who commenced with listed activities without prior environmental authorisation.

A prior period error was corrected in the 2016/17 financial year which resulted in a decrease of R525 thousand of the 2015/16 comparative amount in respect of the NEMA Section 24G fines. Included in the R525 thousand was R125 thousand that was reclassified as Accrued departmental revenue and subsequently collected during this financial year.

\*\* An overpayment occurred due to an official who deceased during December 2016.

At this stage the Department is not able to reliably measure the contingent asset in terms of the Government Employees Housing Scheme of the Individually Linked Savings Facility (ILSF), relating to resignations and termination of service.

**Additional information:**

During the financial year fifty cases were reported as Policy and Procedure on Incapacity Leave and Ill-health Retirement (PILIR) of which forty-two cases has been finalised during the financial year and eight cases is pending.

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	<i>Note</i>	<b>2016/17</b> <b>R'000</b>	<b>2015/16</b> <b>R'000</b>
<b>17. COMMITMENTS</b>			
<b>Current expenditure</b>			
Approved and contracted		14,595	23,260
Approved but not yet contracted		-	-
		<b>14,595</b>	<b>23,260</b>
<b>Capital expenditure</b>			
Approved and contracted		57	-
<b>Total Commitments</b>		<b>14,652</b>	<b>23,260</b>

The total commitments for the 2017/18 financial year is R5,8 million (rounded) and for future financial years is R8,8 million (rounded).

**18. ACCRUAL AND PAYABLES NOT RECOGNISED**

**18.1 Accruals**

**Listed by economic classification**

	<b>2016/17</b> <b>R'000</b>			<b>2015/16</b> <b>R'000</b>
	<b>30 Days</b>	<b>30+ Days</b>	<b>Total</b>	<b>Total</b>
Goods and services	614	-	614	726
Transfer and subsidies	-	-	-	1
Capital assets	228	-	228	212
<b>Total</b>	<b>842</b>	<b>-</b>	<b>842</b>	<b>939</b>

	<i>Note</i>	<b>2016/17</b> <b>R'000</b>	<b>2015/16</b> <b>R'000</b>
<b>Listed by programme level</b>			
Administration		688	569
Environmental Policy, Planning and Coordination		4	23
Compliance and Enforcement		78	34
Environmental Quality Management		57	170
Biodiversity Management		7	9
Development Planning		8	134
<b>Total</b>		<b>842</b>	<b>939</b>

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**18.2 Payables not recognised**

**Listed by economic classification**

	<b>2016/17</b>			<b>2015/16</b>
	<b>R'000</b>			<b>R'000</b>
	<b>30 Days</b>	<b>30+ Days</b>	<b>Total</b>	<b>Total</b>
Goods and services	312	-	312	390
<b>Total</b>	<b>312</b>	<b>-</b>	<b>312</b>	<b>390</b>

	<i>Note</i>	<b>2016/17</b>	<b>2015/16</b>
		<b>R'000</b>	<b>R'000</b>
<b>Listed by programme level</b>			
Compliance and Enforcement		-	372
Environmental Quality Management		312	-
Development Planning		-	18
<b>Total</b>		<b>312</b>	<b>390</b>

**19. EMPLOYEE BENEFITS**

Leave entitlement*	4,465	3,679
Service bonus (Thirteenth cheque)	4,476	4,185
Performance awards	2,036	2,791
Capped leave commitments	2,585	2,490
Other**	238	281
<b>Total</b>	<b>13,800</b>	<b>13,426</b>

\* Leave entitlement amount includes leave with negative balances of R449 thousand (rounded) and has been added back to the leave liability.

\*\* "Other" is in respect of long service awards payable in 2017/18 which amounts to R50 thousand (rounded). At this stage the Department is not able to reliably measure the long term portion of the long service awards. Furthermore, salary related payments were paid in April and May 2017 with an effective date of 31 March 2017. This includes expenditure accrued for basic backdated salaries, service bonus arrears, housing allowance arrears and overtime.

A prior period error was discovered during the financial year which resulted in the reclassification of R225 thousand (rounded) that was previously disclosed as accruals.

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	<b>Machinery and equipment</b>	<b>Total</b>
	<b>R'000</b>	<b>R'000</b>
<b>20. LEASE COMMITMENTS</b>		
<b>20.1 Operating leases expenditure</b>		
<b>2016/17</b>		
Not later than 1 year	586	586
Later than 1 year and not later than 5 years	135	135
<b>Total lease commitments</b>	<b>721</b>	<b>721</b>
<b>2015/16</b>		
Not later than 1 year	1,201	1,201
Later than 1 year and not later than 5 years	740	740
<b>Total lease commitments</b>	<b>1,941</b>	<b>1,941</b>

The Department entered into operating lease agreements for rental of photocopy machines for a period of 36 months. The rentals are fixed for the duration of the period and there are no renewal or purchase or escalation clauses. The maintenance of the photocopy machines are done by the lessor for the lease period.



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	<b>Machinery and equipment</b>	<b>Total</b>
	<b>R'000</b>	<b>R'000</b>
<b>20.2 Finance leases expenditure</b>		
<b>2016/17</b>		
Not later than 1 year	2,479	2,479
Later than 1 year and not later than 5 years	4,928	4,928
<b>Total lease commitments</b>	<b>7,407</b>	<b>7,407</b>
<b>2015/16</b>		
Not later than 1 year	2,645	2,645
Later than 1 year and not later than 5 years	8,032	8,032
Later than five years	-	-
<b>Total lease commitments</b>	<b>10,677</b>	<b>10,677</b>

The Department leased data cards. The rentals are fixed for 24 months and are subject to renewal at the end of the lease period.

The Department of Environmental Affairs and Development Planning leased 44 vehicles from GMT as at 31 March 2017 (March 2016: 44). Daily tariffs are payable on a monthly basis, covering the operational costs, capital costs of replacement of vehicles, and the implicit finance costs in this type of arrangement.

The implicit interest is based on Provincial Treasury's approved tariffs for GMT. The department uses the vehicle for most of the useful life of the vehicle. The agreement does not provide for contingent lease payments, and at the end of the useful life as determined by the lessor, the vehicles are returned where it is sold on auction for the benefit of the lessor.

	<b>Note</b>	<b>2016/17</b>	<b>2015/16</b>
		<b>R'000</b>	<b>R'000</b>
<b>21. ACCRUED DEPARTMENTAL REVENUE</b>			
Fines, penalties and forfeits		950	125
Transfers received		50	-
<b>Total</b>		<b>1,000</b>	<b>125</b>

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	<i>Note</i>	<b>2016/17</b>	<b>2015/16</b>
		<b>R'000</b>	<b>R'000</b>
<b>21.1 Analysis of accrued departmental revenue</b>			
Opening balance		125	-
Less: Amount received		(125)	-
Add: Amount recognised		1,000	125
<b>Closing balance</b>		<b>1,000</b>	<b>125</b>

A Prior period error was corrected in the 2016/17 financial year which resulted in a decrease of R525 thousand of the 2015/16 comparative amount in respect of the NEMA Section 24G fines. Included in the R525 thousand was R125 thousand that was reclassified as Accrued departmental revenue and subsequently collected during this financial year.

The Department concluded a three-year agreement with the Drakenstein Trust to assist the Department financially through a contribution of R50 thousand a year for the implementation of the Berg and Breede river riparian rehabilitation programme, of which the first R50 thousand was received in the 2016/17 financial year.

## **22. IRREGULAR EXPENDITURE**

### **22.1 Reconciliation of irregular expenditure**

Opening balance	64	-
As restated	64	-
Add: Irregular expenditure – relating to prior year	3	-
Add: Irregular expenditure – relating to current year	-	64
Less: Prior year amounts condoned	(3)	-
<b>Irregular expenditure awaiting condonation</b>	<b>64</b>	<b>64</b>

#### **Analysis of awaiting condonation per age classification**

Current year	-	64
Prior years	64	-
<b>Total</b>	<b>64</b>	<b>64</b>

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**22.2 Details of irregular expenditure – added current year (related to prior years)**

<b>Incident</b>	<b>Disciplinary steps taken/criminal proceedings</b>
A payment of R64 thousand (rounded) was made to a supplier who did not comply with the prescribed minimum threshold for local production and content that resulted in non-compliance to the Preferential Procurement Policy Framework Act.	

**22.3 Details of irregular expenditures condoned**

<b>Incident</b>	<b>Condoned by (condoning authority)</b>	<b>2016/17 R'000</b>
Procurement of catering for a meeting without an approved official order being issued to the supplier.	Accounting Officer	3
<b>Total</b>		<b>3</b>

**2015/16  
R'000**

**22.4 Prior period error**

**Relating to 2015/16**

A payment was made during the 2015/16 financial year which was identified during the reporting period. A supplier was appointed to deliver goods to the Department, however the supplier did not comply with the prescribed minimum threshold for local production and content that resulted in non-compliance with the Preferential Procurement Policy Framework Act.

<b>Total</b>	<b>64</b>
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<b>Note</b>	<b>2016/17 R'000</b>	<b>2015/16 R'000</b>
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**23. FRUITLESS AND WASTEFUL EXPENDITURE**

Opening balance	1	-
As restated	1	-
Fruitless and wasteful expenditure – relating to prior year	3	-
Fruitless and wasteful expenditure – relating to current year	-	1
Less: Amounts resolved	(4)	-
<b>Closing balance</b>	<b>-</b>	<b>1</b>

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	<b>2016/17</b>
	<b>R'000</b>
<b>23.1 Details of fruitless and wasteful expenditures under investigation (not included in the main note)</b>	
<b>Incident</b>	
Alleged incorrect procedures followed relating to the cancellation of reserved accommodation.	1
Air flight reservation made, on return the official could not adhere to the return flight times and had to pay additional funds on the return air flight ticket to activate the flexi ticket option.	1
<b>Total</b>	<b>2</b>

	<b>Note</b>	<b>2016/17</b>	<b>2015/16</b>
		<b>R'000</b>	<b>R'000</b>
<b>24. RELATED PARTY TRANSACTIONS</b>			
<b>Revenue received</b>			
Sales of goods and services other than capital assets		29	14
Sales of capital assets		65	33
<b>Total</b>		<b>94</b>	<b>47</b>

**In kind goods and services provided/received**

The Western Cape Nature Conservation Board, trading as CapeNature, is a Schedule 3, Part C, public entity in terms of the Public Finance Management Act (PFMA) and resorts under the provincial minister responsible for environmental affairs. Transfer payments were made to CapeNature during the 2016/17 financial year as per Annexure 1B.

The Department occupies buildings free of charge managed by the Department of Transport and Public Works. Parking space is also provided for government officials at an approved fee that is not market related.

The Department of Environmental Affairs and Development Planning make use of government motor vehicles managed by Government Motor Transport (GMT) based on tariffs approved by the Provincial Treasury.

The Department received corporate services from the Corporate Services Centre of the Department of the Premier in the Western Cape Province with effect from 1 November 2010 in respect of the following service areas:

- Information and Communication Technology
- Organisation Development
- Provincial Training (transversal)
- Human Resource Management

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- Enterprise Risk Management
- Internal Audit
- Provincial Forensic Services
- Legal Services
- Corporate Communication

The Department received Security Advisory Services and Security Operations from the Department of Community Safety in the Western Cape Province.

Since the Minister: Local Government, Environmental Affairs and Development Planning is the Executive Authority for both Departments of Local Government and Environmental Affairs and Development Planning, the Department of Local Government is considered a related party.

During the financial year, one asset was transferred to CapeNature.

	<i><b>Number of individuals</b></i>	<b>2016/17 R'000</b>	<b>2015/16 R'000</b>
<b>25. KEY MANAGEMENT PERSONNEL</b>			
Political office bearers*	1	1,902	2,071
Officials:			
Level 15	1	1,752	1,679
Level 14	5	6,029	5,795
<b>Total</b>		<b>9,683</b>	<b>9,545</b>

\* Minister of Local Government, Environmental Affairs and Development Planning.

	<i><b>Note</b></i>	<b>2016/17 R'000</b>	<b>2015/16 R'000</b>
<b>26. PROVISIONS</b>			
Court case: UMHLABA Plant Hire		42	68
Service Bonus		13	-
Court case: Mineral Sands Resources Pty Ltd		350	-
<b>Total</b>		<b>405</b>	<b>68</b>

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**26.1 Reconciliation of movement in provisions – 2016/17**

	<b>Provision 1</b>	<b>Provision 2</b>	<b>Provision 3</b>	<b>Total Provisions</b>
	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>
Opening balance	68	-	-	68
Increase in provision		13	350	363
Change in provision due to change in estimated of inputs	(26)	-	-	(26)
<b>Closing balance</b>	<b>42</b>	<b>13</b>	<b>350</b>	<b>405</b>

Provision 1\* Court case: UMHLABA Plant Hire vs The Director, Environmental Compliance and Enforcement, Western Cape Government (Second respondent)\*

Provision 2\*\* Service Bonus

Provision 3\*\*\* Mineral Sands Resources Pty Ltd vs the Minister and Head of Department of Environmental Affairs and Development Planning 5 and 7 respondents

\* The matter refers to UMHLABA Plant Hire vs The Director, Environmental Compliance and Enforcement, Western Cape Government which judgement was granted against the Department. The Department filed an intention to oppose some elements of the applicant's costs and could therefore not conclude on the timing or final amount.

\*\* The service bonus is due to the passing of an employee. As at the reporting date, the Department has not paid the final amount as it was not concluded.

\*\*\* The matter refers to Mineral Sands Resources Pty Ltd vs the Minister and Head of Department of the Department of Environmental Affairs and Development Planning, 5 and 7 respondents to jointly pay the applicant's costs. The Department will be liable for a portion of the applicant's costs. The Department is still awaiting the applicant's bill of costs however an amount of R350 thousand can be estimated reliably.

<b>Provision</b>	<b>Total Provisions</b>
<b>R'000</b>	<b>R'000</b>
-	-
68	68
<b>68</b>	<b>68</b>

**Reconciliation of movement in provisions – 2015/16**

Opening balance

Increase in provision

**Closing balance**

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**27. MOVABLE TANGIBLE CAPITAL ASSETS**

**MOVEMENT IN MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2017**

	<b>Opening balance</b>	<b>Value adjustments</b>	<b>Additions</b>	<b>Disposals</b>	<b>Closing balance</b>
	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>
<b>Machinery and equipment</b>	<b>36,196</b>	<b>-</b>	<b>4,287</b>	<b>(1,915)</b>	<b>38,568</b>
Transport assets	8,435	-	-	-	8,435
Computer equipment	9,841	-	461	(1,288)	9,014
Furniture and office equipment	3,612	-	66	(545)	3,133
Other machinery and equipment	14,308	-	3,760	(82)	17,986
<b>Total movable tangible capital assets</b>	<b>36,196</b>	<b>-</b>	<b>4,287</b>	<b>(1,915)</b>	<b>38,568</b>

**MOVABLE TANGIBLE CAPITAL ASSETS UNDER INVESTIGATION**

	<b>Number</b>	<b>Value R'000</b>
<b>Included in the above total of the movable tangible capital assets per the asset register are assets that are under investigation:</b>		
<b>Machinery and equipment</b>	<b>14</b>	<b>114</b>

During the annual asset verification process 14 assets to the total value of R114 thousand (rounded) could not be verified and is under investigation. Further investigations to locate these assets will continue. Should these assets not be located, the loss procedure will be followed to determine potential liability and possible recovery.

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**27.1 Additions**

**ADDITIONS TO MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2017**

	<b>Cash</b>	<b>Non-cash</b>	<b>(Capital Work in Progress current costs and finance lease payments)</b>	<b>Received current, not paid (Paid current year, received prior year)</b>	<b>Total</b>
	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>
<b>Machinery and equipment</b>	<b>6,945</b>	<b>-</b>	<b>(2,658)</b>	<b>-</b>	<b>4,287</b>
Transport assets	2,598	-	(2,598)	-	-
Computer equipment	461	-	-	-	461
Furniture and office equipment	66	-	-	-	66
Other machinery and equipment	3,820	-	(60)	-	3,760
<b>Total additions to movable tangible capital assets</b>	<b>6,945</b>	<b>-</b>	<b>(2,658)</b>	<b>-</b>	<b>4,287</b>

**27.2 Disposals**

**DISPOSALS OF MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2017**

	<b>Sold for cash</b>	<b>Non-cash disposal</b>	<b>Total disposals</b>	<b>Cash received actual</b>
	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>
<b>Machinery and equipment</b>	<b>1,866</b>	<b>49</b>	<b>1,915</b>	<b>68</b>
Computer equipment	1,253	35	1,288	63
Furniture and office equipment	545	-	545	4
Other machinery and equipment	68	14	82	1
<b>Total disposal of movable tangible capital assets</b>	<b>1,866</b>	<b>49</b>	<b>1,915</b>	<b>68</b>



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**27.3 Movement for 2015/16**

**MOVEMENT IN MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2016**

	<b>Opening balance</b>	<b>Value adjustments</b>	<b>Additions</b>	<b>Disposals</b>	<b>Closing balance</b>
	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>
<b>Machinery and equipment</b>	<b>34,256</b>	<b>(71)</b>	<b>5,396</b>	<b>3,385</b>	<b>36,196</b>
Transport assets	7,359	-	2,006	930	8,435
Computer equipment	10,741	(57)	1,278	2,121	9,841
Furniture and office equipment	3,382	39	447	256	3,612
Other machinery and equipment	12,774	(53)	1,665	78	14,308
<b>Total movable tangible capital assets</b>	<b>34,256</b>	<b>(71)</b>	<b>5,396</b>	<b>3,385</b>	<b>36,196</b>

**2015/16**

**R'000**

**27.3.1 Prior period error**

**Nature of prior period error**

Relating to 2015/16 (affecting the opening balance).

During the financial year it was discovered assets to the value of R71 thousand (rounded) was overstated during the 2015/16 financial year.

(71)

**(71)**

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**27.4 Minor assets**

**MOVEMENT IN MINOR ASSETS PER THE ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2017**

	<b>Intangible assets</b>	<b>Machinery and equipment</b>	<b>Total</b>
	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>
Opening balance	-	5,240	5,240
Value adjustments	-	-	-
Additions	9	205	214
Disposals	-	(196)	(196)
<b>Total minor assets</b>	<b>9</b>	<b>5,249</b>	<b>5,258</b>

	<b>Machinery and equipment</b>	<b>Total</b>
Number of R1 minor assets	2,658	2,658
Number of minor assets at cost	3,072	3,072
<b>Total number of minor assets</b>	<b>5,731</b>	<b>5,731</b>

**MINOR CAPITAL ASSETS UNDER INVESTIGATION**

	<b>Number</b>	<b>Value</b>
		<b>R'000</b>
<b>Included in the above total of the minor capital assets per the asset register are assets that are under investigation:</b>		
<b>Machinery and equipment</b>	<b>721</b>	<b>160</b>

During the financial year, assets were identified for de-recognition and as a result, the assets were not verified during the annual asset verification process. A total of 721 items to the value of R160 thousand (rounded) were not verified and are currently being investigated further to confirm existence of such.

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**MOVEMENT IN MINOR ASSETS PER THE ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2016**

	<b>Machinery and equipment</b>	<b>Total</b>
	<b>R'000</b>	<b>R'000</b>
Opening balance	4,867	4,867
Prior period error	(46)	(46)
Additions	767	767
Disposals	(348)	(348)
<b>Total minor assets</b>	<b>5,240</b>	<b>5,240</b>

	<b>Machinery and equipment</b>	<b>Total</b>
Number of R1 minor assets	2,780	2,780
Number of minor assets at cost	3,083	3,083
<b>TOTAL NUMBER OF MINOR ASSETS</b>	<b>5,863</b>	<b>5,863</b>

**2015/16**

**R'000**

**27.4.1 Prior period error**

**Nature of the prior period error**

Relating to 2015/16 (affecting the opening balance)

(46)

**Total**

**(46)**

A prior period error was corrected during the year which affected the opening balance of the 2015/16 financial year.

**27.5 Movable assets written off**

**MOVABLE ASSETS WRITTEN OFF FOR THE YEAR ENDED AS AT 31 MARCH 2017**

	<b>Machinery and equipment</b>	<b>Total</b>
	<b>R'000</b>	<b>R'000</b>
Assets written off	1	1
<b>Total movable assets written off</b>	<b>1</b>	<b>1</b>

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**MOVABLE ASSETS WRITTEN OFF FOR THE YEAR ENDED AS AT 31 MARCH 2016**

	<b>Machinery and equipment</b>	<b>Total</b>
	<b>R'000</b>	<b>R'000</b>
Assets written off	18	18
<b>Total movable assets written off</b>	<b>18</b>	<b>18</b>

**27.6 S42 Movable capital assets**

**MINOR ASSETS SUBJECTED TO TRANSFER IN TERMS OF S42 OF THE PFMA -  
31 MARCH 2017**

No. of Assets

Value of the assets (R'000)

The value of the asset transferred was R1.

<b>Machinery and equipment</b>	<b>Total</b>
1	1
-	-

**MAJOR ASSETS SUBJECTED TO TRANSFER IN TERMS OF S42 OF THE PFMA -  
31 MARCH 2016**

	<b>Machinery and equipment</b>	<b>Total</b>
No. of Assets	54	54
Value of the assets (R'000)	1,050	1,050

**MINOR ASSETS SUBJECTED TO TRANSFER IN TERMS OF S42 OF THE PFMA -  
31 MARCH 2016**

	<b>Machinery and equipment</b>	<b>Total</b>
No. of Assets	109	109
Value of the assets (R'000)	124	124

**28. INTANGIBLE CAPITAL ASSETS**

**MOVEMENT IN INTANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR  
ENDED 31 MARCH 2017**

	<b>Opening balance</b>	<b>Value ad- justments</b>	<b>Additions</b>	<b>Disposals</b>	<b>Closing balance</b>
	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>
Software	517	-		-	517
<b>Total intangible capital assets</b>	<b>517</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>517</b>

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**28.1 Movement for 2015/16**

**MOVEMENT IN INTANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2016**

	<b>Opening balance</b>	<b>Prior period error</b>	<b>Additions</b>	<b>Disposals</b>	<b>Closing balance</b>
	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>
Software	-	-	517	-	517
<b>Total intangible capital assets</b>	<b>-</b>	<b>-</b>	<b>517</b>	<b>-</b>	<b>517</b>

**29. PRINCIPAL AGENT ARRANGEMENT**

**29.1 Department acting as a principal**

	<b>Fee paid</b>	
	<b>2016/17</b>	<b>2015/16</b>
	<b>R'000</b>	<b>R'000</b>
Violence Prevention through Upgrade Programme (VPUU) NPC	4,989	5,802
<b>Total</b>	<b>4,989</b>	<b>5,802</b>

Flowing from various agreements, the Violence Prevention through Urban Upgrading Western Cape Programme (VPUU) was established. By virtue of the agreements, the VPUU "Not for profit company" (NPC) is acting on behalf of the Western Cape Government, through the Department, to implement the VPUU Programme, which was initially implemented only in the City of Cape Town. The purpose of the programme is to improve quality of life to build safe and sustainable neighbourhoods and to promote a "whole-of-society" approach within the Western Cape.

The Financing and Project Agreement, resulted in the establishment of an arrangement with the Project-Executing Agency, the VPUU NPC. During the 2016/17 financial year R4,989 million (rounded) was transferred to the VPUU NPC in accordance with the agreed project deliverables and which is disclosed as transfer payments and is included in the statement of financial performance and notes to the annual financial statements.

Various monitoring procedures are in place to adequately manage the deliverables. Quarterly engagements are conducted to monitor the progress on the obligations as set out in the various agreements.

The VPUU NPC, with their appointed service providers are performing work on behalf of the Department within participating municipalities based on the terms and conditions as stipulated in the agreements. The VPUU NPC assists the Department with the evaluation of municipal projects as part of the approval process in accordance with the binding arrangements.

The VPUU NPC and the third parties control their own assets and liabilities. The VPUU NPC provides social skills and training which forms part of the technical assistance to the third parties for the implementation of the programme.

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In the event where the Project-Executive Agency cannot fulfil the obligations, the Department will enter into other agreements to complete the project.

A prior period error was corrected during the financial year, which resulted in an increase of R5,802 million of the 2015/16 financial year in respect of Violence Prevention through Urban Upgrading Programme.

	<b>Note</b>	<b>2015/16</b>
		<b>R'000</b>
<b>30. PRIOR PERIOD ERRORS</b>		
<b>30.1 Correction of prior period error</b>		
<b>Expenditure: (e.g. Compensation of employees, Goods and services, Tangible capital assets, etc.)</b>		
Tangible capital assets*		(71)
Minor assets**		(46)
<b>Net effect</b>		<b>(117)</b>
 <b>Assets: (Receivables, Investment, Accrued departmental revenue)</b>		
Contingent Asset: National Environmental Management Act (NEMA) Section 24G		(525)
Accrued departmental revenue: National Environmental Management Act		125
<b>Net effect</b>		<b>(400)</b>
 <b>Other: Principal -agent arrangements</b>		
<b>Department acting as the principal</b>		
Violence Prevention through Urban Upgrade Programme (VPUU)*		5,802
Employee benefits**		281
<b>Net effect</b>		<b>6,083</b>

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**Expenditure: (e.g. Compensation of employees, Goods and services, Tangible capital assets, etc.)**

- \* During the financial year it was discovered assets to the value of R71 thousand (rounded) was overstated during the 2015/16 financial year.
- \*\* A prior period error was corrected during the year which affected the opening balance of the 2015/16 financial year.

**Assets: (Receivables, Investment, Accrued departmental revenue)**

A Prior period error was corrected in the 2016/17 financial year which resulted in a decrease of R525 thousand of the 2015/16 comparative amount in respect of the NEMA Section 24G fines. Included in the R525 thousand was R125 thousand that was reclassified as Accrued departmental revenue and subsequently collected during this financial year.

**Other: (e.g. Irregular expenditure, fruitless and wasteful expenditure, etc.)**

- \* A prior period error was corrected during the financial year which resulted in an increase of R5,802 million of the 2015/16 financial year in respect of the Violence Prevention through Urban Upgrade Programme.
- \*\* A prior period error was discovered during the financial year which resulted in the reclassification of R225 thousand (rounded) that was previously disclosed as accruals.

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**31. STATEMENT OF CONDITIONAL GRANTS RECEIVED**

NAME OF DEPARTMENT	GRANT ALLOCATION						SPENT			2015/16	
	Division of Revenue Act/ Provincial Grants	Roll overs	DoRA adjustments	Other adjustments	Total available	Amount received by department	Amount spent by department	Under / (Overspending)	% of available funds spent by department	Division of Revenue Act	Amount spent by department
	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
National Public Works (Expanded Public Works Programme Integrated Grant)	3,815	-	-	-	3,815	3,815	3,815	-	100.0%	2,959	2,959
TOTAL	3,815	-	-	-	3,815	3,815	3,815	-	100.0%	2,959	2,959

All funds received in terms of the Division of Revenue Act were deposited into the Province's primary bank account.



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**32. STATEMENT OF CONDITIONAL GRANTS AND OTHER TRANSFERS TO MUNICIPALITIES**

NAME OF MUNICIPALITY	GRANT ALLOCATION				TRANSFER		
	DoRA and other transfers	Roll overs	Adjustments	Total available	Actual transfer	Funds withheld	Re-allocations by National Treasury or National Department
	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>	<b>%</b>
The following allocations does not form part of the DoRA requirements							
<b>Regional Socio-Economic Projects/Violence Prevention through Urban Upgrade Programme (RSEP/VPUU)*</b>							
Saldanha Bay	8,000	-	1,500	9,500	9,500	-	-
Swartland	7,500	-	-	7,500	7,500	-	-
Breede Valley	8,000	-	1,500	9,500	9,500	-	-
<b>Spatial Development Framework**</b>							
Stellenbosch	400	-	-	400	400	-	-
<b>Greenest Municipality Competition***</b>							
Swartland	70	-	-	70	70	-	-
Overstrand	50	-	-	50	50	-	-
Hessequa	130	-	-	130	130	-	-
Overberg District	70	-	-	70	70	-	-
West Coast District	50	-	-	50	50	-	-
Eden District	130	-	-	130	130	-	-
<b>Water for Sustainable Growth and Development****</b>							
Drakenstein	-	-	500	500	500	-	-
<b>TOTAL</b>	<b>24,400</b>	<b>-</b>	<b>3,500</b>	<b>27,900</b>	<b>27,900</b>	<b>-</b>	<b>-</b>

\* Municipalities which form part of the implementation of the Regional Socio-Economic Projects/Violence Prevention through Urban Upgrade Programme (RSEP/VPUU).

\*\* Preparation of a Human Settlement Plan to be part of the Spatial Development Framework.

\*\*\* The winning municipalities of the Greenest Municipality Competition.

\*\*\*\* To promote reuse of treated wastewater to address water security risks.

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**ANNEXURE 1A**

**STATEMENT OF CONDITIONAL GRANTS AND OTHER TRANSFERS PAID TO MUNICIPALITIES**

NAME OF MUNICIPALITY	GRANT ALLOCATION				TRANSFER			SPENT			2015/16
	DoRA and other transfers	Roll overs	Adjustments	Total available	Actual transfer	Funds withheld	Re-allocations by National Treasury or National Department	Amount received by municipality	Amount spent by municipality	% of available funds spent by municipality	
	R'000	R'000	R'000		R'000	R'000	%	R'000	R'000	%	R'000
The following allocations does not form part of the DoRA requirements											
<b>Regional Socio-Economic Projects/Violence Prevention through Urban Upgrade Programme (RSEP/VPUU)*</b>											
Saldanha Bay	8,000	-	1,500	9,500	9,500	-	-	9,500	2,088	22%	3,850
Swartland	7,500	-	-	7,500	7,500	-	-	7,500	2,346	31%	1,850
Breede Valley	8,000	-	1,500	9,500	9,500	-	-	9,500	931	10%	1,950
Drakenstein	-	-	-	-	-	-	-	-	-	-	500
Theewaterskloof	-	-	-	-	-	-	-	-	-	-	500
<b>Spatial Development Framework**</b>											
Stellenbosch	400	-	-	400	400	-	-	400	-	-	-
Saldanha Bay	-	-	-	-	-	-	-	-	-	-	500

CONTINUE...

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NAME OF MUNICIPALITY	GRANT ALLOCATION				TRANSFER			SPENT			2015/16
	DoRA and other transfers	Roll overs	Adjustments	Total available	Actual transfer	Funds withheld	Re-allocations by National Treasury or National Department	Amount received by municipality	Amount spent by municipality	% of available funds spent by municipality	
	R'000	R'000	R'000		R'000	R'000	%	R'000	R'000	%	R'000
<b>Greenest Municipality Competition***</b>											
Swartland	70	-	-	70	70	-	-	70	-	-	50
Overstrand	50	-	-	50	50	-	-	50	-	-	-
Hessequa	130	-	-	130	130	-	-	130	-	-	130
West Coast District	50	-	-	50	50	-	-	50	-	-	-
Overberg District	70	-	-	70	70	-	-	70	26	37%	70
Eden District	130	-	-	130	130	-	-	130	110	85%	130
Mossel Bay	-	-	-	-	-	-	-	-	-	-	70
Cape Winelands	-	-	-	-	-	-	-	-	-	-	50
<b>Water for Sustainable Growth and Development ****</b>											
Drakenstein	-	-	500	500	500	-	-	500	500	100%	-
<b>Human Settlement Plan</b>											
Stellenbosch	-	-	-	-	-	-	-	-	-	-	500
<b>TOTAL</b>	<b>24,400</b>	<b>-</b>	<b>3,500</b>	<b>27,900</b>	<b>27,900</b>	<b>-</b>	<b>-</b>	<b>27,900</b>	<b>6,001</b>	<b>-</b>	<b>10,150</b>

\* Municipalities which from part of the implementation of the Regional Socio-Economic Project/Violence Prevention through Upgrade Programme (RSEP/VPUP).

\*\* Preparation of a Human Settlement Plan to be part of the Spatial Development Framework.

\*\*\* The winning municipalities of the Greenest Municipality Competition.

\*\*\*\* To promote reuse of treated wastewater to address water security risk.

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
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## **ANNEXURE 1B**

### **STATEMENT OF TRANSFERS TO DEPARTMENTAL AGENCIES AND ACCOUNTS**

DEPARTMENT/AGENCY/ACCOUNT	TRANSFER ALLOCATION				EXPENDITURE		2015/16
	Adjusted Appropriation Act	Roll overs	Adjustments	Total available	Actual transfer	% of Available funds transferred	
	R'000	R'000	R'000	R'000	R'000	%	R'000
Western Cape Nature Conservation Board (CapeNature)	249,717	-	-	249,717	249,717	100%	253,392
Department of the Premier- SABC (TV Licences)	8	-	(7)	1	-	-	8
<b>TOTAL</b>	<b>249,725</b>	<b>-</b>	<b>(7)</b>	<b>249,718</b>	<b>249,717</b>	<b>-</b>	<b>253,400</b>

## ANNEXURE 1C

### STATEMENT OF TRANSFERS/SUBSIDIES TO PUBLIC CORPORATIONS AND PRIVATE ENTERPRISES

DEPARTMENT/AGENCY/ACCOUNT	TRANSFER ALLOCATION				EXPENDITURE		2015/16 Appropriation Act
	Adjusted Appropriation Act	Roll overs	Adjustments	Total available	Actual transfer	% of Available funds transferred	
	R'000	R'000	R'000	R'000	R'000	%	R'000
<b>Public corporations</b>							
Transfers	3,500	-	-	3,500	3,500	100,0%	-
Casidra (Pty) Ltd	3,500	-	-	3,500	3,500	100,0%	-
<b>TOTAL</b>	<b>3,500</b>	<b>-</b>	<b>-</b>	<b>3,500</b>	<b>3,500</b>	<b>-</b>	<b>-</b>

Implementation of the Water Stewardship for Water Security as part of the Water for Sustainable Growth and Development.

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## ANNEXURE 1D

### STATEMENT OF TRANSFERS TO NON-PROFIT INSTITUTIONS

NON-PROFIT INSTITUTIONS	TRANSFER ALLOCATION				EXPENDITURE		2015/16
	Adjusted Appropriation Act	Roll overs	Adjustments	Total available	Actual transfer	% of Available funds transferred	
	R'000	R'000	R'000	R'000	R'000	%	R'000
<b>Transfers</b>							
<b>Regional Socio-Economic Projects/Violence Prevention through Urban Upgrade Programme (RSEP/VPUU)*</b>							
Violence Prevention through Urban Upgrading (VPUU)	5,000	-	-	5,000	4,989	99.8%	5,802
Not for Profit Company (NPC)							
<b>Biosphere Reserve Companies**</b>							
Kogelberg Biosphere Reserve	200	-	-	200	200	100%	350
Cape West Coast Biosphere Reserve	200	-	-	200	200	100%	350
Cape Winelands Biosphere Reserve	200	-	-	200	200	100%	350
Gouritz Cluster Biosphere Reserve	200	-	-	200	200	100%	350
<b>TOTAL</b>	<b>5,800</b>	<b>-</b>	<b>-</b>	<b>5,800</b>	<b>5,789</b>	<b>-</b>	<b>7,202</b>

\* An amount of R5 million (rounded) was transferred for the implementation of the Regional Socio-Economic Projects/Violence Prevention through Urban Upgrade Programme (RSEP/VPUU).

\*\* An amount of R200 thousand was transferred to each Biosphere Reserve Company for operational cost in respect of the 2016/17 financial year.

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**ANNEXURE 1E**  
**STATEMENT OF TRANSFERS TO HOUSEHOLDS**

	TRANSFER ALLOCATION				EXPENDITURE		2015/16 Appropriation Act
	Adjusted Appropriation Act	Roll overs	Adjustments	Total available	Actual transfer	% of Available funds transferred	
	R'000	R'000	R'000	R'000	R'000	%	R'000
<b>Transfers</b>							
Leave Gratuity	151	-	30	181	172	95%	135
Bursaries	31	-	(31)	-	-	-	-
Injury on duty	-	-	-	-	-	-	4
Ex-Gratia payment (Act of grace)	-	-	-	-	-	-	5
<b>TOTAL</b>	<b>182</b>	<b>-</b>	<b>(1)</b>	<b>181</b>	<b>172</b>	<b>-</b>	<b>144</b>

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
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**ANNEXURE 1F**

**STATEMENT OF GIFTS, DONATIONS AND SPONSORSHIPS RECEIVED**

NAME OF ORGANISATION	NATURE OF GIFT, DONATION OR SPONSORSHIP	2016/17	2015/16
<b>Received in cash</b>			
ABSA Trust	Funds received from an estate late.	50	-
Drakenstein Trust	Funds received for the implementation of the Berg and Breede river riparian rehabilitation programme.	50	-
<b>Subtotal</b>		<b>100</b>	<b>-</b>
<b>Received in kind</b>			
German Development Bank (KfW)	The Department of Environmental Affairs and Development Planning, is a signatory to the RSEP/VPUU agreement in partnership with the German government –owned development bank, KfW Entwicklungsbank (a public law legal entity). A non-profit institution was established through which grant funding is channelled as a contribution towards the implementation of this Western Cape RSEP/VPUU Programme. Over the duration of the programme, which is four years, 5 million euro will be transferred to the NPC, being the Implementing Agent. These transfers are pre-approved by the Department, meaning that the deliverables are verified before payment can be made by the KfW to the NPC and therefore ensuring that oversight is maintained.	10,957	2,774
International Ocean Institute (IOI)	The IOI sponsored one official to attend the Ocean Governance for Africa training and capacity building programmes.	38	-
City of Cape Town	Smart driving training programme offered by CoCT for 30 officials within the Department.	75	-
ICLEI	Travelling and registration costs for 3 officials to attend the Local Climate Solutions for Africa Congress in Durban.	7	37
SALGA	Catering provided by SALGA for training workshops on SPLUMA, LUPA and the Standard Draft Municipal Planning Bylaws.	-	18
International Labour Organisation (ILO)	ILO sponsored international flights and accommodation in Turin (Italy) for an official to present on green jobs at the 3rd World Forum on Local Economic Development.	-	47
Liverpool International Waterfront Forum	Invitation to the HOD to be a speaker at the UK Waterfront Cities Symposium and the International Waterfront Forum in Liverpool (UK).	-	27
IUCN (International Union for Conservation of Nature)	Travelling expense to Paris, France for attendance at the World Environmental Hub.	-	31
Indian Government	Civilian training programme presented by the Indian Government (daily allowance).	-	127
<b>Subtotal</b>		<b>11,077</b>	<b>3,061</b>
<b>TOTAL</b>		<b>11,177</b>	<b>3,061</b>



**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
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**ANNEXURE 1G**

**STATEMENT OF GIFTS, DONATIONS AND SPONSORSHIPS MADE**

	NATURE OF GIFT, DONATION OR SPONSORSHIP	2016/17	2015/16
		R'000	R'000
<b>Made in kind</b>			
Ex gratia 'Act of grace' payment		-	5
<b>TOTAL</b>		-	<b>5</b>

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
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## **ANNEXURE 2**

### **STATEMENT OF CONTINGENT LIABILITIES AS AT 31 MARCH 2017**

NATURE OF LIABILITY	OPENING BALANCE 1 APRIL 2016	LIABILITIES INCURRED DURING THE YEAR	LIABILITIES PAID/ CANCELLED/ REDUCED DURING THE YEAR	LIABILITIES RECOVERABLE	CLOSING BALANCE 31 MARCH 2017
	R'000	R'000	R'000	R'000	R'000
<b>Claims against the department</b>					
Hans Ulrich Plotz vs Department of Environmental Affairs and Development Planning	-	400	-	-	400
<b>TOTAL</b>	-	<b>400</b>	-	-	<b>400</b>

The R400 thousand refers to the matter of Hans Ulrich Plotz vs Department of Environmental Affairs and Development Planning which judgement was granted against the Department. The Department filed an intention to appeal against the judgement and could therefore not conclude on the timing or final amount.

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
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**ANNEXURE 3**  
**CLAIMS RECOVERABLE**

	CONFIRMED BALANCE OUTSTANDING		UNCONFIRMED BALANCE OUTSTANDING		TOTAL	
	31/03/2017	31/03/2016	31/03/2017	31/03/2016	31/03/2017	31/03/2016
	R'000	R'000	R'000	R'000	R'000	R'000
<b>GOVERNMENT ENTITY</b>						
<b>Department</b>						
Western Cape: Department of Local Government	-	-	-	54	-	54
Western Cape: Department of Economic Development and Tourism	-	-	-	100	-	100
<b>TOTAL</b>	-	-	-	<b>154</b>	-	<b>154</b>

**WCG: ENVIRONMENTAL AFFAIRS AND DEVELOPMENT PLANNING**  
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**ANNEXURE 4**  
**INTER-GOVERNMENT PAYABLES**

	CONFIRMED BALANCE OUTSTANDING		UNCONFIRMED BALANCE OUTSTANDING		TOTAL	
	31/03/2017	31/03/2016	31/03/2017	31/03/2016	31/03/2017	31/03/2016
	R'000	R'000	R'000	R'000	R'000	R'000
<b>GOVERNMENT ENTITY</b>						
<b>DEPARTMENTS</b>						
<b>Current</b>						
Western Cape: Department of Education	-	-	-	44	-	44
<b>TOTAL</b>	-	-	-	<b>44</b>	-	<b>44</b>

To obtain additional copies of this report, please contact:  
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#### **DISCLAIMER**

The English version of this Annual Report is regarded as the official text. The Department cannot be held liable for any misinterpretations that may have occurred during the translation process.

#### **VRYWARING**

Die Engelse gedeelte van hierdie Jaarverslag word geag die amptelike teks te wees. Die Departement aanvaar geen verantwoordelikheid vir moontlike wanvertolkings gedurende die vertalingsproses nie.

#### **INKCAZO**

Inguqulelo yesiNgesi yale Ngxelo yoNyaka ittathwa njengeyona isebanza ngokusesikweni. Isebe alinakubekwa tyala, ngazo nazihpi na iziphoso ezengathi zibe khona ngxesha lenguqulelo yezinye iilwimi.

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