**To be completed by the municipality (for municipal initiative/interventions/projects)**

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| **General Information** | | | | | | |
| Informal Settlement Name |  | | | | | |
| Informal Settlement Categorisation | Cat. A | Cat. B1 | | Cat. B2 | Cat. C | Total |
| Percentage of category |  |  | |  |  |  |
| Approximate date when upgrade is expected to start (UISP, not interim basic services) |  |  | |  |  |  |
| Informal Settlement description |  | | | | | |
| Housing Programme |  | | | | | |
| District |  | | | | | |
| Municipality |  | | | | | |
| Town |  | | | | | |
| Suburb |  | | | | | |
| Ward (s) |  | | | | | |
| Farm/Erf number |  | | | | | |
| GPS centre point |  | | | | | |
| Municipal / developer contact person |  | | | | | |
| Municipal / developer contact number |  | | | | | |
| Municipal / developer email address |  | | | | | |
| Purpose of application |  | | | | | |
| **Property Details** | | | | | | |
| Land ownership & zoning |  | | | | | |
| Describe servitude / title deed issues |  | | | | | |
| **Land Scale** | | | | | | |
| Total land size in hectares occupied by settlement |  | | | | | |
| Total number of households’ / structure door count in settlement (Latest Information) |  | | | | | |
| Indicate existing Service Level:   1. Number of existing toilets 2. Number of existing standpipes 3. Number of solid waste collection points |  | | | | | |
| Indicate repair required on existing services:   1. Number of toilets to be repaired 2. Number of standpipes to be repaired 3. Number of solid waste collection points to be managed |  | | | | | |
| Indicate service shortfalls to meet basic needs:   1. Number of additional/new toilets required to meet minimum standard 2. Number of additional/new standpipes required to meet minimum standard 3. Number of additional solid waste collection points to be provided 4. Stormwater management additional/ new on periphery |  | | | | | |
| **Strategic Alignment** | | | | | | |
| Informal Settlement listed on Municipal Integrated Development Plan | YES / NO | |  | | | |
| **Social Alignment** | | | | | | |
| Age of informal settlement |  | | | | | |
| Profile of households in the informal settlements (e.g. from the National Upgrading Support Plan (NUSP) or the Rapid Appraisal document) |  | | | | | |
| Describe community readiness and community participation level and support, (social compact) |  | | | | | |
| Describe alignment to any other projects in the area |  | | | | | |

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| **Sustainability Criteria (Bulk services)** | | | | | |
|  | | Available for project | Planned for project | Not yet scheduled | Additional comments (including alternate servicing decisions) |
| Indicate the availability of bulk services for this project and attach letter of confirmation from the Municipal Director of Technical Services | Access roads & transportation |  |  |  |  |
| Sewer connector lines |  |  |  |  |
| Potable water supply & storage |  |  |  |  |
| Electricity & supply authority |  |  |  |  |
| Storm water management |  |  |  |  |
| Capacity to collect solid waste |  |  |  |  |
| Wastewater treatment capacity |  |  |  |  |

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| **Sustainability criteria (continued)** | |
| Describe how the intervention will not be regarded as wasteful expenditure and how identified risks and issues are to be mitigated (indicate if not applicable) |  |
| Indicate if the informal settlement is marked for upgrade within the next 3 years |  |
| Indicate other risks/issues |  |
| Describe alignment to the Water Services Development Plan, the Integrated Waste Management Plan and the Stormwater Master Plan, if any. |  |
| Describe institutional capacity of the municipality to absorb and manage the intervention and ultimately be able to deal with operational and maintenance issues |  |
| **Implementation** | |
| Describe Planning and Implementation process for the interim basic services:   1. Planning and design 2. Implementation 3. Operation and Maintenance |  |
| Describe opportunities identified for continuous community involvement (EPWP) in the maintenance of the services provided during the implementation and operational phases. |  |
| **FUNDING** | |
| Indicate amount applied for the interim basic services provisioning as per the above figures (repair and new) and provide breakdown |  |
| Indicate how much, if any, funding was previously received for Access to Basic Services (ABS) for this settlement (included in previous paragraph) and describe what the funding was used for |  |
| **ATTACHMENTS** | |
| Annexure A | Contour plan, including 100 or 50-year flood lines (Ortho photo and information from the Department of Environmental Affairs and Development Planning will suffice) |
| Annexure B | Land audit and cadastral report describing servitudes and rights (from National Upgrading Support Plan and Rapid Appraisal document) |
| Annexure C | Conceptual layout plan showing: if available   * Nearby buffer zones, flood lines and orientation * Roads and possible road widening * Conceptual permanent engineering services layouts and interim engineering services (for UISP projects) * Access routes * Servitudes |
| Annexure D | Anticipated programme and associated cash flows highlighting targeted milestone dates. |
| Annexure E | Provisional interim basic services layout plan[[1]](#footnote-1) |
| Annexure F | Letter from the Municipal Delegated Official confirming the availability of bulk services for this project or the secured budget and implementation programme for work required to secure availability of bulk services and confirmation that the municipality is able to maintain the basic services |
| Annexure G | Copy of Windeed report confirming land ownership |
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| **PROJECT FEASIBILITY REPORT COMPILED BY:** | |
| Name and surname |  |
| Company |  |
| Contact number |  |
| Professional description |  |
| Signature |  |
| Date |  |
| Additional Comments / Information |  |

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| **DECLARATION BY MUNICIPALITY (FOR MUNICIPAL PROJECTS) OR DEVELOPER (FOR DEPARTMENTAL/INSTITUTIONAL PROJECTS)** | |
| Name of official |  |
| Contact number |  |
| Capacity of official |  |
| Signature of official |  |
| Date |  |
| Additional Comments / Information |  |

1. For Category A and B1; show conceptual (future) layout plan for intended in-situ upgrade (could be superimposed on existing layout)

   For Category B2 and C; show existing layout and routes / location of interim basic services (no in-situ upgrades) [↑](#footnote-ref-1)