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**TO: DDG: Chief of Operations**  
**Chief Directors: MHS, RHS, STRATEGY**  
**Directors: EMS, FPS, SPC, HIA, Clinical Service Improvement, Medicine Management, Substructures, Districts**  
**Head of Institutions**  
**Director: City Health**  
**Managers: Private Hospitals, Private Clinics, Pharmacies**  
**Managers: Departments – Social Development, Basic Education & Correctional Services**

**CIRCULAR H 05 / 2022**

**VACCINE SAFETY SURVEILLANCE: UPDATE ON REQUIRED DOCUMENTATION FOR AEFI CASE REPORTING AND INVESTIGATION (TO BE READ IN CONJUNCTION WITH CIRCULAR H72/2021).**

Please find attached National Vaccination Programme Circular 1 of 2022.

Obligations in terms of the Protection of Personal Information Act (POPIA), effective 1 July 2021 has necessitated the development of a Consent Form to accompany the Case Report Form (CRF) for the reporting and investigation of adverse events and the subsequent sharing of personal information. These include Adverse Events Following Immunisation (AEFI) and Adverse Events of Special Interests (AESI) cases.

Going forward, please obtain consent from the vaccinee, caregiver or family member and submit the document with the case reporting form (CRF).

Please note there have been no changes to the CRF itself, only the addition of the Consent Form (see attached).

Kindly note any further updates or additions from National Department of Health with regards to AEFI and vaccine safety surveillance will be communicated in due course.

**Please direct queries to Dr Hilary Goeiman ([Hilary.Goeiman@westerncape.gov.za](mailto:Hilary.Goeiman@westerncape.gov.za)) or Ms Charlene Lawrence ([Charlene.Lawrence@westerncape.gov.za](mailto:Charlene.Lawrence@westerncape.gov.za))**

**JO ARENDSE**

**CHIEF DIRECTOR: ECSS**

**DATE: 26 January 2022**



**DIRECTOR GENERAL  
HEALTH  
REPUBLIC OF SOUTH AFRICA**

**PRETORIA**

Private Bag X828, PRETORIA, 0001, Dr AB Xuma Building, 1112 Voortrekker Rd, Pretoria 351-JR, Pretoria, 0187, Tel (012) 395 8402

**CAPE TOWN**

P.O. Box 3875, CAPE TOWN, 8000, 103 Parliament Towers, Room 615, 120 Plain Street, CAPE TOWN, 8000 Tel (021) 461 2040 Fax (021) 461 6864

**TO:  
HEADS OF PROVINCIAL HEALTH DEPARTMENTS  
HEADS OF PHARMACEUTICAL SERVICES  
DISTRICT MANAGERS  
HOSPITAL CEOs and CLINICAL MANAGERS  
EPI MANAGERS  
AEFI COORDINATORS  
HEALTHCARE MANAGERS AND PROFESSIONALS**

**NATIONAL VACCINATION PROGRAMME CIRCULAR 1 OF 2022**

**ADVERSE EVENT FOLLOWING IMMUNISATION (AEFI) REPORTING AND INVESTIGATION**

1. Immunisation is among the most successful and cost-effective public health interventions. It is common that the benefits of immunisation are often not visible, particularly if the target disease incidence is low. In contrast, adverse effects that follow immunisation are promptly noticeable, especially when the vaccinee was apparently healthy at the time of immunisation.
2. Regulation 40 (3) of the General regulation made in terms of the Medicine and Related Substances Act 101 of 1965 indicates that "A health care provider, veterinarian or any other person should inform the Authority, in the manner as determined by the Authority, of any-(a) suspected adverse drug reactions; or (b) new or existing safety, quality or effectiveness concerns, occurring as a result of the use of any medicine or scheduled substance."
3. Surveillance of adverse events following immunisation (AEFI) is essential to safeguard public confidence in vaccines used in the South African vaccination programme. The National Immunisation Expert Committee (NISEC) advises and monitors vaccine safety related events through causality assessment. Causality assessment requires AEFI to be investigated fully at a district/provincial level. This includes completion of all relevant case reporting forms (CRF), case investigation forms (CIF), and collation of the clinical records, laboratory results and medical history of the vaccinee.
4. All health care providers or any other person who identify, treat or report an AEFI, are requested to share all requested information to facilitate AEFI reporting and

investigation as required by the relevant regulations with the district/provincial AEFI coordinators in a timely manner.

5. To address concerns regarding sharing of the vaccinee's personal information, the CRF (attached) and the MedSafety application terms has been updated to include a section whereby the vaccinee (or family member) provides informed consent for the necessary information to be provided to the relevant authority. Where an AEFI has been reported on a CRF that does not include the informed consent section, the additional consent form can be completed by the vaccinee/caregiver or family member.



**DR SSS BUTHELEZI**  
**DIRECTOR-GENERAL: HEALTH**

**DATE:** 10.01.2022





**health**

Department:  
Health  
**REPUBLIC OF SOUTH AFRICA**



Private Bag X828, PRETORIA, 0001 Dr AB Xuma Building 1112 Voortrekker Road, Pretoria Townlands 351-JR, PRETORIA, 0187 Tel (012) 395 8000, Fax (012) 395 8918

**CONSENT CLAUSE FOR COLLECTION AND PROCESSING OF PERSONAL INFORMATION**

By their signature below, the vaccine recipient or relative (in the event of the vaccine recipient being unresponsive or has demised) or caregiver (in the case of a child) hereby provides consent to the collection and processing of their personal information (as set out in this Case Reporting Form) by the National Department of Health and third parties appointed by it (the "Department") for the purposes of investigating and assessing potential adverse events related to a vaccine/s received.

The vaccine recipient or relative (in the event of the vaccine recipient being unresponsive or has demised) or caregiver (in the case of a child) acknowledges that this information may be used i) to access all medical and clinical records for the purpose of case investigation, when required; ii) in the generation of statistics; and iii) to make policy decisions relating to vaccine safety and efficacy.

This consent may be withdrawn at any time, and the vaccine recipient or relative (in the event of the vaccine recipient being unresponsive or has demised) or caregiver (in the case of a child) may, at any time, object to the collection and processing of their personal information, by contacting the Department ([AEFI@health.gov.za](mailto:AEFI@health.gov.za)) and the South African Health Products Regulatory Authority ([adr@sahpra.org.za](mailto:adr@sahpra.org.za)).

The Department undertakes to process the personal information contained in this Case Reporting Form, and collected during the process of case investigation, in a manner that adheres to the Protection of Personal Information Act. The information will not be stored (in a manner that identifies the vaccine recipient) for any longer than is necessary to achieve the purpose for which the information was collected, unless the Department has a lawful basis to do so. If the vaccine recipient or relative (in the event of the vaccine recipient being unresponsive or has demised) or caregiver (in the case of a child) wishes to access and/or rectify their personal information, they may do so by contacting the Department ([AEFI@health.gov.za](mailto:AEFI@health.gov.za)).

**Vaccine recipient:** \_\_\_\_\_ **(Name and Surname)**

**Signed by the vaccine recipient / relative / caregiver\***

\_\_\_\_\_  
**Name and Surname**

\_\_\_\_\_  
**Signature**

\_\_\_\_\_  
**Date**

*\*Delete what is not applicable*

<b>EPID Number:</b> <table border="1" style="display: inline-table; border-collapse: collapse; text-align: center;"> <tr> <td style="width: 20px;">S</td><td style="width: 20px;">O</td><td style="width: 20px;">A</td><td style="width: 20px;">-</td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td> </tr> </table> <p style="text-align: center;">Country - Province - District - Year - Case no</p> <p><b>Today's date:</b> DD / MM / YYYY</p> <p style="color: red; font-size: small;">All fields in this form are mandatory, unless indicated 'if applicable'. Provide the requested information or tick the appropriate box.</p>	S	O	A	-															<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Date received</th> <th style="text-align: left;">Level</th> <th style="text-align: left;">Signature</th> </tr> </thead> <tbody> <tr> <td></td> <td>Private</td> <td></td> </tr> <tr> <td></td> <td>District</td> <td></td> </tr> <tr> <td></td> <td>Province</td> <td></td> </tr> <tr> <td></td> <td>National EPI</td> <td></td> </tr> <tr> <td></td> <td>National SAHPRA</td> <td></td> </tr> </tbody> </table> <p style="font-size: x-small; text-align: center;">(For Office use only)</p>	Date received	Level	Signature		Private			District			Province			National EPI			National SAHPRA	
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**SECTION A: IDENTIFYING INFORMATION**

<p>Vaccine recipient name &amp; surname: _____</p> <p><i>If child:</i> Caregiver's name &amp; surname: _____</p> <p>Vaccine recipient's residential address: _____</p> <p>_____</p> <p>Mobile no: _____ Telephone no: _____</p> <p>Sex: <input type="checkbox"/> M <input type="checkbox"/> F <input type="checkbox"/> Other <i>If applicable:</i> <input type="checkbox"/> Pregnant <input type="checkbox"/> Breastfeeding</p> <p>Date of birth: DD / MM / YYYY</p> <p><i>OR</i> Age at onset: <input type="checkbox"/><input type="checkbox"/> Years <input type="checkbox"/><input type="checkbox"/> Months <input type="checkbox"/><input type="checkbox"/><input type="checkbox"/> Days</p> <p><i>OR</i> Age group: <input type="checkbox"/> 0 - &lt;1 year <input type="checkbox"/> 1 - 5 years <input type="checkbox"/> &gt;5 – 18 years</p> <p style="padding-left: 40px;"><input type="checkbox"/> &gt;18 – 60 years <input type="checkbox"/> &gt;60 years</p> <p><i>If applicable:</i> Gestation: <input type="checkbox"/> Full-term <input type="checkbox"/> Premature</p>	<p>AESI Reporter's name &amp; surname: _____</p> <p>Designation/Position: _____</p> <p>Institution &amp; Department: _____</p> <p>Telephone no: _____</p> <p>Mobile no: _____</p> <p>E-mail: _____</p> <p>Date patient notified event to health system: DD / MM / YYYY</p>
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**SECTION B: ADVERSE EVENT(S) OF SPECIAL INTEREST (AESI)**

**Date & time AEFI started:** DD / MM / YYYY  Hr  Min

<i>Adverse event (s): (Tick (✓) all boxes that apply)</i>		
<input type="checkbox"/> Acute aseptic arthritis <input type="checkbox"/> Acute cardiovascular injury <input type="checkbox"/> Acute disseminated encephalomyelitis <input type="checkbox"/> Acute liver injury <input type="checkbox"/> Acute kidney injury <input type="checkbox"/> Acute respiratory distress syndrome (Microangiopathy, Heart failure, Stress cardiomyopathy, Coronary artery disease Arrhythmia, Myocarditis)	<input type="checkbox"/> Anaphylaxis <input type="checkbox"/> Anosmia, ageusia <input type="checkbox"/> Chilblain-like lesions <input type="checkbox"/> Coagulation disorder (Thromboembolism, Haemorrhage) <input type="checkbox"/> Enhanced disease following immunisation <input type="checkbox"/> Erythema multiforme <input type="checkbox"/> Generalized convulsion <input type="checkbox"/> Guillain Barré Syndrome	<input type="checkbox"/> Meningoencephalitis <input type="checkbox"/> Multisystem inflammatory syndrome in children <input type="checkbox"/> Single organ cutaneous vasculitis <input type="checkbox"/> Thrombocytopenia <input type="checkbox"/> Other (specify): _____ _____ _____

**Describe vaccine recipient's AESI signs and symptoms. Use additional sheet if needed**

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**Past medical history (including history of previous similar reactions or other allergies), concomitant medication and any other relevant information (e.g. other cases). Use additional sheet if needed**

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Patient name & surname: \_\_\_\_\_ EPID Number: \_\_\_\_\_

**SECTION C: PRELIMINARY ASSESSMENT AND ACTIONS AT THE TIME OF REPORT**

Did this AESI cause?  Death  Hospitalisation  Disability  Life threatening  Other important medical events  
(Specify): \_\_\_\_\_

Outcome at the time of reporting:  Recovering  Recovered fully (no complications)  Not Recovered  Unknown  
 Recovered with sequelae; Specify: \_\_\_\_\_

Died → Date of death: DD / MM / YYYY → Full autopsy done:  Yes  No  Unknown

If NO, verbal autopsy done?  Yes  No

Hospitalisation → Date of admission: DD / MM / YYYY

→ Name of hospital: \_\_\_\_\_ Hospital number: \_\_\_\_\_

Did this person receive a COVID-19 vaccine?  Yes  No  Unknown If Yes, Complete Section E below

**SECTION D: VACCINE INFORMATION (Please attach a copy of the Vaccination Record)**

Health facility / vaccination center name: \_\_\_\_\_  DoH  Private  NGO

Address / location: \_\_\_\_\_

**COVID-19 vaccine administered**

**Diluent (if applicable)**

Vaccine given (Use trade name)	Manufacturer	Dose number (1 <sup>st</sup> , 2 <sup>nd</sup> )	Date vaccinated	Time vaccinated	Batch/ Lot number	Expiry date / Manufacture date	Immunisation record number	Batch/ Lot number	Expiry date	Date & time of reconstitution

Consumables used **Needles** Size: \_\_\_\_\_ Batch: \_\_\_\_\_ Expiry date: \_\_\_\_\_

**Syringes** Size: \_\_\_\_\_ Batch: \_\_\_\_\_ Expiry date: \_\_\_\_\_

**Details of Non-COVID19 vaccines received in the last 1 year (Use additional page if there are more vaccines)**

Vaccine given (Use trade name)	Manufacturer	Dose number (1 <sup>st</sup> , 2 <sup>nd</sup> )	Date vaccinated	Time vaccinated	Batch/ Lot number	Expiry date / Manufacture date	Immunisation record number	Batch/ Lot number	Expiry date	Date & time of reconstitution

Consumables used (unless pre-filled) **Needles** Size: \_\_\_\_\_ Batch: \_\_\_\_\_ Expiry date: \_\_\_\_\_

**Syringes** Size: \_\_\_\_\_ Batch: \_\_\_\_\_ Expiry date: \_\_\_\_\_

**SECTION E: FIRST DECISION MAKING LEVEL TO COMPLETE**

**For ALL AESI cases including COVID-19 vaccinated and unvaccinated**

AEFI confirmation initiated:  Yes  No If YES, confirmation done by Dr/Mr/Ms \_\_\_\_\_

Date investigation planned: DD / MM / YYYY

Is this AESI linelisted?  Yes  No

For COVID-19 vaccinated cases: Field investigation planned with AESI investigation form?  Yes  No

If YES, date planned: DD / MM / YYYY

**SECTION F: NATIONAL LEVEL TO COMPLETE**

Date report received at National Level: DD / MM / YYYY AESI worldwide unique ID: \_\_\_\_\_

Comments: \_\_\_\_\_

**IMPORTANT: Email this form within 24 hours to [AEFI@health.gov.za](mailto:AEFI@health.gov.za)  
AND copy the EPI District Surveillance Officer**

**CONSENT CLAUSE FOR COLLECTION AND PROCESSING OF PERSONAL INFORMATION**

By their signature below, the vaccine recipient or relative (in the event of the vaccine recipient being unresponsive or has demised) or caregiver (in the case of a child) hereby provides consent to the collection and processing of their personal information (as set out in this Case Reporting Form) by the National Department of Health and third parties appointed by it (the "Department") for the purposes of investigating and assessing potential adverse events related to a vaccine/s received. The vaccine recipient or relative (in the event of the vaccine recipient being unresponsive or has demised) or caregiver (in the case of a child) acknowledges that this information may be used i) to access all medical and clinical records for the purpose of case investigation, when required; ii) in the generation of statistics; and iii) to make policy decisions relating to vaccine safety and efficacy. This consent may be withdrawn at any time, and the vaccine recipient or relative (in the event of the vaccine recipient being unresponsive or has demised) or caregiver (in the case of a child) may, at any time, object to the collection and processing of their personal information, by contacting the Department ([AEFI@health.gov.za](mailto:AEFI@health.gov.za)) and the South African Health Products Regulatory Authority ([adr@sahpra.org.za](mailto:adr@sahpra.org.za)).

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**Vaccine recipient:** \_\_\_\_\_ **(Name and Surname)**

**Signed by the vaccine recipient / relative / caregiver\***

\_\_\_\_\_  
**Name and Surname**

\_\_\_\_\_  
**Signature**

\_\_\_\_\_  
**Date**

\*Delete what is not applicable

EPID Number: 

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Country - Province - District - Year - Case no

Date received	Level	Signature
	Private	
	District	
	Province	
	National EPI	
	National SAHPRA	

(For Office use only)

Today's date: DD / MM / YYYY

All fields in this form are mandatory, unless indicated 'if applicable'. Provide the requested information or tick the appropriate box.

**SECTION A: IDENTIFYING INFORMATION**

**NOTE:** In maternal vaccination, if mother and baby / more than one baby are affected, complete separate form for each affected individual

Vaccine recipient name & surname: \_\_\_\_\_  
*If child:* Caregiver's name & surname: \_\_\_\_\_  
 Vaccine recipient's residential address: \_\_\_\_\_  
 \_\_\_\_\_  
 Mobile no: \_\_\_\_\_ Telephone no: \_\_\_\_\_  
 Email: \_\_\_\_\_  
 Sex:  M  F  Other *If applicable:*  Pregnant  Breastfeeding  
 Date of birth: DD / MM / YYYY  
**OR** Age at onset:  Years  Months  Days  
**OR** Age group:  0 - <1 year  1 - 5 years  >5 - 18 years  
 >18 - 60 years  >60 years  
*If applicable:* Gestation:  Full-term  Premature

Reporter's name & surname: \_\_\_\_\_  
 Designation/Position: \_\_\_\_\_  
 Institution & Department: \_\_\_\_\_  
 Telephone no: \_\_\_\_\_  
 Mobile no: \_\_\_\_\_  
 E-mail: \_\_\_\_\_  
 Date patient notified event to health system: DD / MM / YYYY

**SECTION B: VACCINE INFORMATION** (Please attach a copy of the Road to Health Booklet OR Vaccination Card)

**NOTE:** In the case of a foetal adverse event, ALSO record the mother's maternal vaccination details

Health facility / vaccination center name: \_\_\_\_\_  DoH  Private  NGO  
 Address / location: \_\_\_\_\_

Vaccine administered								Diluent (if applicable)		
Vaccine/s given <i>(Use trade name)</i>	Date vaccinated	Time vaccinated	Dose number <i>(1<sup>st</sup>, 2<sup>nd</sup>)</i>	Batch/ Lot number	Expiry date / Manufacture date <i>(COVID-19)</i>	VVM Stage <i>(if applies)</i>	Manufacturer	Batch/ Lot number	Expiry date	Date & time of reconstitution
<b>Consumables used (unless pre-filled)</b>	<b>Needles</b>		Size: _____ Batch: _____ Expiry date: _____							
	<b>Syringes</b>		Size: _____ Batch: _____ Expiry date: _____							

**SECTION C: TRIGGER EVENTS**

Date & time AEFI started: DD / MM / YYYY  Hr  Min **Adverse event (s): (Tick (✓) all boxes that apply)**

**Minor local reactions**

Swelling <5cm  Induration / hardness  
 Redness  Rash  
 Other (specify): \_\_\_\_\_  
 \_\_\_\_\_

**Minor systemic reactions**

Excessive crying (infant)  Mild fever <38°C  
 Mild headache  Mild body aches  
 Mild pain (to touch / on movement, but not interfering with daily activities)  Fainting  
 Other (specify): \_\_\_\_\_  
 \_\_\_\_\_



Patient name & surname: \_\_\_\_\_ EPID Number: \_\_\_\_\_

<b>Severe local reactions</b> <input type="checkbox"/> Pain, redness and/or swelling >3 days <input type="checkbox"/> Swelling >5cm <input type="checkbox"/> Swelling beyond nearest joint <input type="checkbox"/> Lymphadenitis <input type="checkbox"/> Abscess <input type="checkbox"/> Necrosis at vaccination site <input type="checkbox"/> Other (specify): _____ _____	<b>Severe systemic reactions</b> <input type="checkbox"/> Hospitalisation <input type="checkbox"/> Fever $\geq 38^{\circ}\text{C}$ <input type="checkbox"/> Seizures <input type="checkbox"/> Febrile <input type="checkbox"/> Afebrile <input type="checkbox"/> Toxic shock syndrome <input type="checkbox"/> Other (specify): _____ <input type="checkbox"/> Death <input type="checkbox"/> Thrombocytopenia <input type="checkbox"/> Encephalopathy <input type="checkbox"/> Vomiting <input type="checkbox"/> Collapse/ shock-like state <input type="checkbox"/> Anaphylaxis <input type="checkbox"/> Sepsis <input type="checkbox"/> Diarrhoea
<b>Foetal adverse reactions in the case of maternal immunisation:</b> <input type="checkbox"/> Decreased FHR variability <input type="checkbox"/> Decreased foetal movement <input type="checkbox"/> Foetal death <input type="checkbox"/> Onset of preterm labour, assessed to be possibly/probably related <input type="checkbox"/> Foetal anomaly assessed to be possibly/probably related (e.g. congenital anomaly feasible with pre-pregnancy or 1 <sup>st</sup> trimester immunisation) <input type="checkbox"/> Foetus affected by maternal immunization (e.g. live vaccine administered to mother)	

**NOTE: Severe or serious adverse event → Immediately notify District Office for Case Investigation**

**Describe vaccine recipient's or caregiver's concern (AEFI signs and symptoms). Use additional sheet if needed**

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**Were there any other similar AEFIs reported in the facility in the past 30 days?**  Yes  No (If yes, specify)

\_\_\_\_\_

\_\_\_\_\_

**SECTION D: PAST MEDICAL HISTORY**

**Past medical history (including history of previous similar reactions or other allergies), concomitant medication and dates of administration (exclude those used to treat reaction), any other relevant information. Use additional sheet if needed**

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**SECTION E: PRELIMINARY ASSESSMENT AND ACTIONS AT THE TIME OF REPORT**

**Is this event a serious AEFI?**  Yes  No *If Yes, tick (✓) in the appropriate box below*  
 Death  Hospitalisation  Disability  Life threatening  Congenital anomaly in off-spring of vaccine recipient  
Comments: \_\_\_\_\_

**SECTION F: WHAT WAS THE OUTCOME OF THE CASE FOLLOWING THE SUSPECTED AEFI in VACCINEE?**

Recovering  Recovered fully (no complications)  Not Recovered  Unknown  
 Recovered with sequelae; Specify: \_\_\_\_\_  
 Died → Date of death: DD / MM / YYYY → Autopsy:  Yes  No  Unknown  
 Hospitalisation → Date of admission: DD / MM / YYYY  
→ Name of hospital: \_\_\_\_\_ Hospital number: \_\_\_\_\_

**SECTION G: FIRST DECISION MAKING LEVEL TO COMPLETE**

Case investigation needed: <input type="checkbox"/> Yes <input type="checkbox"/> No Date investigation planned: <u>DD / MM / YYYY</u>	District Office notified: <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, date notified: <u>DD / MM / YYYY</u>
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**SECTION H: NATIONAL LEVEL TO COMPLETE**

**Date report received at National Level:** DD / MM / YYYY **AEFI worldwide unique ID:** \_\_\_\_\_  
Comments: \_\_\_\_\_

**IMPORTANT: Email this form within 24 hours to [AEFI@health.gov.za](mailto:AEFI@health.gov.za)  
AND copy the EPI District Surveillance Officer**

**CONSENT CLAUSE FOR COLLECTION AND PROCESSING OF PERSONAL INFORMATION**

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**Vaccine recipient:** \_\_\_\_\_ **(Name and Surname)**

**Signed by the vaccine recipient / relative / caregiver\***

\_\_\_\_\_  
**Name and Surname**

\_\_\_\_\_  
**Signature**

\_\_\_\_\_  
**Date**

\*Delete what is not applicable